

Beyond Mean-Field Calculations for Odd-Mass Nuclei

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PhD thesis prepared at the University of Bordeaux,
Centre d'Etudes Nucléaires de Bordeaux Gradignan (CENBG), UMR CNRS/IN2P3.

Supervised by Michael Bender.

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- 1 Introduction
- 2 Energy functional
- 3 SR-EDF: construction of a set of one-quasiparticle states
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- 5 Application to ^{25}Mg
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Semantic Intermezzo

- EDF: Energy Density Functional
- SR-EDF: Single-Reference EDF
≈ Mean-field, HFB, nuclear DFT, ...
- MR-EDF: Multi-Reference EDF
≈ Beyond-mean-field, Projected GCM, ...

Outline of the EDF method

- 1 Nuclear binding energy: $\mathcal{E}^{nuc}[\rho, \kappa, \kappa^*]$
Functional of one-body densities ρ, κ, κ^* .

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 - Constrained minimization of the functional (e.g. deformation)
⇒ construction of a set of states.
 - Variational subset: Bogoliubov quasiparticle states.
⚠ Break some symmetries of the Hamiltonian.

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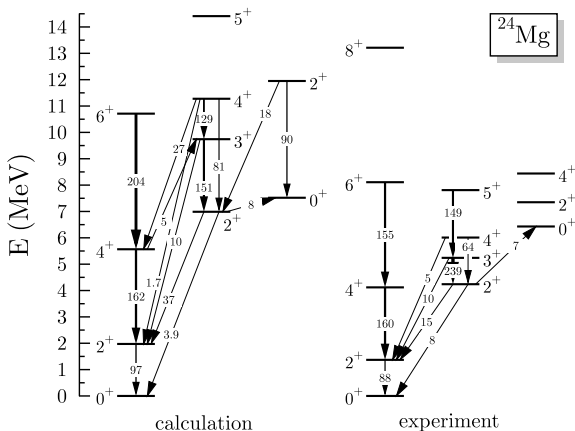
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Goals of the PhD

- Example for even-even nuclei:
Bender, Heenen, PRC **78** 024309 (2008)



Goals of the PhD

Possibilities:

- Similar level of modeling in the description of even-even and odd-even nuclei in the EDF method.
- Spectroscopy of odd-even nuclei.
Observables: angular momentum, parity, excitation energies, moments, transition probabilities, ...

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Required:

- Configuration mixing of particle-number and angular-momentum projected one-quasiparticle states.

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Energy functional

$$\mathcal{E}^{nuc}[\rho, \kappa, \kappa^*]^{ab} = \frac{\langle \Phi_a | \hat{H} | \Phi_b \rangle}{\langle \Phi_a | \Phi_b \rangle}$$

$$\rho^{ab} = \frac{\langle \Phi_a | \hat{a}^\dagger \hat{a} | \Phi_b \rangle}{\langle \Phi_a | \Phi_b \rangle}, \quad \kappa^{ab} = \frac{\langle \Phi_a | \hat{a} \hat{a} | \Phi_b \rangle}{\langle \Phi_a | \Phi_b \rangle}, \quad \kappa_t^{ba^*} = \frac{\langle \Phi_a | \hat{a}^\dagger \hat{a}^\dagger | \Phi_b \rangle}{\langle \Phi_a | \Phi_b \rangle}.$$

- $|\Phi_a\rangle, |\Phi_b\rangle$: different quasiparticle states.
 $\langle \Phi_a | \Phi_b \rangle \neq 0$ (condition to use the EWT of Balian-Brézin)
- \mathcal{E}^{nuc} **directly and uniquely** determined by \hat{H} .
 \Rightarrow respect the **Pauli principle**.

What's in \hat{H} ?

$$\hat{H} = \hat{K}^{(1)} + \hat{V}_{Coul}^{(2)} + \hat{V}_{Sky}^{(2-4)}$$

- $\hat{K}^{(1)}$: kinetic energy (+ CoM corr.).
- $\hat{V}_{Coul}^{(2)}$: Coulomb interaction.
- $\hat{V}_{Sky}^{(2-4)}$: Skyrme pseudo-potential. **Phenomenological.**

The Skyrme pseudo-potential

$$\hat{V}_{Sky}^{(2-4)} = \hat{V}_{Sky}^{(2)} + \hat{V}_{Sky}^{(3)} + \hat{V}_{Sky}^{(4)}$$

- $\hat{V}_{Sky}^{(2)} = t_0 (1 + x_0 \hat{\Gamma}_{12}^\sigma) \hat{\delta}_{r_1 r_2} + \frac{t_1}{2} (1 + x_1 \hat{\Gamma}_{12}^\sigma) \left(\hat{k}_{12}'^2 \hat{\delta}_{r_1 r_2} + \hat{\delta}_{r_1 r_2} \hat{k}_{12}'^2 \right) + t_2 (1 + x_2 \hat{\Gamma}_{12}^\sigma) \hat{k}_{12}' \hat{\delta}_{r_1 r_2} \cdot \hat{k}_{12} + iW_0 (\hat{\sigma}_1 + \hat{\sigma}_2) \hat{k}_{12}' \hat{\delta}_{r_1 r_2} \times \hat{k}_{12}$
- $\hat{V}_{Sky}^{(3)} = u_0 (\hat{\delta}_{r_1 r_3} \hat{\delta}_{r_2 r_3} + \hat{\delta}_{r_3 r_2} \hat{\delta}_{r_1 r_3} + \hat{\delta}_{r_2 r_1} \hat{\delta}_{r_3 r_1})$
- $\hat{V}_{Sky}^{(4)} = v_0 (\hat{\delta}_{r_1 r_3} \hat{\delta}_{r_2 r_3} \hat{\delta}_{r_3 r_4} + \dots)$
- 9** parameters.
- SLyMR0 parametrization.
 Sadoudi *et al.* Physica Scripta T154 014013 (2013).

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Bogoliubov quasiparticle states

- Defined by a unitary Bogoliubov transformation.
- Generalized product states (Slater determinants).
- Include **pairing** correlations
... but do not have a good number of particles.
- We restrict ourselves to the symmetries of a subgroup of D_{2h}^{TD} (parity, signature, y-time Simplex).
⇒ **Triaxial deformations.**
- We consider only **one-quasiparticle** excitations.

Minimization of quasiparticle states

$$\text{Minimization: } \delta \mathcal{E}^{nuc}[\rho, \kappa, \kappa^*]^{aa} = 0$$

Constraints using Lagrange parameters:

- Neutron number: $\langle \Phi_a | \hat{N} | \Phi_a \rangle = N$
- Proton number: $\langle \Phi_a | \hat{Z} | \Phi_a \rangle = Z$
- Quadrupole deformation: $\langle \Phi_a | \hat{Q} | \Phi_a \rangle = Q$

Minimization of quasiparticle states

$$\text{Minimization: } \delta \mathcal{E}^{nuc}[\rho, \kappa, \kappa^*]^{aa} = 0$$

- Self-consistent problem: solved by an iterative procedure.
- Solved on a 3d cartesian mesh.
- Solved for different values of Q and/or one-quasiparticle excitations.

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Symmetry group of the Hamiltonian

Let G be a group with an unitary representation: $g \in G \rightarrow \hat{U}(g)$
and irreducible representations $D^\lambda(G)$ of dimension d_λ .

Symmetry group of the Hamiltonian

$$\forall g \in G, [\hat{H}, \hat{U}(g)] = 0$$

Consequences:

- There exist common bases for the eigenspaces of \hat{H} and the irreps $D^\lambda(G)$ of $G \Rightarrow$ quantum number λ
- The eigenstates of \hat{H} have degeneracies $\geq d_\lambda$.

Symmetry broken by the quasiparticle states

The quasiparticle state $|\Phi_a\rangle$ breaks the symmetry G of the Hamiltonian:

$$|\Phi_a\rangle = \sum_{\lambda} \sum_{\tau} \sum_{i=1}^{d_{\lambda}} c_i^{\lambda\tau} |\lambda i\tau, a\rangle$$

$|\lambda i\tau, a\rangle$: basis state of $D^{\lambda}(G)$ in the subspace $S(G|\Phi_a)$

$$S(G|\Phi_a) \equiv \{ \int f(g) \hat{U}(g) |\Phi_a\rangle, f(g) \in L^2(G) \}$$

This is contrary to the properties of the eigenstates of \hat{H} (but this is simpler at the SR-EDF level).

Projection Operators

Projection operator \hat{P}_{lm}^ν :

$$\hat{P}_{lm}^\nu |\lambda i\rangle = \delta_{\nu\lambda} \delta_{mi} |\lambda l\rangle$$

Properties:

$$\hat{P}_{lm}^{\nu\dagger} = \hat{P}_{ml}^\nu$$

$$\hat{P}_{jk}^\nu \hat{P}_{lm}^\mu = \hat{P}_{jm}^\nu \delta_{\nu\mu} \delta_{kl}$$

Finite groups:

$$\hat{P}_{lm}^\nu = \frac{d_\nu}{n_G} \sum_g^{n_G} D_{lm}^{\nu*}(g) \hat{U}(g)$$

Compact Lie groups:

$$\hat{P}_{lm}^\nu = \frac{d_\nu}{v_G} \int_{g \in G} dv_G(g) D_{lm}^{\nu*}(g) \hat{U}(g)$$

Symmetry restoration by a projection technique

Projection of a quasiparticle state

$$\hat{P}_{lm}^\nu |\Phi_a\rangle = \sum_{\tau} c_m^{\nu\tau} |\nu l \tau, a\rangle$$

Sufficient for abelian groups ($d_\nu = 1$), otherwise we have also to diagonalize \hat{H} :

$$|\nu l \epsilon, a\rangle = \sum_{m=1}^{d_\nu} f_\epsilon^\nu(a, m) \hat{P}_{lm}^\nu |\Phi_a\rangle$$

$$\frac{\delta}{\delta f_\epsilon^{\nu*}(a, m')} \left(\frac{\langle \nu l \epsilon, a | \hat{H} | \nu l \epsilon, a \rangle}{\langle \nu l \epsilon, a | \nu l \epsilon, a \rangle} \right) = 0$$

Particle-number and angular-momentum projections

Conservation of the neutron and proton numbers:

- $U(1)_N \times U(1)_Z$
- Broken by: pairing correlations.

Conservation of total angular momentum:

- $SU(2)_A$
- Broken by: quadrupole deformation.

Configuration mixing (GCM)

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Congifuration mixing

- Mixing of projected states obtained from different quasiparticle states ($|\Phi_a\rangle, |\Phi_b\rangle, \dots$).

Configuration mixing (GCM)

- $|\Lambda M \xi\rangle = \sum_{i=1}^{\Omega_I} \sum_{\epsilon=1}^{\Omega_i^\Lambda} F_\xi^\Lambda(i, \epsilon) |\Lambda M \epsilon, i\rangle$

$$\Lambda \equiv (J, N, Z, P)$$

Ω_I : set of states $|\Phi_i\rangle$

Ω_i^Λ : set of projected states given (Λ, i) .

- i : deformation and blocked quasiparticle.

$$\frac{\delta}{\delta F_\xi^{\Lambda*}(i, \epsilon)} \left(\frac{\langle \Lambda M \xi | \hat{H} | \Lambda M \xi \rangle}{\langle \Lambda M \xi | \Lambda M \xi \rangle} \right) = 0 \implies F_\xi^\Lambda(i, \epsilon) \text{ et } E_\xi^\Lambda(\Omega_I)$$

Outline of the EDF method v2.0

We define a EDF (\equiv effective Hamiltonian).



We create a set of one-quasiparticle states: $|\Phi_a\rangle$, ($a = \dots$).



We project each of them on the good quantum numbers:

$$|JMNZP\epsilon, a\rangle.$$



We diagonalize the (effective) Hamiltonian between the projected states: $|JMNZP\xi\rangle$.



We calculate observables.

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Motivations

- Proof of principle.
- Light nucleus with a simple structure.
- Phys. Rev. Lett. **113** 162501 (2014)

Parallel computational resources

CNRS-GENCI

Supercomputer **Turing**

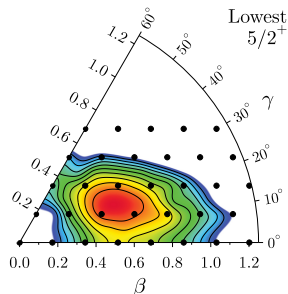
- 838.9 Teraflops.
- $\approx 600\,000$ CPU hours (≈ 345 million hours available/year).

University of Bordeaux

Supercomputer **Avakas**

- 38.8 Teraflops.
- $\approx 200\,000$ Turing equivalent hours.

Characteristics of the Configuration Mixing (GCM)



- Discretization mesh (q_1, q_2): 40 fm^2
 - Several 1qp states at each deformation.
- Total number of one-quasiparticle states used:
 - positive parity: 100 states.
 - negative parity: 60 states.

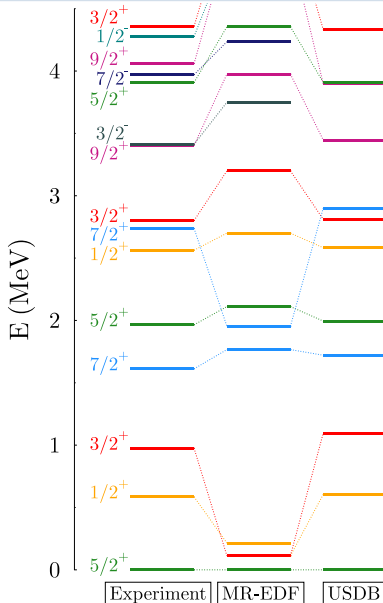
Ground-state properties

	J^π	Binding energy (MeV)	Q_s (e fm ²)	μ (μ_N)
Experiment	$\frac{5}{2}^+$	-205.587	20.1(3)	-0.85545(8)
MR-EDF	$\frac{5}{2}^+$	-221.875	23.25	-1.054

- No effective charge or effective g -factor!

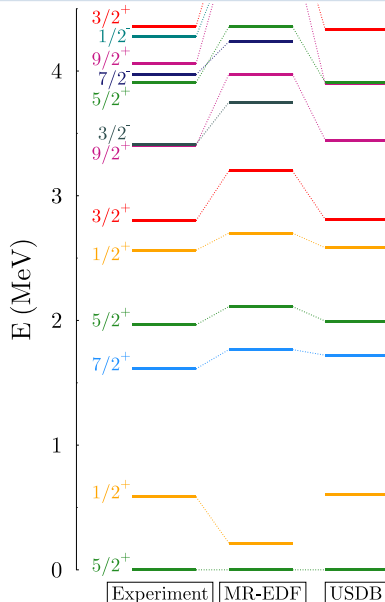
- Experiment: Nuclear Data Sheets **110** 1691 (2009)

Low-energy spectrum



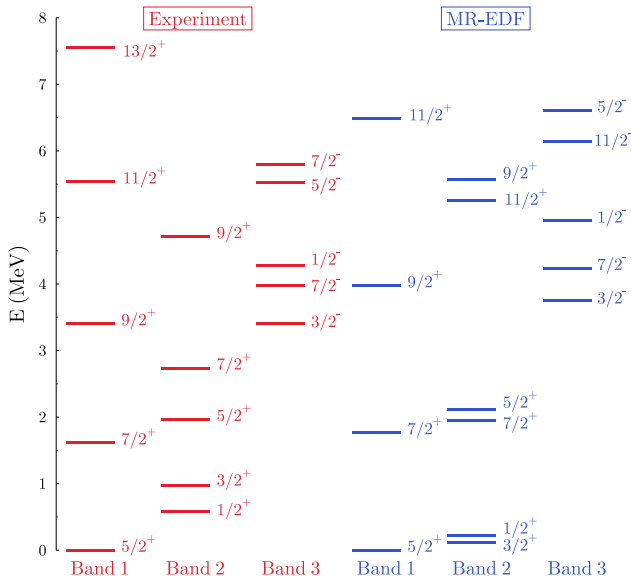
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And not fitted specifically to the sd shell!
- Negative parity states!

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Rotational bands



Ground-state band

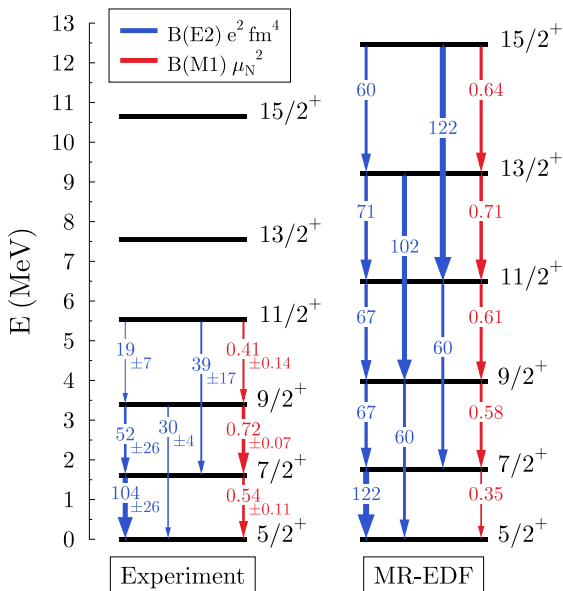


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Conclusion

Calculation of ^{25}Mg :

- Overall reasonable description ...
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- Proof of principle of the method.

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- ... especially considering the limited quality of SLyMR0.
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Goals of the PhD:

- ✓ Treatment of even-even and odd-even (and even odd-odd) nuclei on the same footing.
- ✓ MR-EDF calculations with a Hamiltonian-based functional.
- ✓ Spectroscopy of odd-mass nuclei.
- ✗ World domination.

Outlook

- Urgent need for a better Skyrme parametrization.
→ gradient three-body terms as derived by J. Sadoudi
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A \lesssim 60 : possible.
60 \lesssim A : how many CPU hours do you have access to?

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- Comparison between EDF and *ab-initio* methods.
- And a lot of other stuff as well ...