# Towards the 3-dimensional nucleon structure (TMDs and SIDIS)



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# Exploring the 3-dimensional phase-space structure of the nucleon



intrinsic motion spin-k\_ correlations? orbiting quarks?

Ideally: obtain a quantum phase-space distribution (like the Wigner function)

in 1-dimensional QM:

$$\int dp W(x,p) = |\psi(x)|^2$$
$$\int dx W(x,p) = |\phi(p)|^2$$
$$(x,p)\rangle = \int dx \, dp \, W(x,p) \, O(x,p)$$

### phase-space parton distribution, W(k, b)



### new probes and concepts to explore the nucleon structure

TMDs - Transverse Momentum Dependent (distribution and fragmentation functions)

> (polarized) SIDIS and Drell-Yan, spin asymmetries in inclusive (large p\_T) NN processes



GPDs - Generalized Partonic Distributions

# exclusive processes in leptonic and hadronic interactions



$$q(x, \boldsymbol{b}_T) = \int \frac{d^2 \boldsymbol{\Delta}_T}{(2\pi)^2} H_q(x, 0, -\boldsymbol{\Delta}_T^2) e^{-i \boldsymbol{b}_T \cdot \boldsymbol{\Delta}_T}$$

GTMDs - Generalized Transverse Momentum Dependent (partonic distributions) exclusive processes in leptonic and hadronic interactions



### Usual way of exploring the nucleon structure: collinear QCD parton model



#### great success, but essentially x and $Q^2$ degrees of freedom ....





The nucleon, as probed in DIS, in collinear configuration: 3 distribution functions



$$\Phi_{ij}(k;P,S) = \sum_{X} \int \frac{\mathrm{d}^{3} \boldsymbol{P}_{X}}{(2\pi)^{3} 2E_{X}} (2\pi)^{4} \delta^{4}(P-k-P_{X}) \langle PS|\overline{\Psi}_{j}(0)|X\rangle \langle X|\Psi_{i}(0)|PS\rangle$$

$$= \int \mathrm{d}^{4} \xi \, e^{ik \cdot \xi} \langle PS|\overline{\Psi}_{j}(0)\Psi_{i}(\xi)|PS\rangle$$

$$\Phi(x,S) = \frac{1}{2} \left[ f_{1}(x) \not h_{+} + S_{L} \left( g_{1L}(x) \right) \gamma^{5} \not h_{+} + h_{1T} i \sigma_{\mu\nu} \gamma^{5} n_{+}^{\mu} S_{T}^{\nu} \right]$$

### but the leading-twist correlator, with intrinsic $k_{\perp}$ , contains several other functions .....





$$f_1^q(x,k_{\perp}^2) \qquad q(x) = f_1^q(x) = \int d^2 \mathbf{k}_{\perp} f_1^q(x,k_{\perp}^2)$$

### several spin-k\_ correlations in TMDs



"Boer-Mulders effect"

. . .

"Sivers effect"

### The nucleon at twist-2,



similar spin- $p_{\perp}$  correlations in fragmentation process (case of final spinless hadron)





(Collins, Soper, Ji, J.P. Ma, Yuan, Qiu, Vogelsang, Collins, Metz)





expression for the cross-section:

$$\begin{aligned} \frac{\mathrm{d}\sigma}{\mathrm{d}\phi} &= F_{UU} + \cos(2\phi) F_{UU}^{\cos(2\phi)} + \frac{1}{Q} \cos\phi F_{UU}^{\cos\phi} + \lambda \frac{1}{Q} \sin\phi F_{LU}^{\sin\phi} \\ &+ S_L \left\{ \sin(2\phi) F_{UL}^{\sin(2\phi)} + \frac{1}{Q} \sin\phi F_{UL}^{\sin\phi} + \lambda \left[ F_{LL} + \frac{1}{Q} \cos\phi F_{LL}^{\cos\phi} \right] \right\} \\ &+ S_T \left\{ \sin(\phi - \phi_S) F_{UT}^{\sin(\phi - \phi_S)} + \sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)} + \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)} \\ &+ \frac{1}{Q} \left[ \sin(2\phi - \phi_S) F_{UT}^{\sin(2\phi - \phi_S)} + \sin\phi_S F_{UT}^{\sin\phi_S} \right] \\ &+ \lambda \left[ \cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} + \frac{1}{Q} \left( \cos\phi_S F_{LT}^{\cos\phi_S} + \cos(2\phi - \phi_S) F_{LT}^{\cos(2\phi - \phi_S)} \right) \right] \right\} \end{aligned}$$



Anselmino et al., in preparation



integrated  $f_1^q(x)$  and  $g_{1L}^q(x)$  can be measured in usual DIS

### TMDs in unpolarized SIDIS: "Cahn effect" at $\mathcal{O}(k_{\perp}/Q)$

$$\frac{1}{Q}\cos\phi \ F_{UU}^{\cos\phi} \sim \underbrace{f_1^q \otimes D_1^q \otimes d\hat{\sigma}}_{UU} + \underbrace{\left(h_1^{q\perp} \otimes H_1^{q\perp} \otimes d\Delta\hat{\sigma}\right)}_{x = x_B} \qquad z = z_h \qquad \mathbf{P}_T = z \ \mathbf{k}_\perp + \mathbf{p}_\perp$$
$$d\hat{\sigma}^{\ell q \to \ell q} \propto \hat{s}^2 + \hat{u}^2 = \frac{Q^4}{y^2} \left[1 + (1 - y)^2 - 4 \frac{k_\perp}{Q} (2 - y) \sqrt{1 - y} \cos\varphi\right]$$

# simple kinematical effect directly related to quark intrinsic motion

 $\mathcal{O}(k_{\perp}^2/Q^2)$ : also a  $\cos(2\phi)$  dependence

 $\cos\Phi$  dependence generated also by Boer-Mulders  $\otimes$  Collins term, via a kinematical effect in  $d\Delta\hat{\sigma}$ 



EMC data, µp and µd, E between 100 and 280 GeV assuming gaussian k<sub>⊥</sub> and p<sub>⊥</sub> dependences:  $\langle k_{\perp}^2 \rangle = 0.28 \; (\text{GeV})^2 \qquad \langle p_{\perp}^2 \rangle = 0.25 \; (\text{GeV})^2$ (no B-M  $\otimes$  Collins contribution)

M.A., M. Boglione, U. D'Alesio, A. Kotzinian, F. Murgia and A. Prokudin

#### Large $P_T$ data explained by NLO QCD corrections





transition between TMDs and pQCD at  $P_T \simeq 1 \ {
m GeV}/c$ 





# $P_{\rm T}$ dependence of data in agreement with a Gaussian $k_{\rm \perp}$ dependence of unpolarized TMDs



#### Schweitzer, Teckentrup, Metz, arXiv:1003.2190



#### $\cos \phi$ dependence observed by HERMES

F. Giordano and R. Lamb, arXiv:0901.2438 [hep-ex]



### and by COMPASS

W. Käfer, on behalf of the COMPASS collaboration, talk at Transversity 2008, Ferrara



errors shown are statistical only

#### comparison with:

M. Anselmino, M. Boglione, A. Prokudin, C. Türk Eur. Phys. J. A 31, 373-381 (2007) does not include Boer - Mulders contribution the azimuthal dependence induced by intrinsic motion is clearly observed phenomenolgical analysis and data need much improvement

Gaussian  $k_{\perp}$  distribution of TMDs?

$$\langle k_{\perp}^2 \rangle(x,Q^2) \quad \langle p_{\perp}^2 \rangle(z,Q^2)$$

x, z dependence?
flavour dependence?
energy dependence?
k⊥ dependence of ∆q vs. q?

### Spin dependent TMDs



 $A_N \propto \boldsymbol{S} \cdot (\boldsymbol{p} \times \boldsymbol{P}_T) \propto P_T \sin(\phi_{\pi} - \phi_S)$ 

Large Q<sup>2</sup>: the virtual photon explores the nucleon structure. In collinear configurations there cannot be (at LO) any  $P_T$ 

Sivers effect in SIDIS -  $F_{UT}^{\sin(\phi-\phi_S)}\left(f_{1T}^{\perp q}(x, \mathbf{k}_{\perp}^2)\right)$ 

$$\mathrm{d}\sigma^{\uparrow,\downarrow} = \sum_{q} (f_{q/p^{\uparrow,\downarrow}}(x, \boldsymbol{k}_{\perp}; Q^2) \otimes \mathrm{d}\hat{\sigma}(y, \boldsymbol{k}_{\perp}; Q^2) \otimes D_{h/q}(z, \boldsymbol{p}_{\perp}; Q^2)$$

$$f_{q/p^{\uparrow,\downarrow}}(x, \boldsymbol{k}_{\perp}) = f_{q/p}(x, k_{\perp}) \pm \frac{1}{2} \Delta^{N} f_{q/p^{\uparrow}}(x, k_{\perp}) \boldsymbol{S}_{T} \cdot (\hat{\boldsymbol{p}} \times \hat{\boldsymbol{k}}_{\perp})$$
$$= f_{q/p}(x, k_{\perp}) \mp \frac{k_{\perp}}{M} \underbrace{f_{1T}^{\perp q}(x, k_{\perp})} \boldsymbol{S}_{T} \cdot (\hat{\boldsymbol{p}} \times \hat{\boldsymbol{k}}_{\perp})$$

$$d\sigma^{\uparrow} - d\sigma^{\downarrow} = \underbrace{\sum_{q} \Delta^{N} f_{q/p^{\uparrow}}(x, k_{\perp}) S \cdot (\hat{p} \times \hat{k}_{\perp})}_{\sin(\varphi - \phi_{S})} \otimes d\hat{\sigma}(y, k_{\perp}) \otimes D_{h/q}(z, p_{\perp})}_{\sin(\varphi - \phi_{S})} \\ \sim F_{UT}^{\sin(\phi - \phi_{S})} \sin(\phi - \phi_{S})$$

### simple physical picture for Sivers effect



### Quark models for Sivers function

Brodsky, Hwang, Schmidt: final state interactions



recent quark-diquark model of all twist-2 TMDs: Bacchetta, Conti, Radici, arXiv:0807.0323 (PRD 78, 074010, 2008); Bacchetta, Radici, Conti, Guagnelli, arXiv:1003.1328

very recent quark bag model of all twist-2 and twist-3 TMDs: Avakian, Efremov, Schweitzer, Yuan, arXiv:1001.5467 (supports Gaussian k\_ dependence of TMDs in valence x-region)

### Sivers function from light-front wave function

Brodsky, Pasquini, Xiao, Yuan, arXiv:1001.1163 Pasquini, Yuan, arXiv:1001.5398



see also Hwang, arXiv:1003.0867 - incorporation of final state interactions into the light-cone wave function

### HERMES data on pion Sivers asymmetry



### HERMES kaon Sivers asymmetry



extraction of Sivers functions from SIDIS data (from HERMES proton and COMPASS deuteron data) u and d functions rather well determined



M.A., M. Boglione, U. D'Alesio, A. Kotzinian, S. Melis, F. Murgia, A. Prokudin, C. Türk

# predictions for Sivers asymmetry at COMPASS, off a proton target - comparison with new data



A. Martin, DIS2010

# Sivers asymmetry off a proton target - comparison of HERMES and COMPASS data



A. Martin, DIS2010

### What could we learn from the Sivers distribution?

number density of partons with longitudinal momentum fraction x and transverse momentum  $k_{\perp}$ , inside a proton with spin S



$$\sum_{a} \int dx \, d^2 \mathbf{k}_{\perp} \, \mathbf{k}_{\perp} \, f_{a/p^{\uparrow}}(x, \mathbf{k}_{\perp}) \equiv \sum_{a} \langle \mathbf{k}_{\perp}^a \rangle = 0$$

M. Burkardt, PR D69, 091501 (2004)

same naive sum rule as expected for free partons (no final state interactions)

# Total amount of intrinsic momentum carried by partons of flavour a

$$\begin{array}{ll} \langle \boldsymbol{k}_{\perp}^{a} \rangle &= & \left[ \frac{\pi}{2} \int_{0}^{1} dx \int_{0}^{\infty} dk_{\perp} \, k_{\perp}^{2} \, \Delta^{N} f_{a/p^{\uparrow}}(x, k_{\perp}) \right] (\boldsymbol{S} \times \hat{\boldsymbol{P}}) \\ &= & m_{p} \int_{0}^{1} dx \, \Delta^{N} f_{q/p^{\uparrow}}^{(1)}(x) \, (\boldsymbol{S} \times \hat{\boldsymbol{P}}) \equiv \langle k_{\perp}^{a} \rangle \, (\boldsymbol{S} \times \hat{\boldsymbol{P}}) \end{array}$$

$$\begin{aligned} \langle k_{\perp}^{u} \rangle + \langle k_{\perp}^{d} \rangle &= -17^{+37}_{-55} \text{ (MeV/c)} \\ \begin{bmatrix} \langle k_{\perp}^{u} \rangle &= 96^{+60}_{-28} & \langle k_{\perp}^{d} \rangle &= -113^{+45}_{-51} \end{bmatrix} \\ \langle k_{\perp}^{\bar{u}} \rangle + \langle k_{\perp}^{\bar{d}} \rangle + \langle k_{\perp}^{s} \rangle + \langle k_{\perp}^{\bar{s}} \rangle &= -14^{+43}_{-66} \text{ (MeV/c)} \end{aligned}$$

Burkardt sum rule almost saturated by **u** and **d** quarks alone; little residual contribution from gluons

 $-10 \le \langle k_{\perp}^g \rangle \le 48 \; (\mathrm{MeV}/c)$ 

#### Sivers u and d quark densities in transverse momentum space



proton moving into the screen, polarization along y-axis blue: less quarks red: more quarks x = 0.2 k in GeV/c -0.5





### $q(x, \boldsymbol{b}_T)$ : femtophotography or tomography of the nucleon



Sivers distribution in impact parameter space (M. Burkardt)



#### Sivers function and orbital angular momentum D. Sivers

Sivers mechanism originates from  $\ {m S} \cdot {m L}_q$  then it is related to the quark orbital angular momentum

Sivers function and proton anomalous magnetic moment M. Burkardt, S. Brodsky, Z. Lu, I. Schmidt

Both the Sivers function and the proton anomalous magnetic moment are related to correlations of proton wave functions with opposite helicities

$$\int_0^1 \mathrm{d}x \,\mathrm{d}^2 \boldsymbol{k}_\perp \,\Delta^N f_{q/p^\uparrow}(x,k_\perp) = C \,\kappa_q$$

in qualitative agreement with large z data:

$$\frac{A_{UT}^{\sin(\phi_{\pi^+} - \phi_S)}}{A_{UT}^{\sin(\phi_{\pi^-} - \phi_S)}} \sim \frac{\kappa_u}{\kappa_d}$$

### Sivers effect now observed by two experiments, ... but needs further measurements

and if the Sivers function is zero? and if (Sivers)<sub>SIDIS</sub>  $\neq$  - (Sivers)<sub>D-Y</sub>?

 $A_N$  in  $AB \rightarrow CX$ , which Sivers function? other mechanisms? Collins effect?

### Collins effect



$$\begin{aligned} D_{h/q,\boldsymbol{s}_{q}}(z,\boldsymbol{p}_{\perp}) &= D_{h/q}(z,p_{\perp}) + \frac{1}{2} \Delta^{N} D_{h/q^{\uparrow}}(z,p_{\perp}) \, \boldsymbol{s}_{q} \cdot (\hat{\boldsymbol{p}}_{q} \times \hat{\boldsymbol{p}}_{\perp}) \\ &= D_{h/q}(z,p_{\perp}) + \frac{p_{\perp}}{zM_{h}} \, H_{1}^{\perp q}(z,p_{\perp}) \, \boldsymbol{s}_{q} \cdot (\hat{\boldsymbol{p}}_{q} \times \hat{\boldsymbol{p}}_{\perp}) \end{aligned}$$



Collins effect in SIDIS couples to transversity





### HERMES Collins asymmetry

### Collins function from e<sup>+</sup>e<sup>-</sup> processes BELLE @ KEK



### Collins asymmetry best fit

M. A., M. Boglione, U. D'Alesio, A. Kotzinian, F. Murgia, A. Prokudin, S. Melis, e-Print: arXiv:0812.4366 [hep-ph]



### fit of COMPASS data, deuteron target





best fit of Belle data

### extracted Collins functions





M.A., M. Boglione, U. D'Alesio, A. Kotzinian, S. Melis, F. Murgia, A. Prokudin, C. Türk

# Predictions for COMPASS, with a proton target, and comparison with data



A. Martin, DIS2010

Collins effect observed by three independent experiments: HERMES, BELLE and COMPASS

Collins function expected to be universal

Collins function couples to Boer-Mulders function in unpolarized SIDIS to give a  $cos(2\Phi)$  asymmetry



transversely polarized quarks inside unpolarized nucleons; interesting spin effects in unpolarized processes

possible strategy: combined analysis of  $cos(2\Phi)$ asymmetries in unpolarized Drell-Yan (B-M  $\otimes$  B-M) and in SIDIS (B-M  $\otimes$  Collins) B-M function from SIDIS data alone contributions from Cahn effect at order  $O(k_{\perp}^2/Q^2)$ Barone, Melis, Prokudin, arXiv:0912.5194

**HERMES** Proton



opposite contribution to  $\pi^+$ ,  $\pi^-$  given by B-M effect only

### fit based on simple phenomenological assumption $h_1^{\perp q}(x, k_{\perp}^2) = \lambda_q f_{1T}^{\perp q}(x, k_{\perp}^2)$



COMPASS and HERMES  $P_T$  data quite different

# $h_1^{\perp u,d}$ both negative, as expected from models $\lambda_u\simeq 2.1$ $\lambda_d\simeq -1.1$

Gaussian dependence of TMDs assumed, Sivers and Collins distributions from other fits

$$\langle k_{\perp}^2 \rangle = 0.18 \, (\mathrm{GeV}/c)^2$$

 $\langle k_{\perp}^2 \rangle = 0.20 \, (\mathrm{GeV}/c)^2$ 





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#### best fit results, antiquark distribution needed



only relative signs of B-M functions can be fixed

### what about the last 3 TMDs? any relation with the others?

$$g_{1T}^{\perp(1)a}(x) \simeq x \int_{x}^{1} \frac{\mathrm{d}y}{y} g_{1}^{a}(y)$$
$$h_{1L}^{\perp(1)a}(x) \simeq -x^{2} \int_{x}^{1} \frac{\mathrm{d}y}{y^{2}} h_{1}^{a}(y)$$
$$h_{1T}^{\perp(1)a}(x) \simeq g_{1}^{a}(x) - h_{1}^{a}(x)$$

neglecting twist-3 contributions

#### similar to the Wandzura-Wilczek relation

$$\begin{split} g_T^a(x) \simeq & \int_x^1 \frac{\mathrm{d}y}{y} \, g_1^a(y) \quad \text{supported by experiment} \\ g_{1T}^{\perp(1)a}(x) = \int \mathrm{d}^2 \mathbf{k}_\perp \, \frac{k_\perp^2}{2m_N^2} \, g_{1T}^{\perp a}(x, k_\perp^2) \end{split}$$

Avakian, Efremov, Schweitzer, Yuan, arXiv:0805.3355

#### HERMES data, PRL 84 (2000) 4047; PL B562 (2003) 182



COMPASS data, arXiv:0705.2402



Future ....

3-dimensional exploration of nucleon has just started: collect as much data as possible on TMDs and GPDs and try to reconstruct the complete phase-space distribution ideal machine: high luminosity x-range including the valence region  $Q^2$  high enough to neglect higher-twist corrections

 $P_T$  high enough to see transition from TMDs to pQCD precise  $P_T$ -Q<sup>2</sup> bins ....

plenty of challenging theoretical issues....