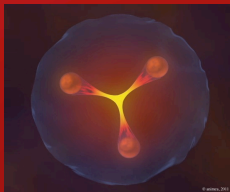


DE LA RECHERCHE À L'INDUSTRIE

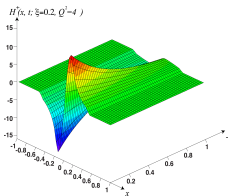
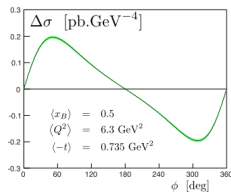
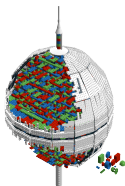
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2020

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Determination of proton internal pressure: theoretical challenges



FunQCD | Hervé MOUTARDE

Apr. 01, 2021

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 824093.

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About the notion of proton internal pressure.

Take home messages.

Proton internal pressure

- Is it well-defined?
- Can it be measured?
- What are the needed theory inputs?

Theoretical framework

Gravitational form
factors
Pressure
GPDs

Phenomenology

CFF global fit
Pressure forces
Models: systematic
uncertainties

Theoretical issues

Maximize theory
input
Deconvolution
problem

Conclusion

1 Theoretical framework

2 Phenomenology

3 Theoretical issues

4 Conclusion

About the notion of proton internal pressure.

Take home messages.

Proton internal pressure

- Is it well-defined? **Yes!**
- Can it be measured? **Yes!**
- What are the needed theory inputs? **GPD functional shape!**

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Expect valuable inputs from functional methods, lattice QCD
and effective theories

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Gravitational form factors.

Definition of pressure.

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- **Matrix element** in the Breit frame ($a = q, g$):

$$\left\langle \frac{\Delta}{2} \left| T_a^{\mu\nu}(0) \right| - \frac{\Delta}{2} \right\rangle = M \left\{ \eta^{\mu 0} \eta^{\nu 0} \left[A_a(t) + \frac{t}{4M^2} B_a(t) \right] + \eta^{\mu\nu} \left[\bar{C}_a(t) - \frac{t}{M^2} C_a(t) \right] + \frac{\Delta^\mu \Delta^\nu}{M^2} C_a(t) \right\}$$

- Anisotropic fluid in **relativistic hydrodynamics**:

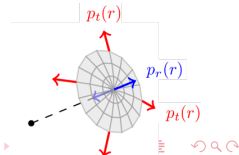
$$\Theta^{\mu\nu}(\vec{r}) = [\varepsilon(r) + p_t(r)] u^\mu u^\nu - p_t(r) \eta^{\mu\nu} + [p_r(r) - p_t(r)] \chi^\mu \chi^\nu$$

where u^μ and $\chi^\mu = x^\mu / r$.

- Define **isotropic pressure** and **pressure anisotropy**:

$$p(r) = \frac{p_r(r) + 2 p_t(r)}{3}$$

$$s(r) = p_r(r) - p_t(r)$$



Lorcé *et al.*, Eur. Phys. J. **C79**, 89 (2019)

Mechanical properties of hadrons.

Pressure from gravitational form factors.

Proton internal pressure

- Write dictionary between quantum and fluid pictures:

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$$\frac{\varepsilon_a(r)}{M} = \int \frac{d^3\vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ A_a(t) + \bar{C}_a(t) + \frac{t}{4M^2} [B_a(t) - 4C_a(t)] \right\}$$

$$\frac{p_{r,a}(r)}{M} = \int \frac{d^3\vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\bar{C}_a(t) - \frac{4}{r^2} \frac{t^{-1/2}}{M^2} \frac{d}{dt} \left(t^{3/2} C_a(t) \right) \right\}$$

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$$\frac{p_{t,a}(r)}{M} = \int \frac{d^3\vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\bar{C}_a(t) + \frac{4}{r^2} \frac{t^{-1/2}}{M^2} \frac{d}{dt} \left[t \frac{d}{dt} \left(t^{3/2} C_a(t) \right) \right] \right\}$$

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$$\frac{p_a(r)}{M} = \int \frac{d^3\vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\bar{C}_a(t) + \frac{2}{3} \frac{t}{M^2} C_a(t) \right\}$$

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$$\frac{s_a(r)}{M} = \int \frac{d^3\vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \left\{ -\frac{4}{r^2} \frac{t^{-1/2}}{M^2} \frac{d^2}{dt^2} \left(t^{5/2} C_a(t) \right) \right\}$$

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Lorcé et al., Eur. Phys. J. **C79**, 89 (2019)

Connection to experimental data.

Gravitational form factors from generalized parton distributions.

Proton
internal
pressure

■ Link between GPDs and gravitational form factors

$$\int dx x H^q(x, \xi, t) = A^q(t) + 4\xi^2 C^q(t)$$

$$\int dx x E^q(x, \xi, t) = B^q(t) - 4\xi^2 C^q(t)$$

Ji, Phys. Rev. Lett. **78**, 610 (1997)

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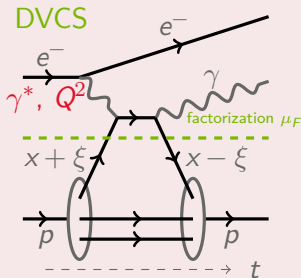
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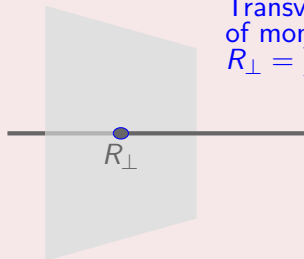
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Deeply Virtual Compton Scattering (DVCS)



Transverse center
of momentum R_\perp
 $R_\perp = \sum_i x_i r_{\perp i}$



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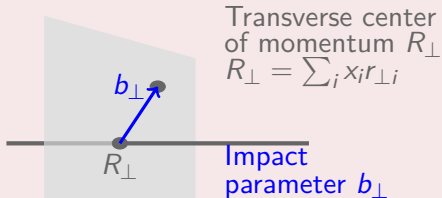
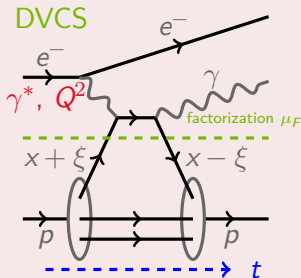
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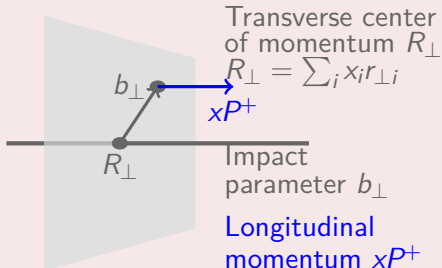
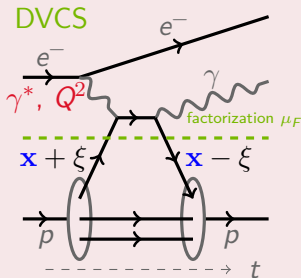
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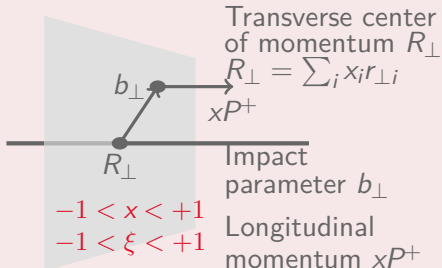
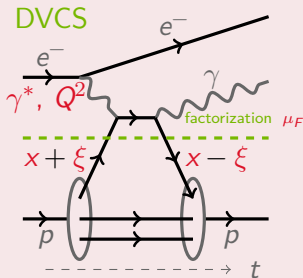
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Bjorken regime : large Q^2 and fixed $xB \simeq 2\xi/(1 + \xi)$

- Partonic interpretation relies on **factorization theorems**.
- All-order proofs for DVCS.
- GPDs depend on a (arbitrary) factorization scale μ_F .
- **Consistency** requires the study of **different channels**.

- GPDs enter DVCS through **Compton Form Factors** :

$$\mathcal{F}(\xi, t, Q^2) = \int_{-1}^1 dx T\left(x, \xi, \alpha_S(\mu_F), \frac{Q}{\mu_F}\right) F(x, \xi, t, \mu_F)$$

for a given GPD F .

- CFF \mathcal{F} is a **complex function**.

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1 Expand D-term on Gegenbauer polynomials

$$D_{\text{term}}^q(z, t, \mu_F^2) = (1 - z^2) \sum_{\text{odd } n} d_n^q(t, \mu_F^2) C_n^{3/2}(z)$$

2 Write dispersion relation for CFF

$$C_H(t, Q^2) = \text{Re}\mathcal{H}(\xi) - \frac{1}{\pi} \int_0^1 d\xi' \text{Im}\mathcal{H}(\xi) \left(\frac{1}{\xi - \xi'} - \frac{1}{\xi + \xi'} \right)$$

3 Compute subtraction constant

$$C_H(t, Q^2) = 4 \sum_q e_q^2 \sum_{\text{odd } n} d_n^q(t, \mu_F^2 \equiv Q^2)$$

4 Retrieve gravitational form factor

$$d_1^q(t, \mu_F^2) = 5 C_q(t, \mu_F^2)$$

Almost all existing DVCS data sets.

2600+ measurements of 30 observables published during 2001-17.

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No.	Collab.	Year	Ref.	Observable	Kinematic dependence	No. of points used / all
1	HERMES	2001	[40]	A_{LU}^{+}	ϕ	10 / 10
2		2006	[41]	$A_C^{\cos i\phi}$	t	4 / 4
3		2008	[42]	$A_C^{\cos i\phi}$	$i = 0, 1$	18 / 24
				$A_{UT,DVCS}^{\sin(\phi-\phi_S) \cos i\phi}$	$i = 0$	
				$A_{UT,1}^{\sin(\phi-\phi_S) \cos i\phi}$	$i = 0, 1$	
				$A_{UT,1}^{\cos(\phi-\phi_S) \sin i\phi}$	$i = 1$	
4		2009	[43]	$A_{LU,1}^{\sin i\phi}$	$i = 1, 2$	35 / 42
				$A_{LU,DVCS}^{\sin i\phi}$	$i = 1$	
				$A_C^{\cos i\phi}$	$i = 0, 1, 2, 3$	
5		2010	[44]	$A_{UL}^{+, \sin i\phi}$	$i = 1, 2, 3$	18 / 24
				$A_{LL}^{+, \cos i\phi}$	$i = 0, 1, 2$	
6		2011	[45]	$A_{LT,DVCS}^{\cos(\phi-\phi_S) \cos i\phi}$	$i = 0, 1$	24 / 32
				$A_{LT,DVCS}^{\sin(\phi-\phi_S) \sin i\phi}$	$i = 1$	
				$A_{LT,DVCS}^{\cos(\phi-\phi_S) \cos i\phi}$	$i = 0, 1, 2$	
				$A_{LT,1}^{\sin(\phi-\phi_S) \sin i\phi}$	$i = 1, 2$	
7		2012	[46]	$A_{LU,1}^{\sin i\phi}$	$i = 1, 2$	35 / 42
				$A_{LU,DVCS}^{\sin i\phi}$	$i = 1$	
				$A_C^{\cos i\phi}$	$i = 0, 1, 2, 3$	
8	CLAS	2001	[47]	$A_{LU}^{-, \sin i\phi}$	$i = 1, 2$	0 / 2
9		2006	[48]	$A_{UL}^{-, \sin i\phi}$	$i = 1, 2$	2 / 2
10		2008	[49]	A_{LU}^{-}	ϕ	283 / 737
11		2009	[50]	A_{LU}^{-}	ϕ	22 / 33
12		2015	[51]	$A_{LU}^{-}, A_{UL}^{-}, A_{LL}^{-}$	ϕ	311 / 497
13		2015	[52]	$d^4\sigma_{UU}^{-}$	ϕ	1333 / 1933
14	Hall A	2015	[34]	$\Delta d^4\sigma_{LU}^{-}$	ϕ	228 / 228
15		2017	[35]	$\Delta d^4\sigma_{LU}^{-}$	ϕ	276 / 358
16	COMPASS	2018	[36]	$d^3\sigma_{UU}^{\pm}$	t	2 / 4
17	ZEUS	2009	[37]	$d^3\sigma_{UU}^{+}$	t	4 / 4
18	H1	2005	[38]	$d^3\sigma_{UU}^{+}$	t	7 / 8
19		2009	[39]	$d^3\sigma_{UU}^{+}$	t	12 / 12

Moutarde et al., Eur. Phys. J. C78, 890 (2018)

Moutarde et al., Eur. Phys. J. C79, 614 (2019)

Modeling of \mathcal{H} , $\tilde{\mathcal{H}}$, \mathcal{E} and $\tilde{\mathcal{E}}$.

Independent descriptions of real and imaginary parts.

Proton
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- Real and imaginary parts of CFFs parameterized by **neural networks**.
- Propagation of uncertainties through **replica method** and evaluation of 68 % **confidence levels**.

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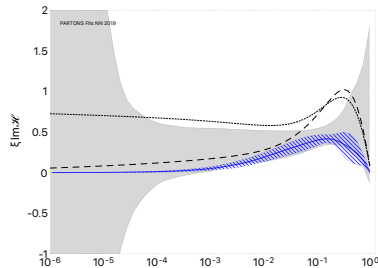
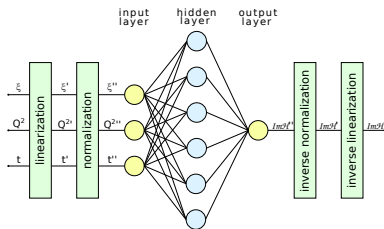
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Moutarde et al., Eur. Phys. J. **C79**, 614 (2019)

Pressure forces from DVCS measurements.

Working assumptions.

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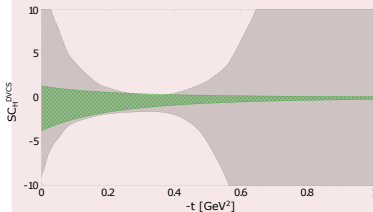
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- 1 Subtraction constant assumed equal to d_1 .
- 2 Equal values for light quark contributions.
- 3 Radiative generation of gluon and charm contributions.
- 4 Tripole Ansatz for the t -dependence of d_1 .

Preliminary



Parameter	Value
$d_1^{uds}(\mu_F^2)$	-0.45 ± 0.92
$d_1^c(\mu_F^2)$	-0.0020 ± 0.0041
$d_1^g(\mu_F^2)$	-0.6 ± 1.3

Dutrieux *et al.*, arXiv:2101.03855 [hep-ph]

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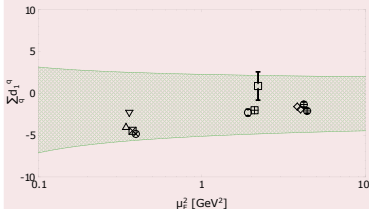
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From CFFs to nucleon mechanical structure.

A lot of model-dependence in current extractions.

Proton internal pressure

- No justification to truncate the subtraction constant expansion to its first term and assume that it is the d_1 coefficient related to the energy-momentum tensor.
- Shape of pressure profile is **fixed** by multipole Ansatz. Actual value is **extremely sensitive** to its parameters.

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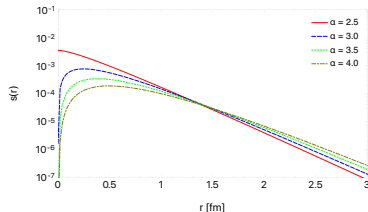
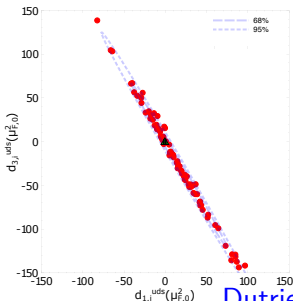
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Many theoretical constraints on GPDs.

Constraints difficult to implement at the CFF level.

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- Reduction to PDFs or elastic form factors.
- Implement *a priori* **positivity** and **polynomiality**. Still uncommon in many models or parameterizations used for phenomenology.
- **General solution** starting from overlap of (potentially effective) light front wave functions.
Chouika *et al.*, Eur. Phys. J. **C77**, 906 (2017)
- Use of **evolution equations** to implement further constraints on the GPD functional form.
- Work **beyond leading-order** and depart from the parton model...
- Systematic impact study or use of **kinematic corrections** still missing.

From CFFs to GPDs.

Can we actually recover a GPD from the knowledge of a CFF?!

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- Assume CFF \mathcal{H} is perfectly known. Solve inverse problem?

$$\mathcal{H}^q(\xi, Q^2) = \int_{-1}^1 \frac{dx}{\xi} T^q\left(\frac{x}{\xi}, \frac{Q^2}{\mu^2}, \alpha_s(\mu^2)\right) H^q(x, \xi, \mu^2)$$

- Question raised about 20 years ago and has remained essentially open. Evolution proposed as a crucial element.

Freund Phys. Lett. **B472**, 412 (2000)

- In progress*: there exist **non-zero** GPDs with **vanishing forward limit** and **vanishing CFF** up to order α_s^2 .
- Consequence: the DVCS deconvolution problem is **ill-posed**.
- Same conclusion holds** for several other hard exclusive processes.
- Define** and **implement** further criterions in fitting strategies to select one solution among infinitely many.

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- Concept **well-defined** and suitable for phenomenological analysis.
- Strong **first-principle connection** between concept and experimental data.
- The GPD deconvolution problem is **ill-posed**.
- **Huge sensitivity** to numerical noise or experimental uncertainties.
- Benefiting from new inputs or constraints from **nonperturbative QCD** is highly desirable!

