

The r-process nucleosynthesis: astrophysics and nuclear physics challenges

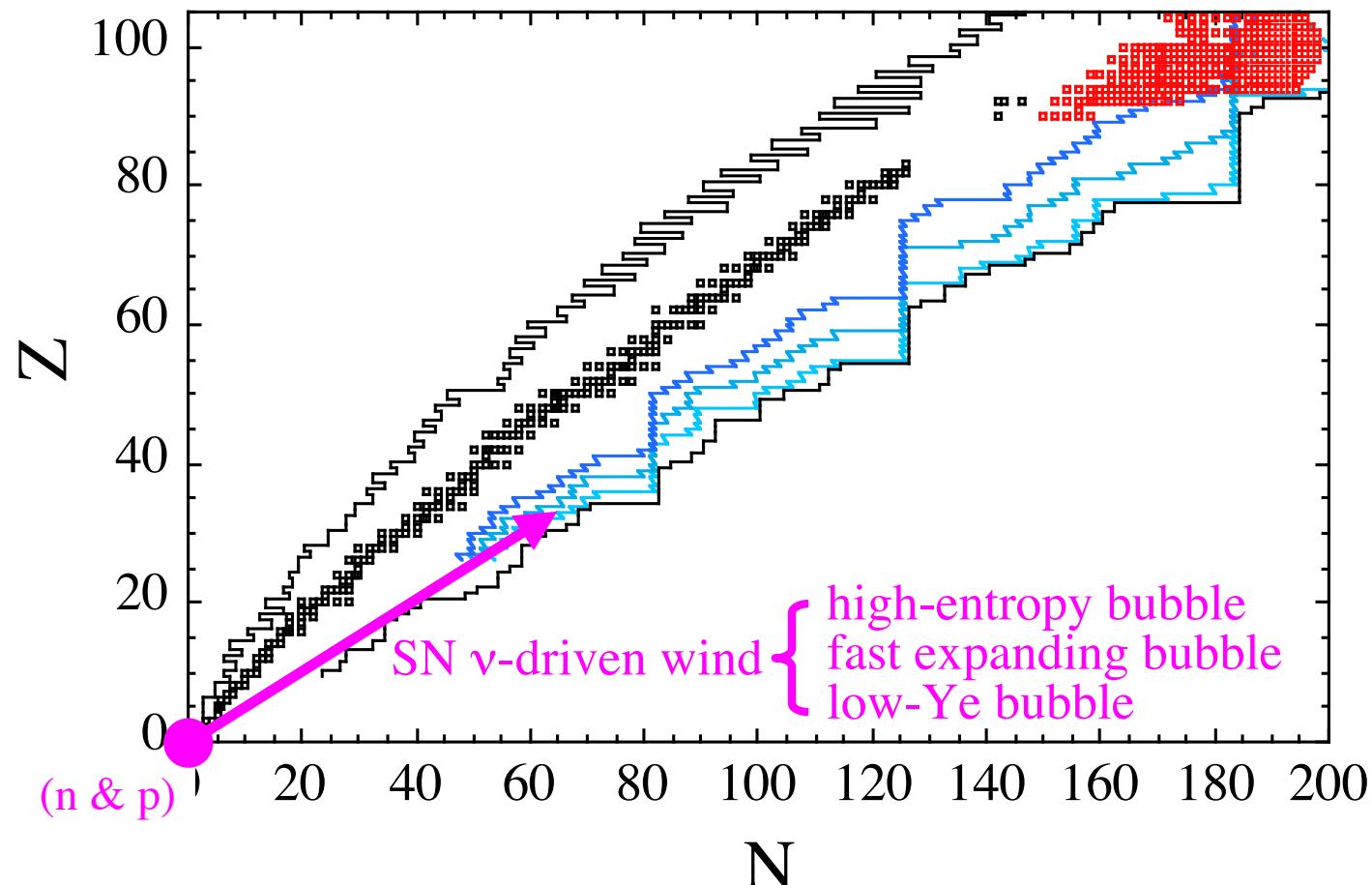
S. Goriely

Institut d'Astronomie et d'Astrophysique
Université Libre de Bruxelles

1. Astrophysics considerations: SN & NS
2. Nuclear models of relevance for astrophysics applications
 - Mass models
 - Fission rates

The r-process nucleosynthesis

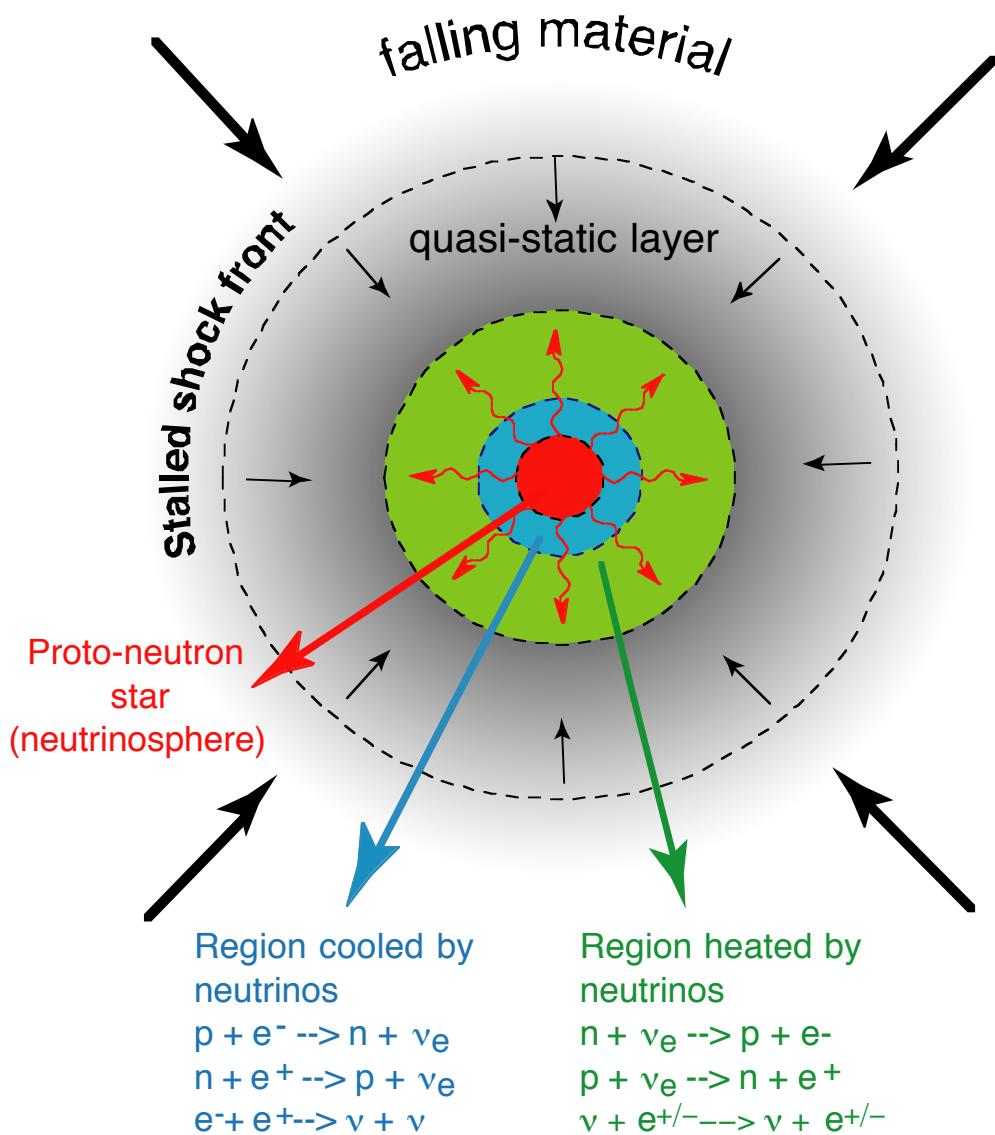
one of the still unsolved puzzles in astrophysics
... the r-process site remains unknown ...



Supernovae: the favoured r-process site ??

Many subjective interpretations, unconfirmed speculations, fast conclusions, ...

The favorite r-process site: the ν -driven wind in SNII



Decompression of hot material

n, p at $T_9 \approx 10$ $\rho \sim 10^6 \text{ g/cm}^3$

↓ NSE

${}^4\text{He}$ recombination

↓ $\alpha \alpha n - {}^9\text{Be}(\alpha, n)$

${}^{12}\text{C}$ bottleneck

↓ $(\alpha, \gamma) \text{ & } (\alpha, n)$

$60 \leq A \leq 100$ seed

↓ $(n, \gamma) \text{ & } (\gamma, n)$
+ β -decays

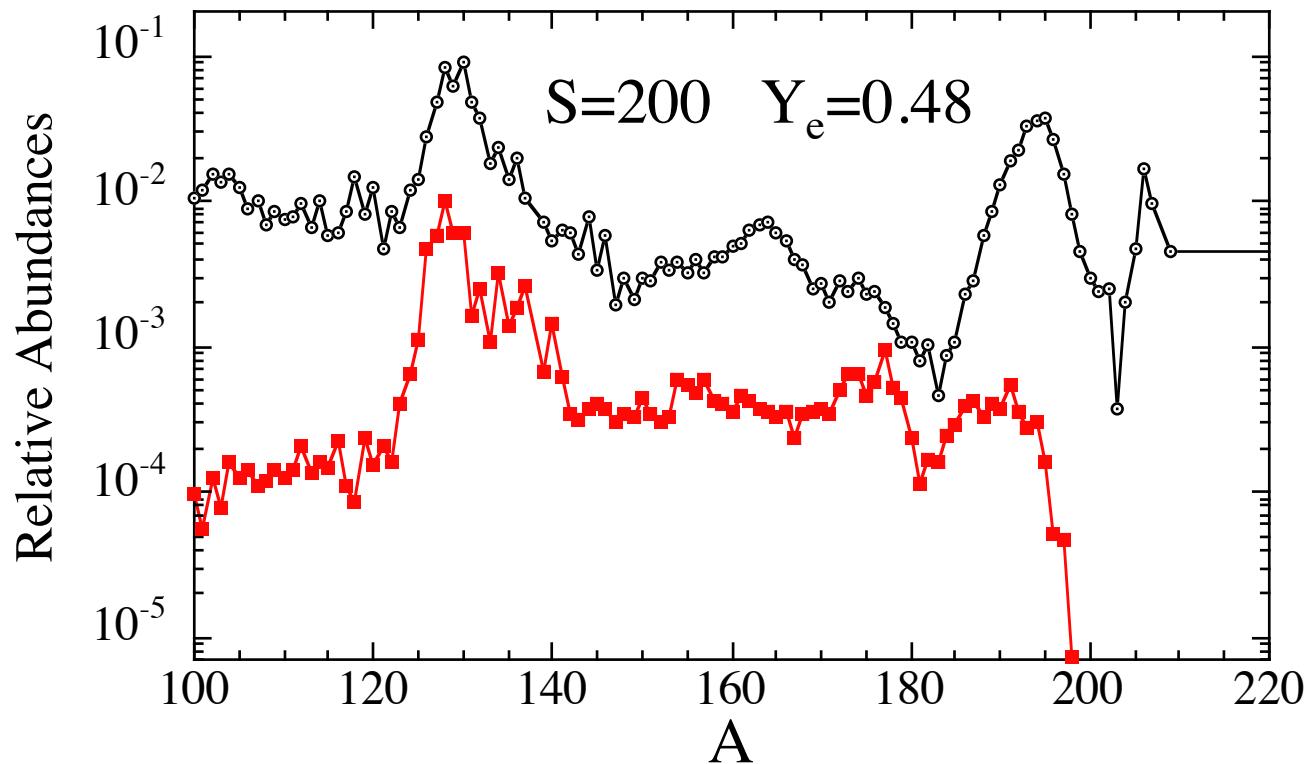
r-process

if Y_n/Y_{seed} large enough !!

Artificially large S , small Y_e, τ_{ex}

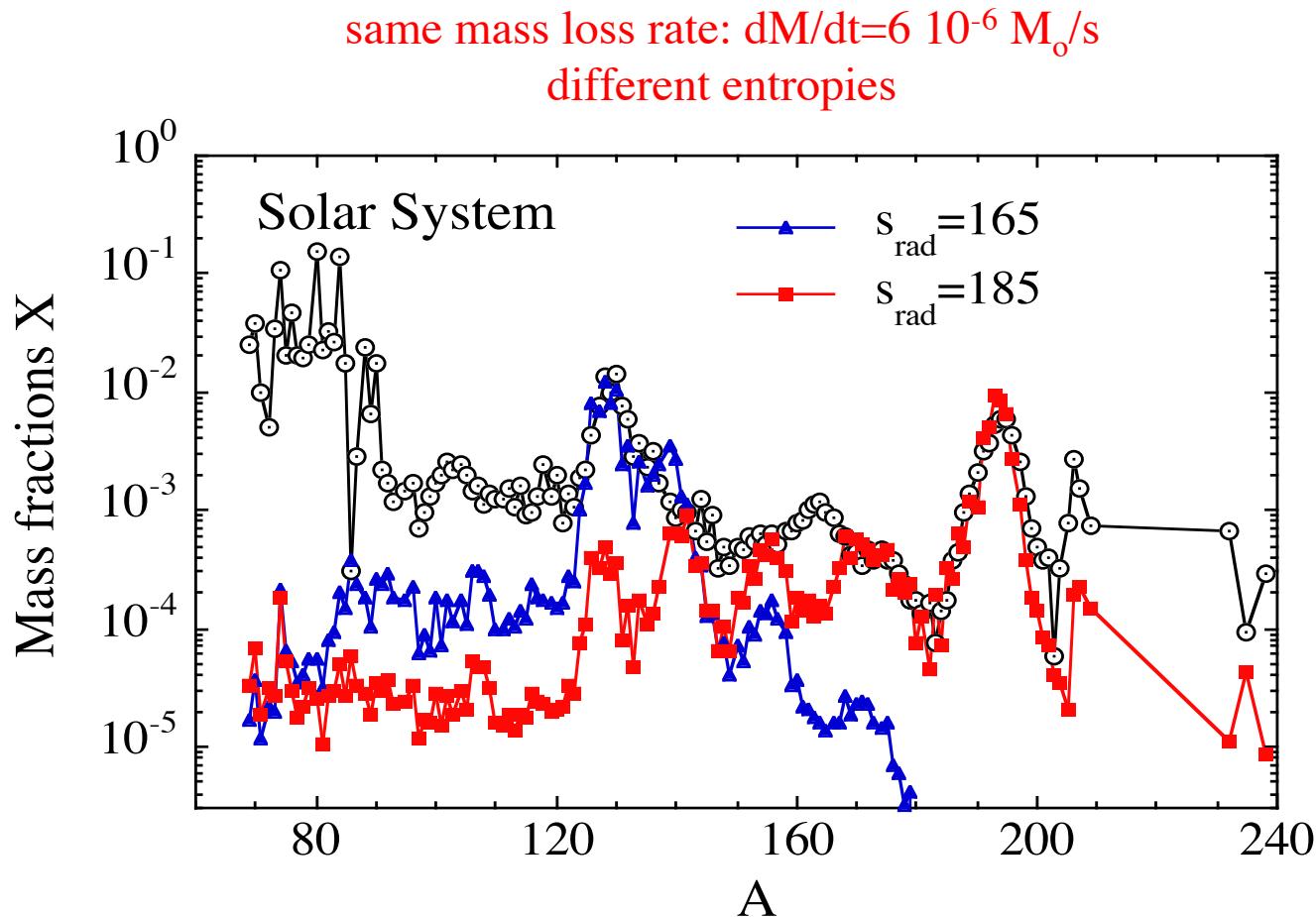
r-abundance distribution in the ν -driven wind

Extremely sensitive to the (unknown) thermodynamic profiles (S, Y_e, τ_{exp})



Sensitivity of the r-process nucleosynthesis to the wind conditions

Wind model of Janka & Takahashi (1997): same initial $Y_e=0.48$

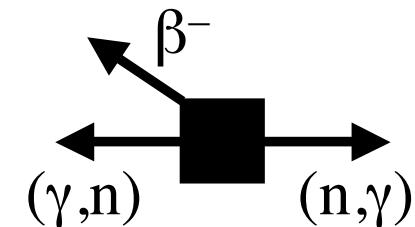


only hydrodynamic simulations can tell
... but the explosion has to be under control first ...

Sensitivity to nuclear physics inputs

Nuclear needs

- all n- p-, α - captures and inverse rates for the initial α -process
- ν -nucleus (CC, NC) interactions (close to the proto-NS)
- radiative neutron capture & photodisintegration rates
(cold versus hot ν -driven winds)
- β -decay rates
- fission probabilities (if any !?)

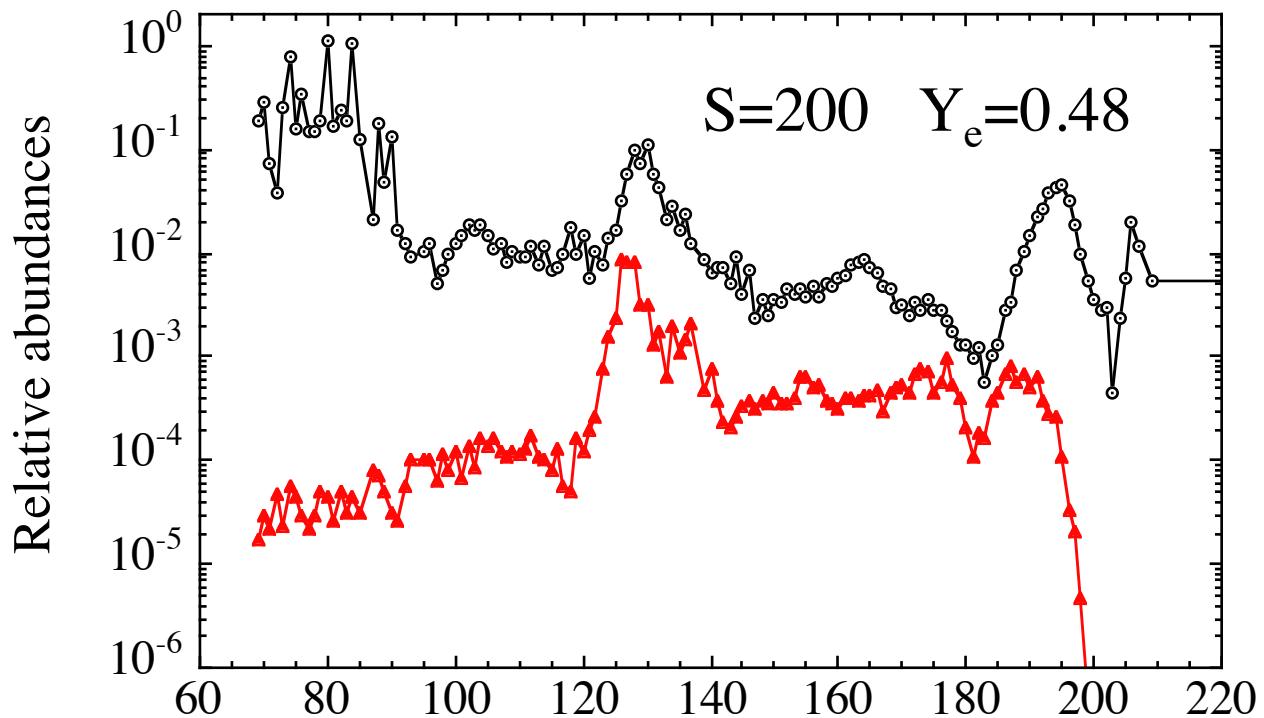


Sensitivity to nuclear masses and corresponding rates

Comparison for 3 different mass models:

HFB-18: Skyrme HFB mass model

$\sigma(2149 \text{ nuclei})=585 \text{ keV}$

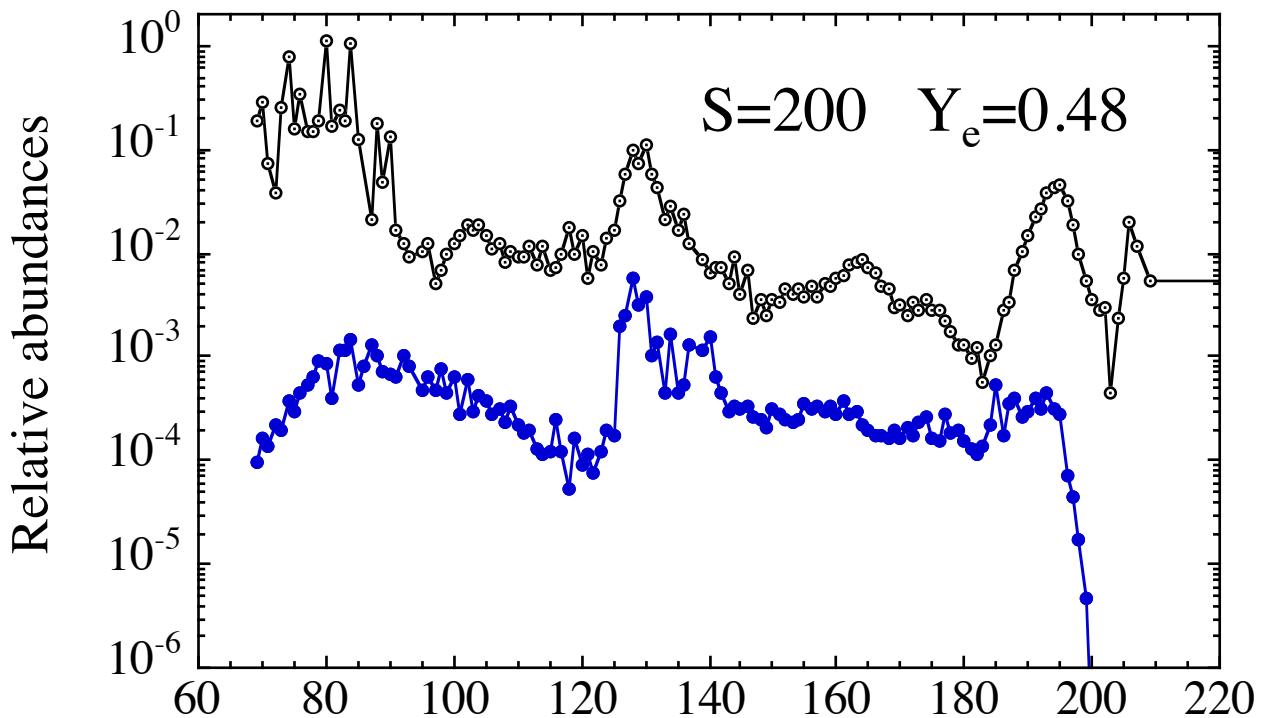


Sensitivity to nuclear masses and corresponding rates

Comparison for 3 different mass models:

HFB-D1M: Gogny HFB mass model

$\sigma(2149 \text{ nuclei})=798 \text{ keV}$

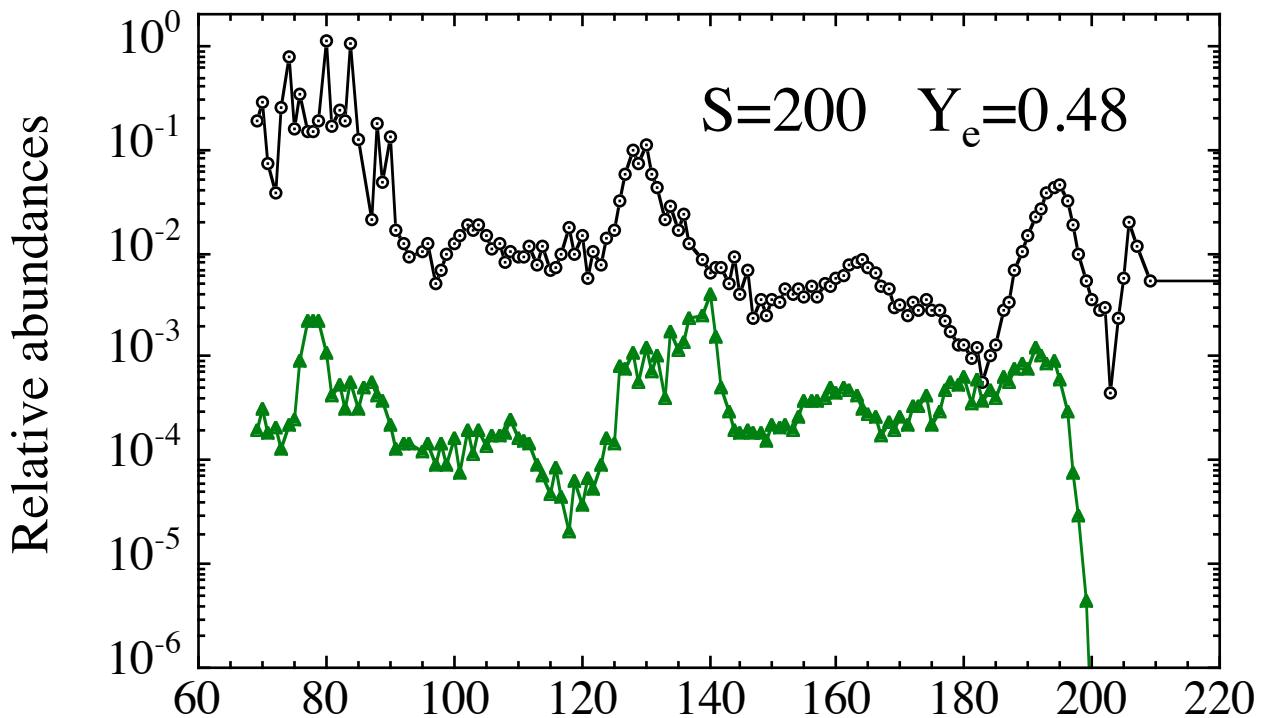


Sensitivity to nuclear masses and corresponding rates

Comparison for 3 different mass models:

FRDM: mic-mac mass model

$\sigma(2149 \text{ nuclei})=656 \text{ keV}$



Sensitivity to nuclear masses and corresponding rates

Comparison for 3 different mass models:

HFB-18: Skyrme HFB mass model

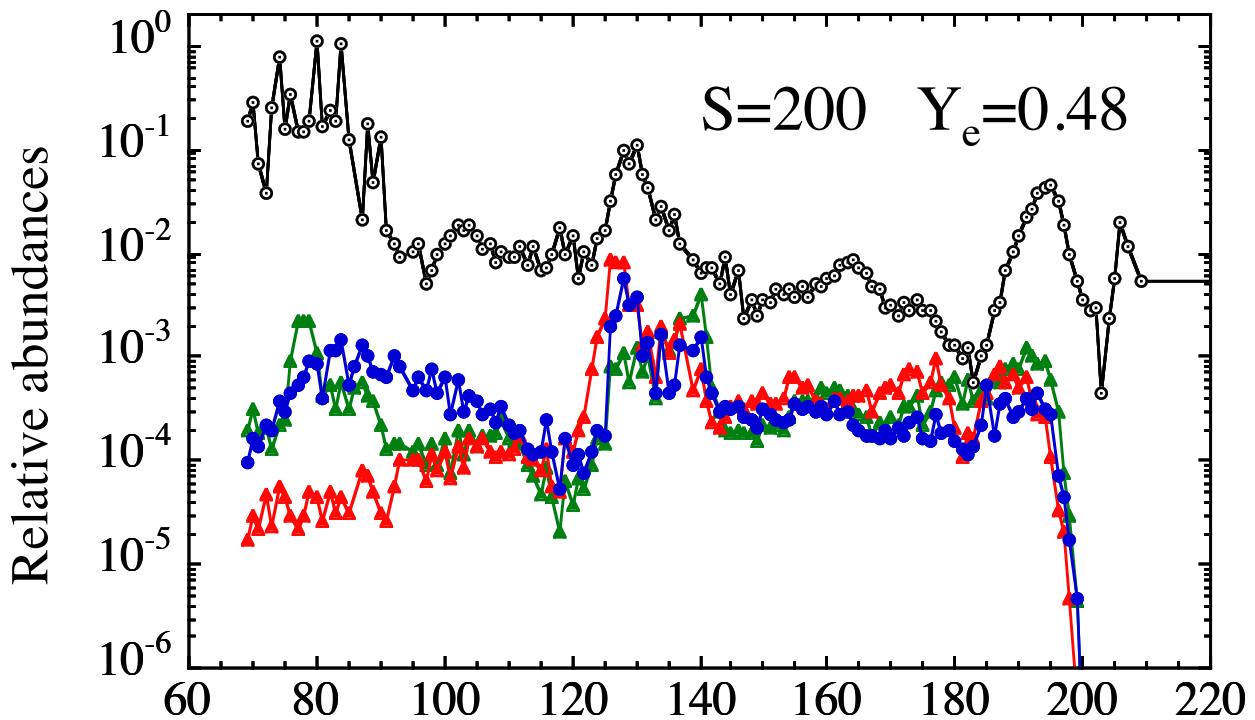
$$\sigma(2149 \text{ nuclei}) = 585 \text{ keV}$$

HFB-D1M: Gogny HFB mass model

$$\sigma(2149 \text{ nuclei}) = 798 \text{ keV}$$

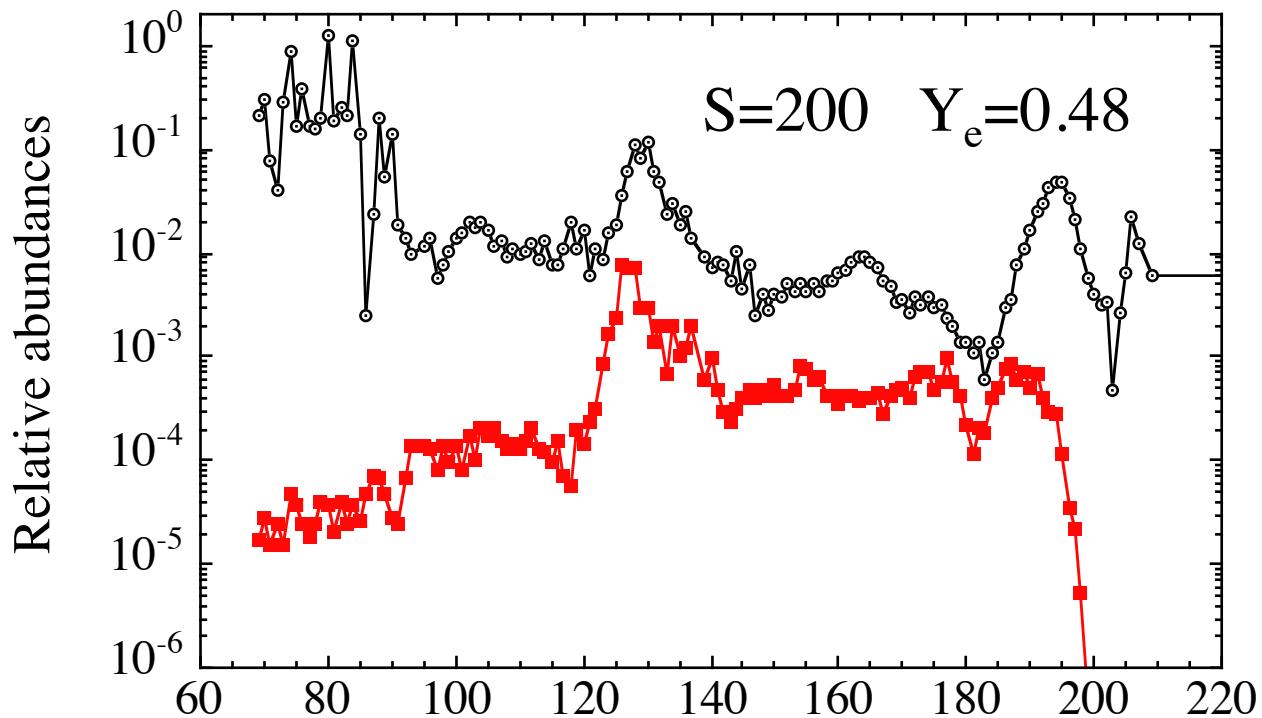
FRDM: mic-mac mass model

$$\sigma(2149 \text{ nuclei}) = 656 \text{ keV}$$



Sensitivity to β -decay rates

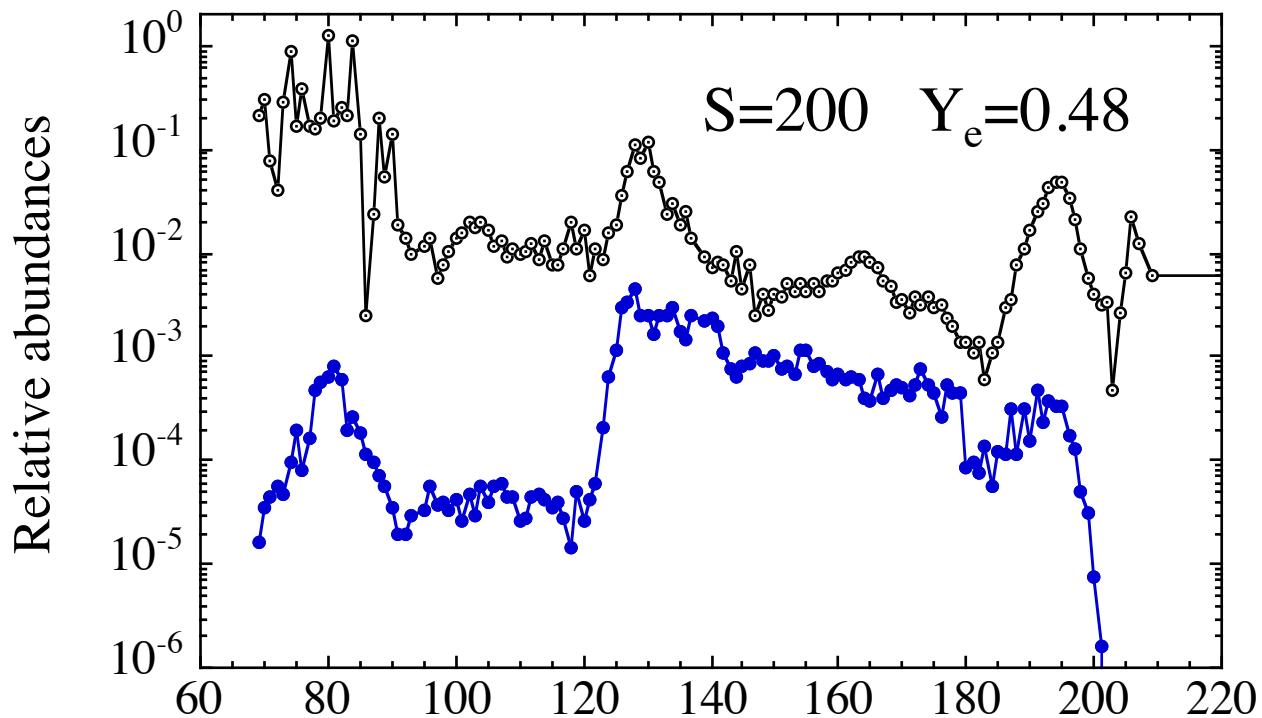
Comparison for 3 differents β -decay models:
Gross Theory (GT2)



Sensitivity to β -decay rates

Comparison for 3 differents β -decay models:

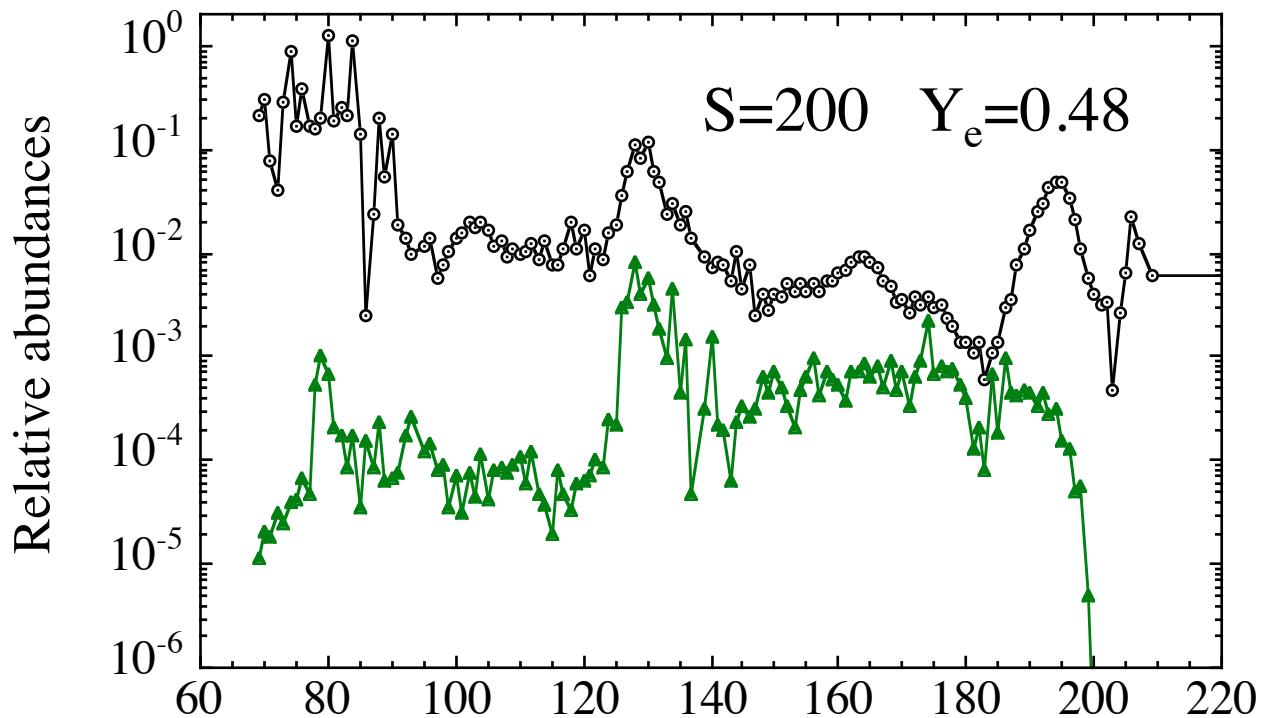
Klapdor et al. (TDA)



Sensitivity to β -decay rates

Comparison for 3 different β -decay models:

FRDM+QRPA



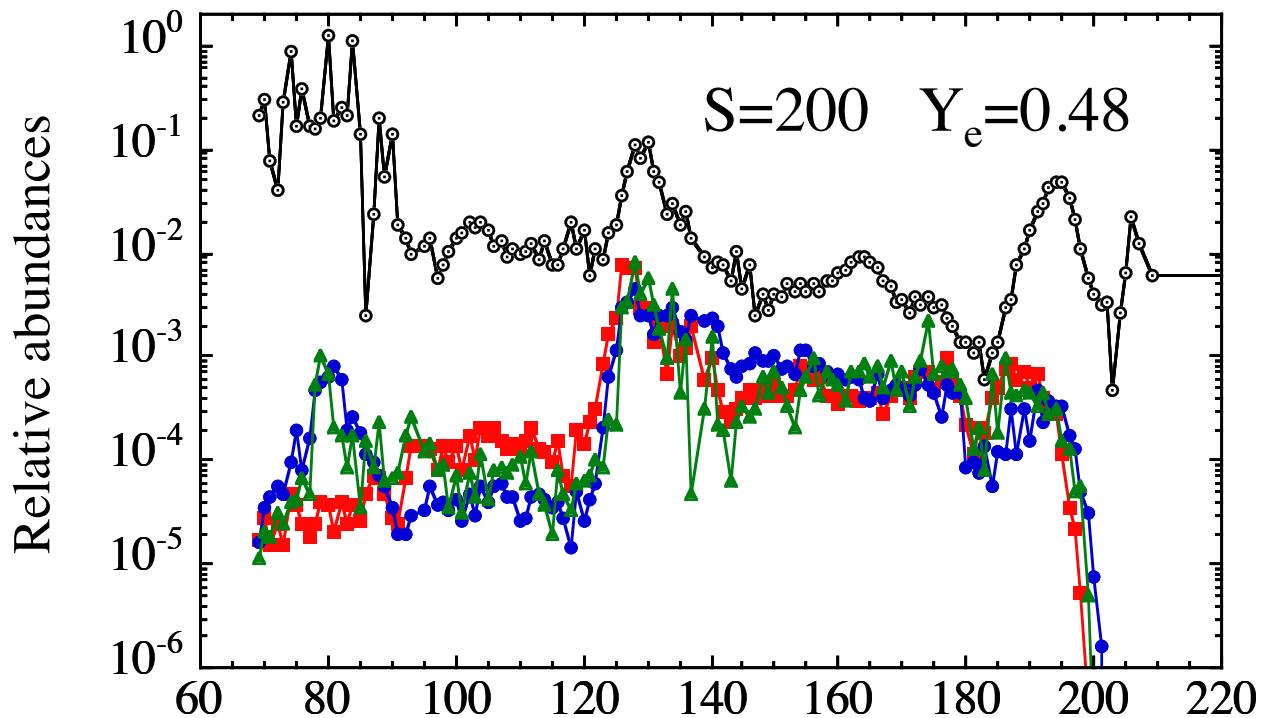
Sensitivity to β -decay rates

Comparison for 3 different β -decay models:

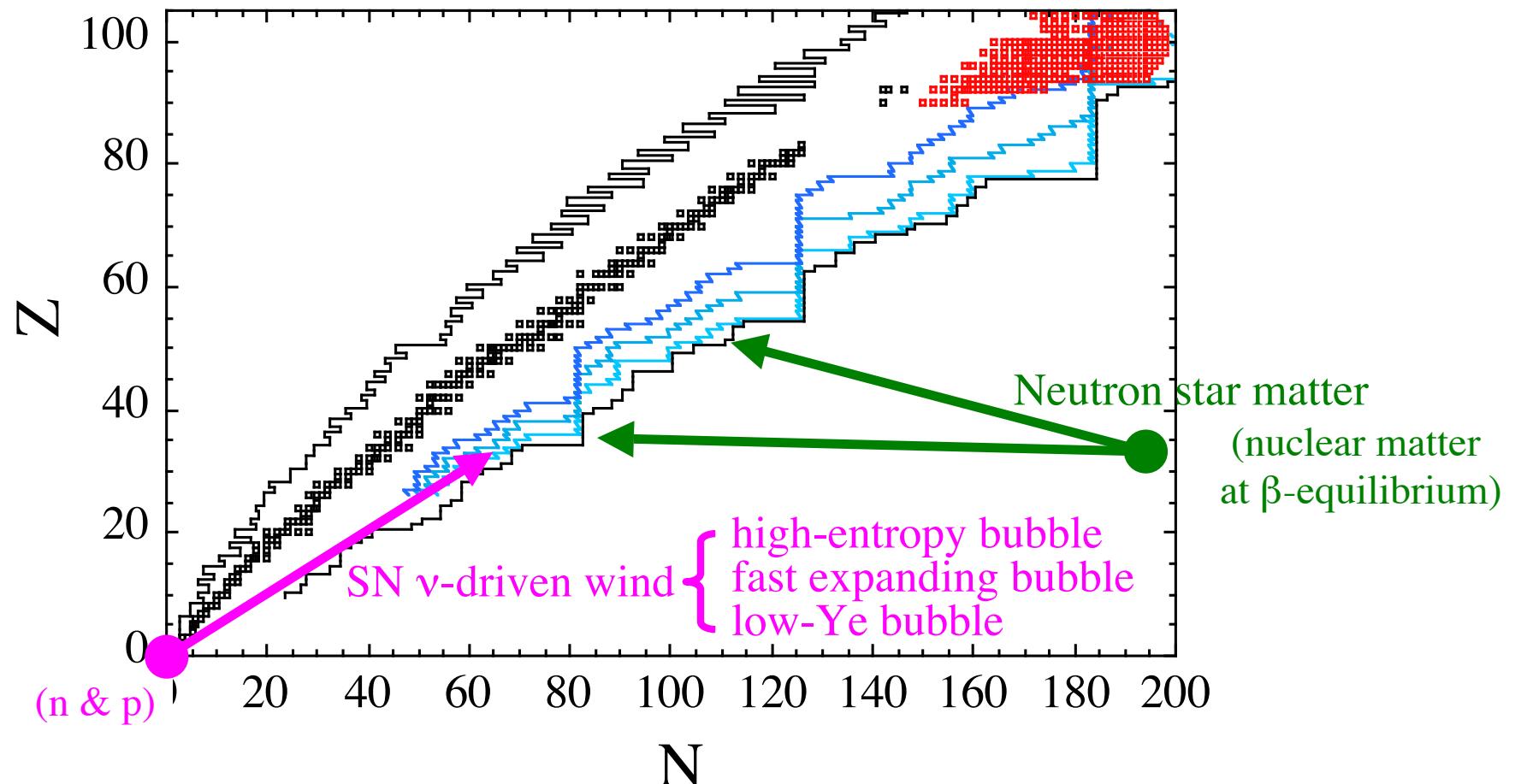
Gross Theory (GT2)

Klapdor et al. (TDA)

FRDM+QRPA

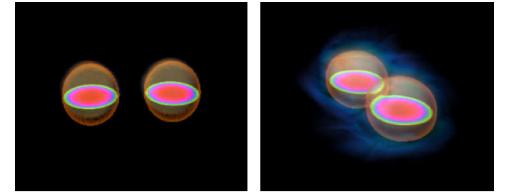


An alternative r-process scenario: the decompression of NS matter (initial conditions: high-density matter)

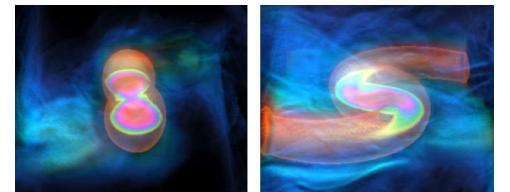


Decompression of neutron star matter

-Neutron star mergers (NS-NS or NS-BH)

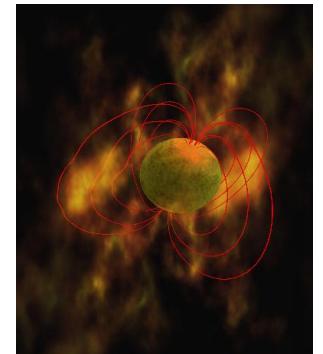
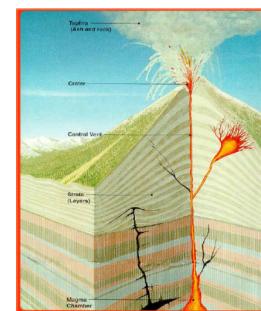
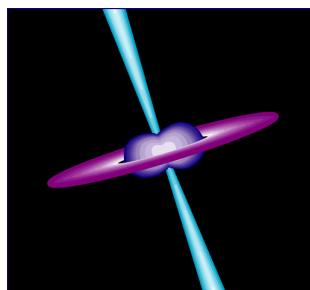


-Explosion of neutron stars below minimum mass (e.g by mass transfer)



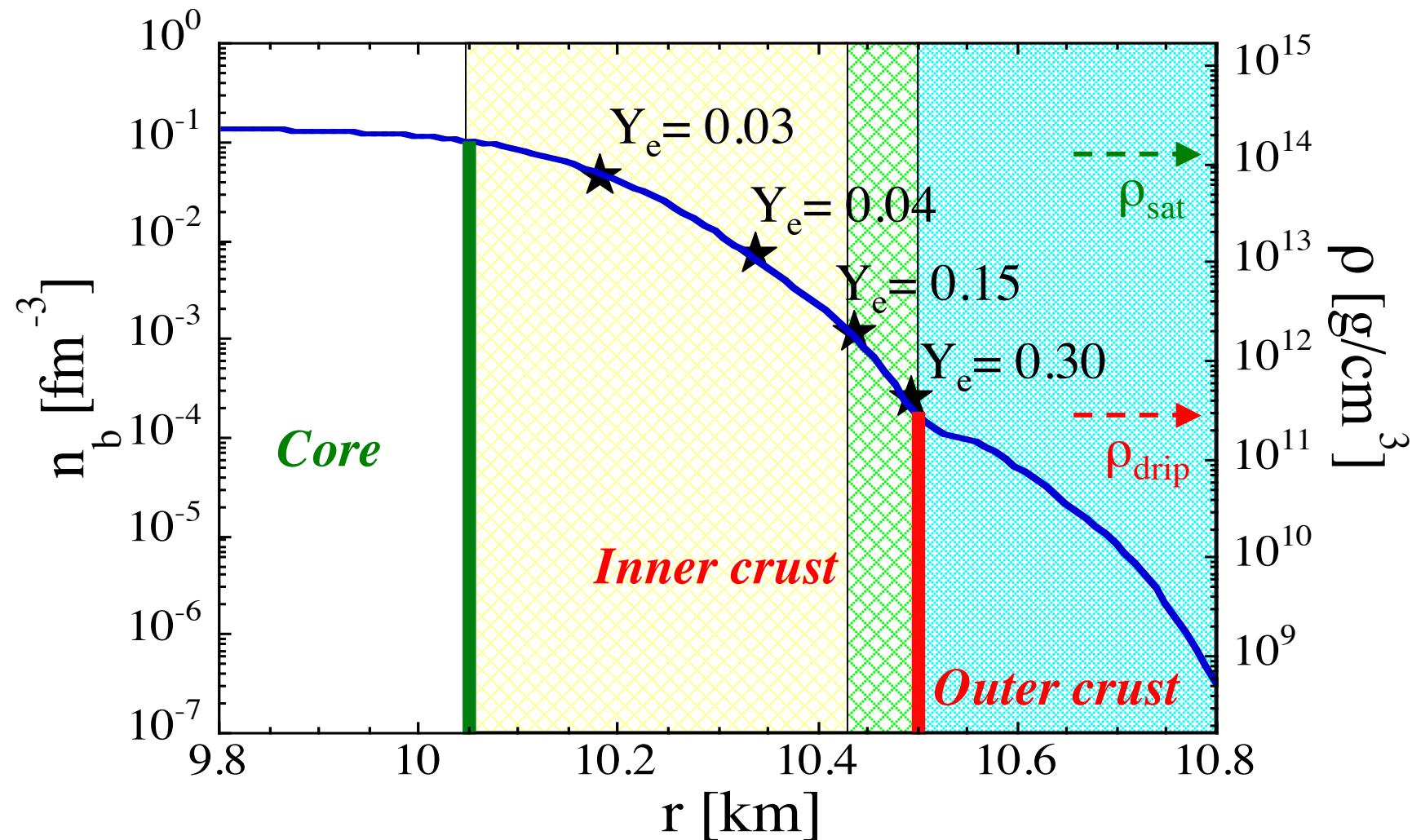
-Other speculative sites:

- Post-merger flows
- Volcano eruptions
- Magnetar outflows



Decompression of the NS crust material

$\rho \leq 4.2 \cdot 10^{11} \text{ g/cm}^3$: Outer crust
 $4.2 \cdot 10^{11} \leq \rho \leq 10^{12} \text{ g/cm}^3$: Reduced $Y_n/Y_{\text{seed}} < 100$
 $10^{12} \leq \rho \leq 10^{14} \text{ g/cm}^3$: Large $Y_n/Y_{\text{seed}} \sim 100 - 1000$



The NS outer crust at $T > 0$

Assuming the hot NS (β -equilibrated) outer crust cools down to a $T_9 \sim 10$

Establishment of a Nuclear Statistical Equilibrium at a given T & high ρ

- No time for β -equilibrium at $T < 10^{10}$ K: $Y_e = Y_e(T \sim 10^{10}$ K)
- Coulomb effect of significant importance: must be included in the NSE equations at high ρ :

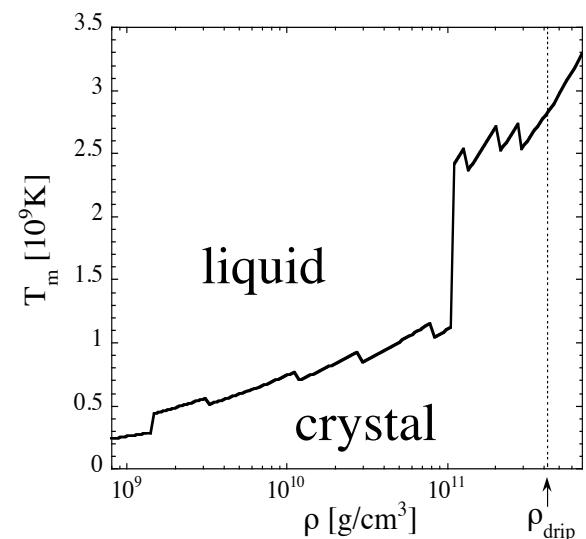
$$Q_{Z,A} = \Delta m_{Z,A} c^2 + \mu_{Z,A}^C - Z\mu_p^C \quad \mu_C: \text{Coulomb correction to the chemical potential}$$

where $\mu_{Z,A}^C = k_B T f_C(\Gamma_{Z,A})$ function of the Coulomb-coupling constant Γ
(e.g Bravo & Garcia-Senz, 1999)

Coulomb liquid / crystal depending
on the lattice melting temperature:

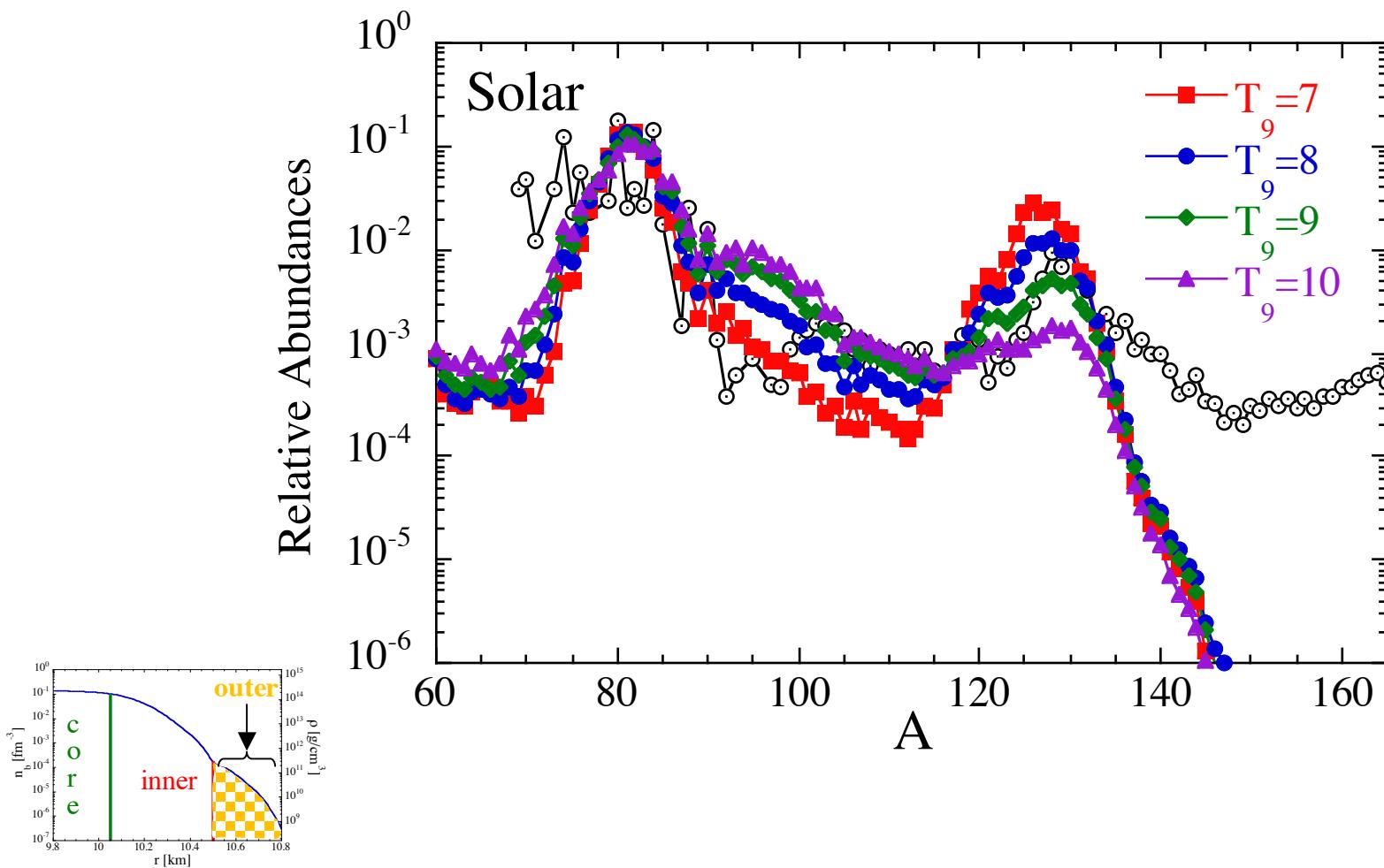
$$T_m = \frac{Z^2 e^2}{k_B r_{WS} \Gamma_m} \Rightarrow T_m \sim 0.15 Z^2 n_b [\text{fm}^3]^{1/3} 10^9 \text{K}$$

Solid-liquid phase transition for $\Gamma_m \sim 175.0 \pm 0.4$



Abundance distribution of the whole TOV-integrated outer crust
 cooling down from a β -equilibrium at $T=10^{10}$ K and reaching NSE
 at a temperature T

Outer crust: $\rho \leq 4.2 \cdot 10^{11} \text{ g/cm}^3$



Decompression of the outer crust initially at NSE at a temperature T

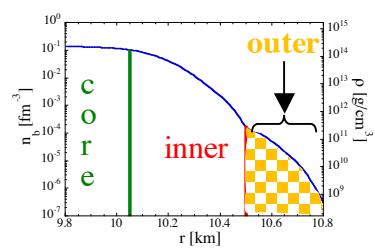
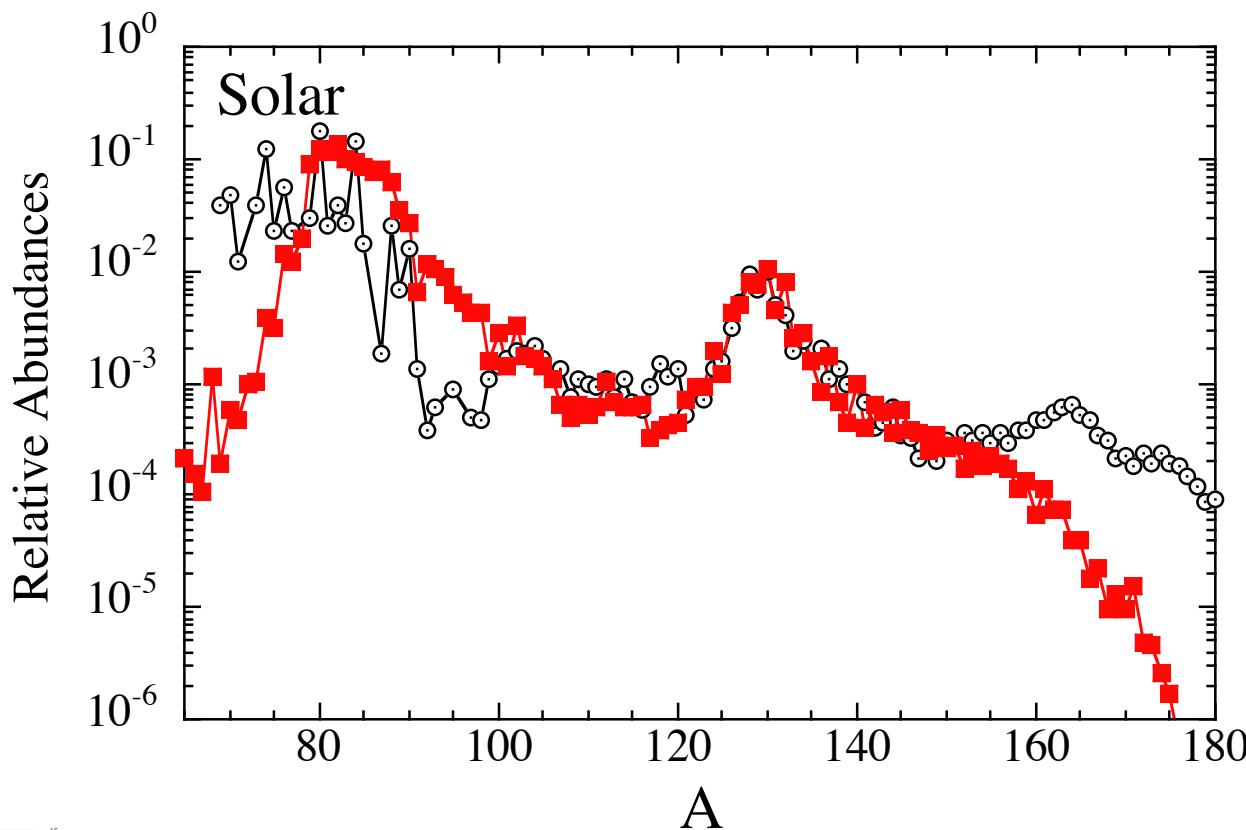
For $\rho \ll \rho_{\text{drip}}$, no free neutrons (except from β -delayed n-emission)

--> essentially similar distribution (smoothing)

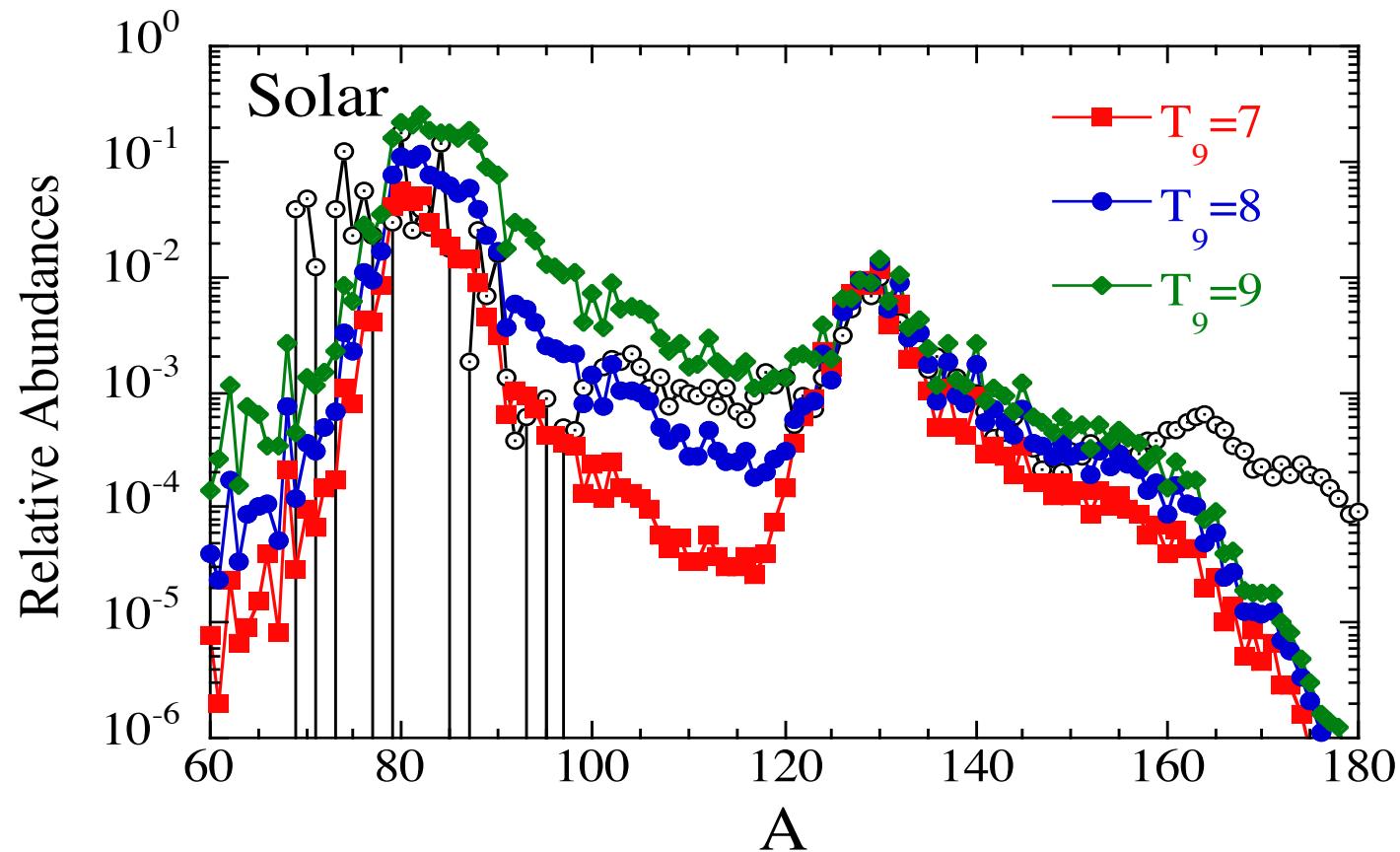
For $\rho \sim \rho_{\text{drip}}$, free neutrons from heating ... up to about ~ 10 n/seed

--> modification of the A -distribution

Final abundance distribution after decompression of the whole
TOV-integrated outer crust initially at NSE at $T=8.5 \cdot 10^9 \text{ K}$



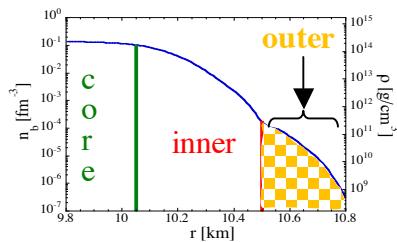
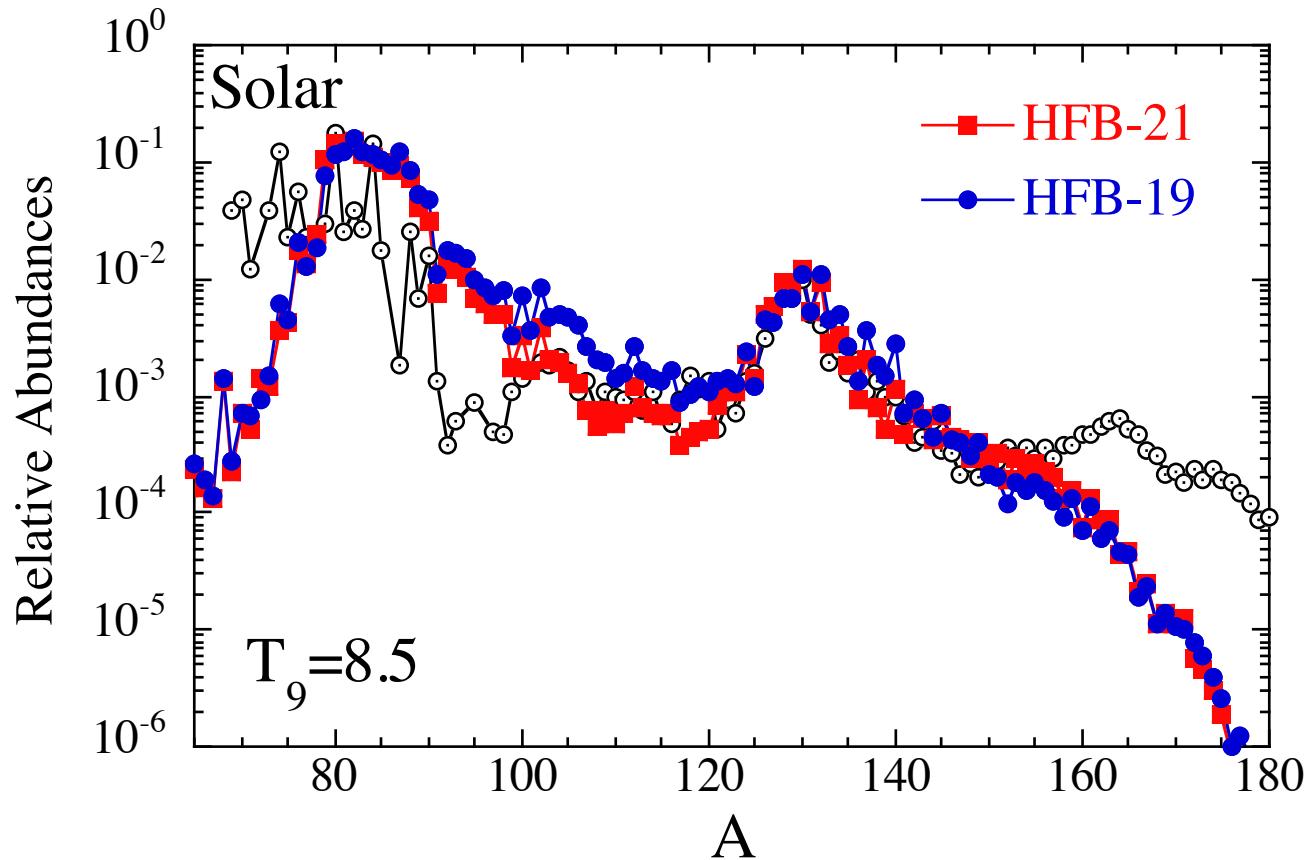
Final abundance distribution sensitive to initial NSE temperature



But NSE most likely to be achieved around $T_9=8-9$: $\tau_{NSE} \sim 1-20$ ms at $T_9=8$
 $\tau_{NSE} < 0.2$ ms at $T_9=9$

Sensitivity to nuclear mass models

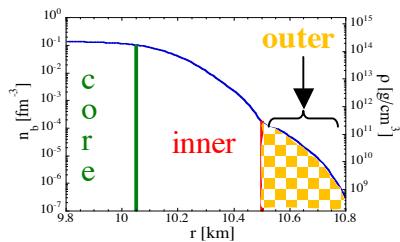
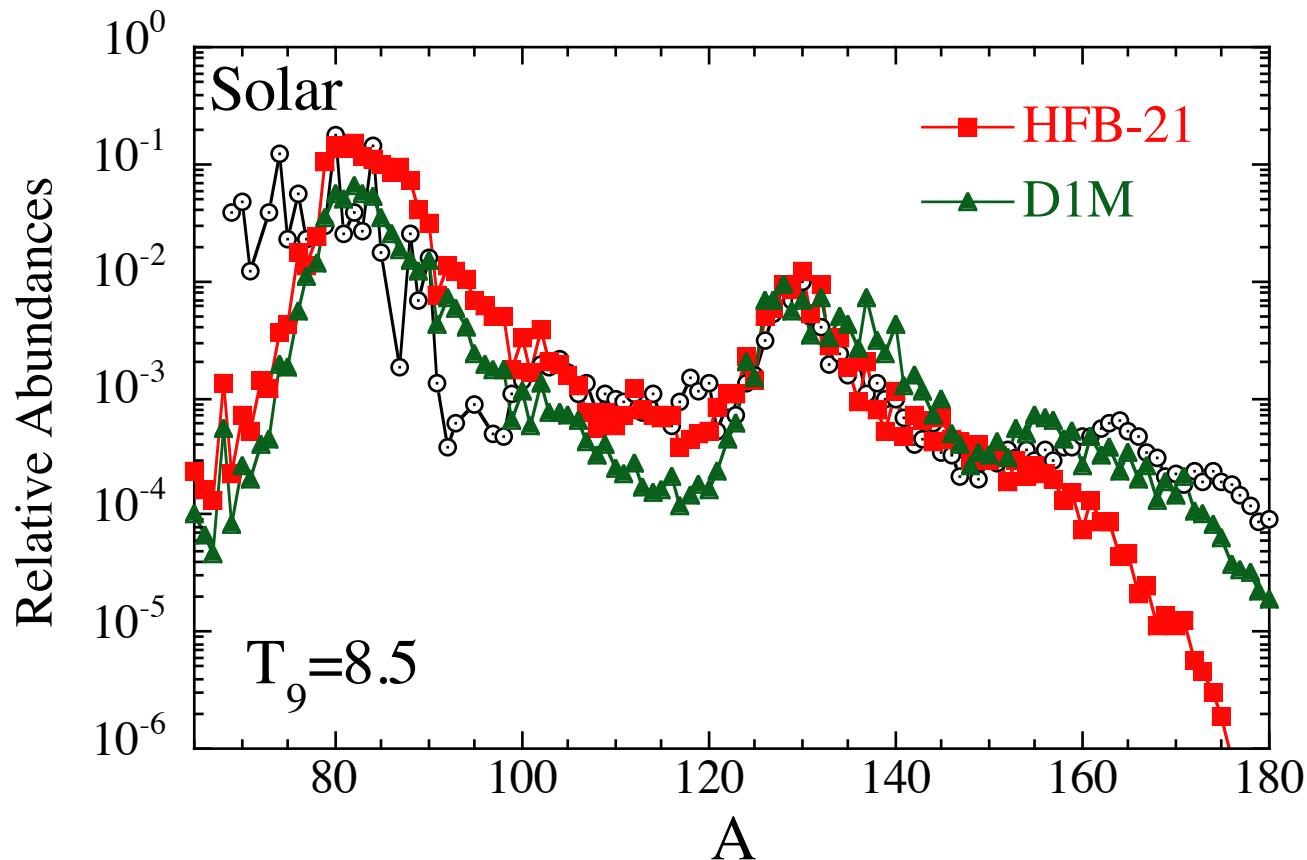
AME (2010) + theoretical masses for unknown nuclei



NSE --> essentially sensitive to masses !

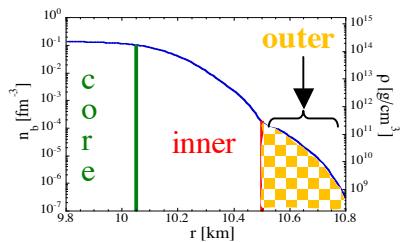
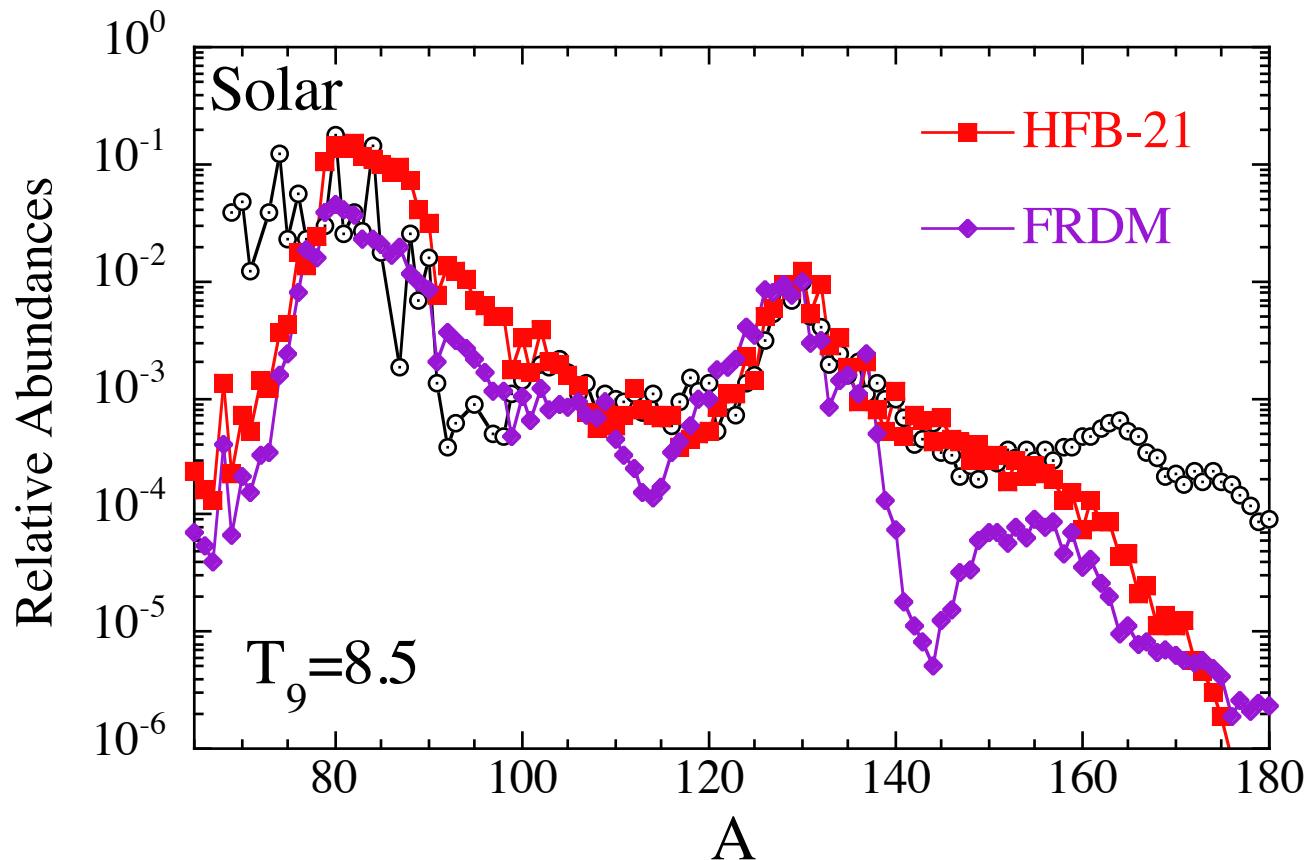
Sensitivity to nuclear mass models

AME (2010) + theoretical masses for unknown nuclei

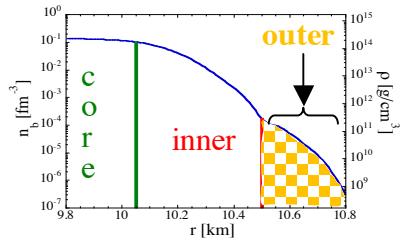
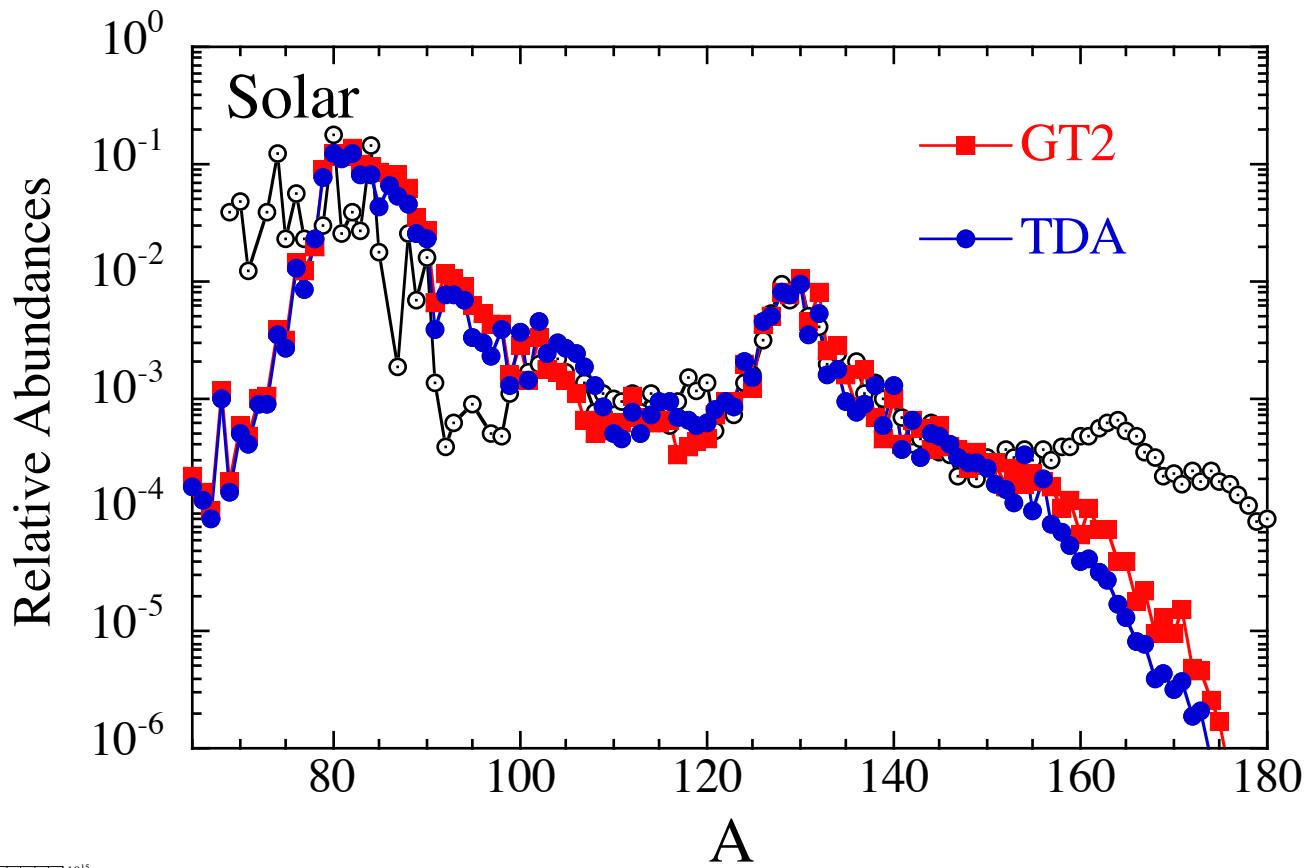


Sensitivity to nuclear mass models

AME (2010) + theoretical masses for unknown nuclei

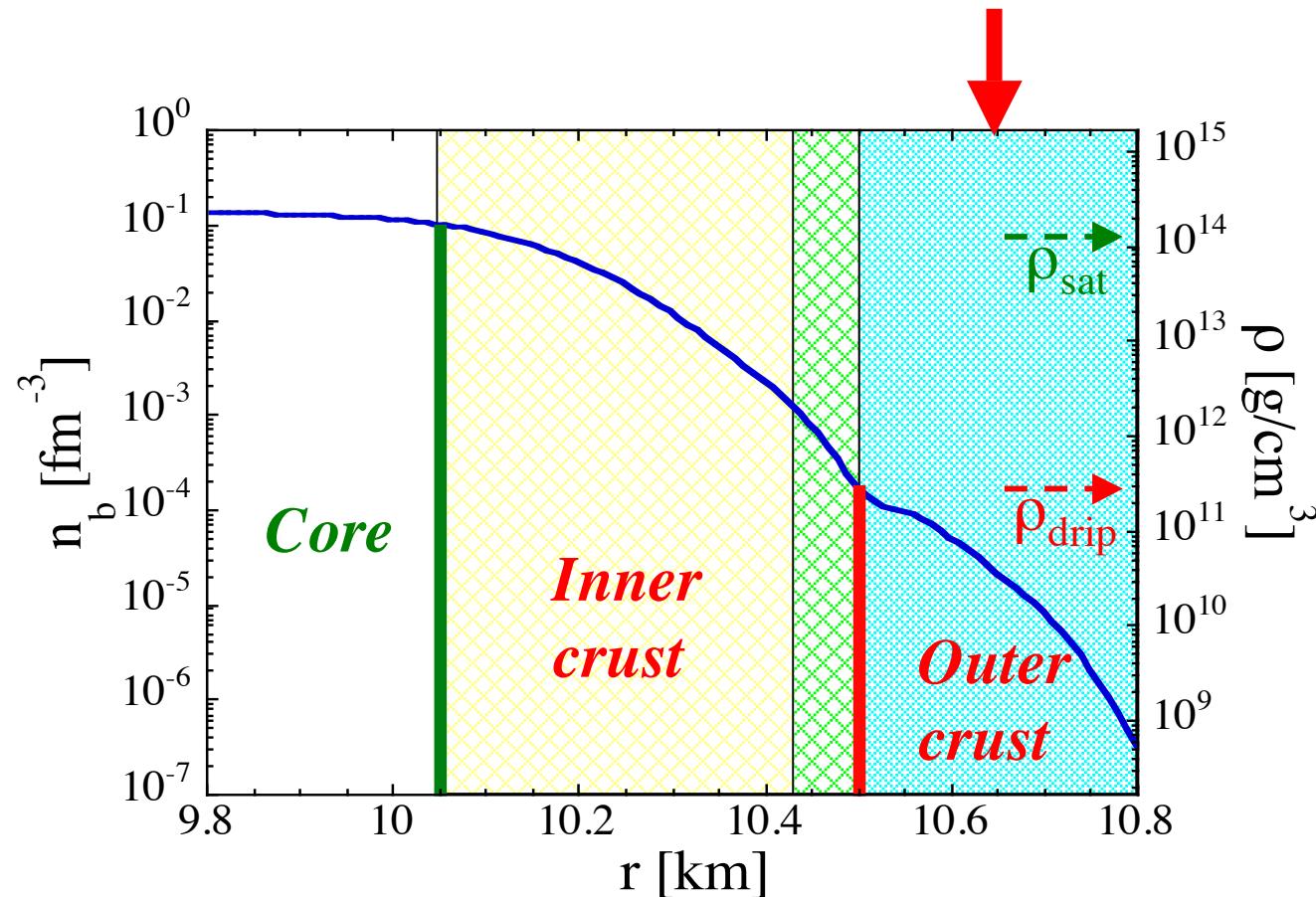


Sensitivity to β -decay rates



BUT the outer crust $\sim 5 \cdot 10^{-5} M_{\odot}$ --> contribution to Galactic enrichment ??

- very unlikely to contribute to NS merger ejecta even if the whole outer crust would be ejected: $M_{ej}(\text{Merger}) > 10^{-3} M_{\odot}$
- Other mechanisms of NS mass ejection, e.g magnetars ??

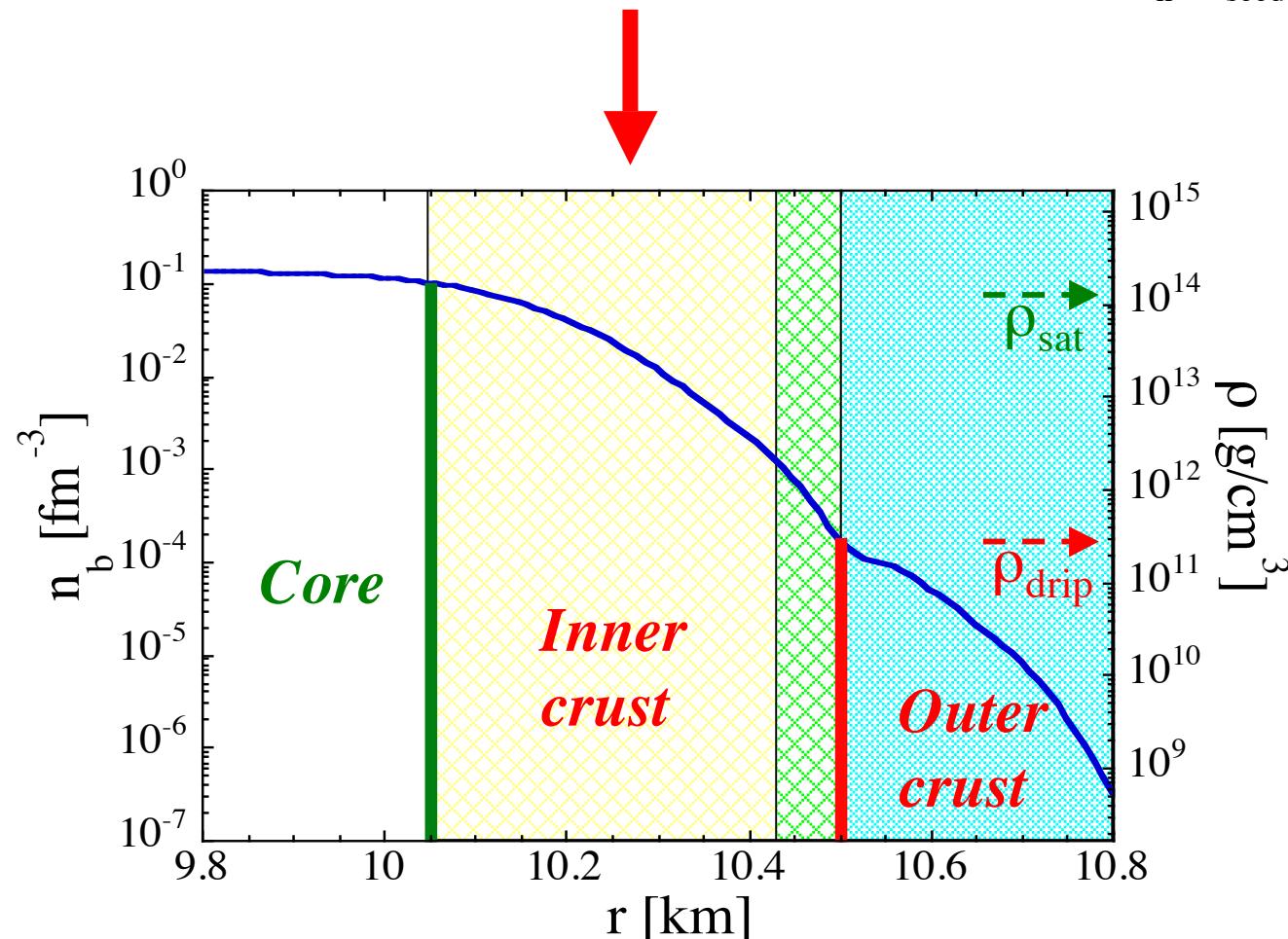


What about the composition of the ejected inner crust material ??

$$M \sim 3 \cdot 10^{-3} M_{\odot}$$

(for a $1.35 - 1.35 M_{\odot}$ NS merger)

The deeper in the inner crust, the more free neutrons, the larger Y_n/Y_{seed} for r-process



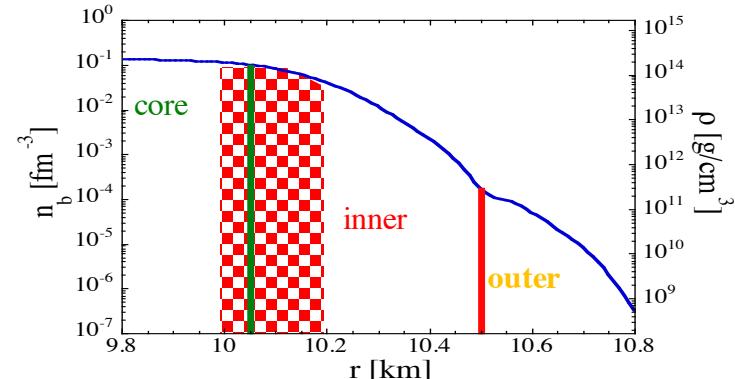
Decompression of the ejected particles from NS merger ($\sim 3 \cdot 10^{-3} M_\odot$)

(hydro models from Bauswein & Janka)

Initial densities within a factor 5 around the saturation density:

$$\text{minimum } \rho = 6.7 \cdot 10^{13} \text{ g/cm}^3$$

$$\text{maximum } \rho = 1.9 \cdot 10^{14} \text{ g/cm}^3$$



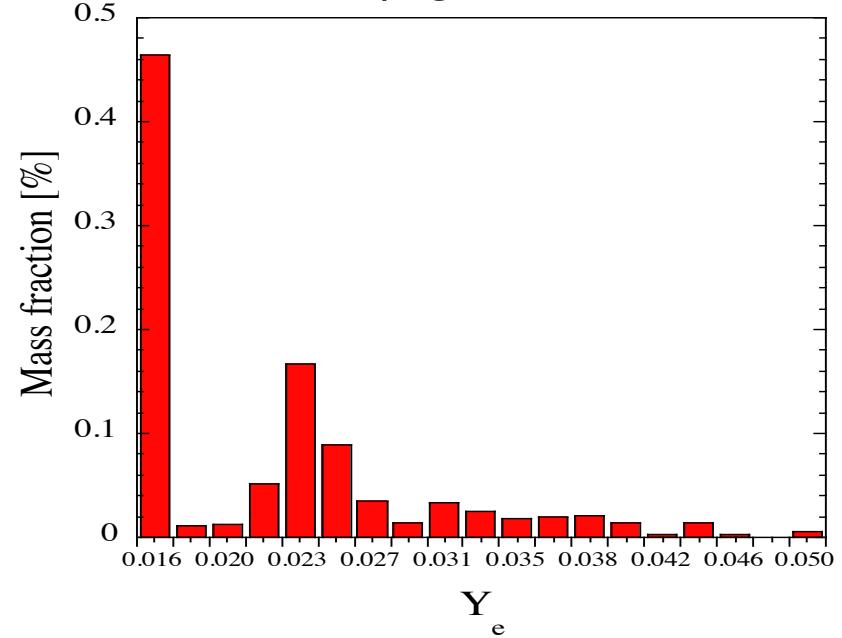
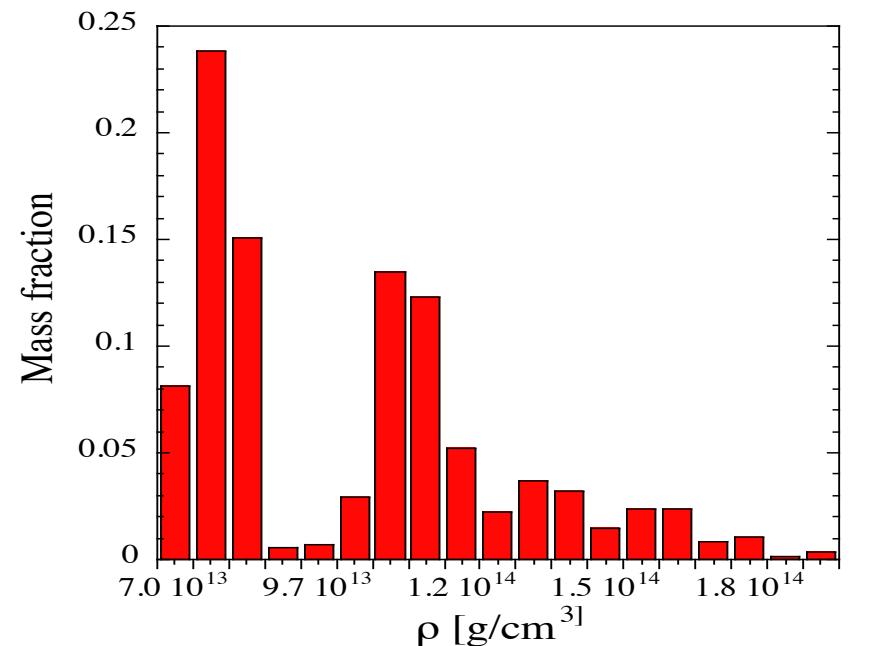
essentially the deep inner crust (outer crust not resolved)

Distribution of initial Y_e :

$$\text{minimum } Y_e = 0.015$$

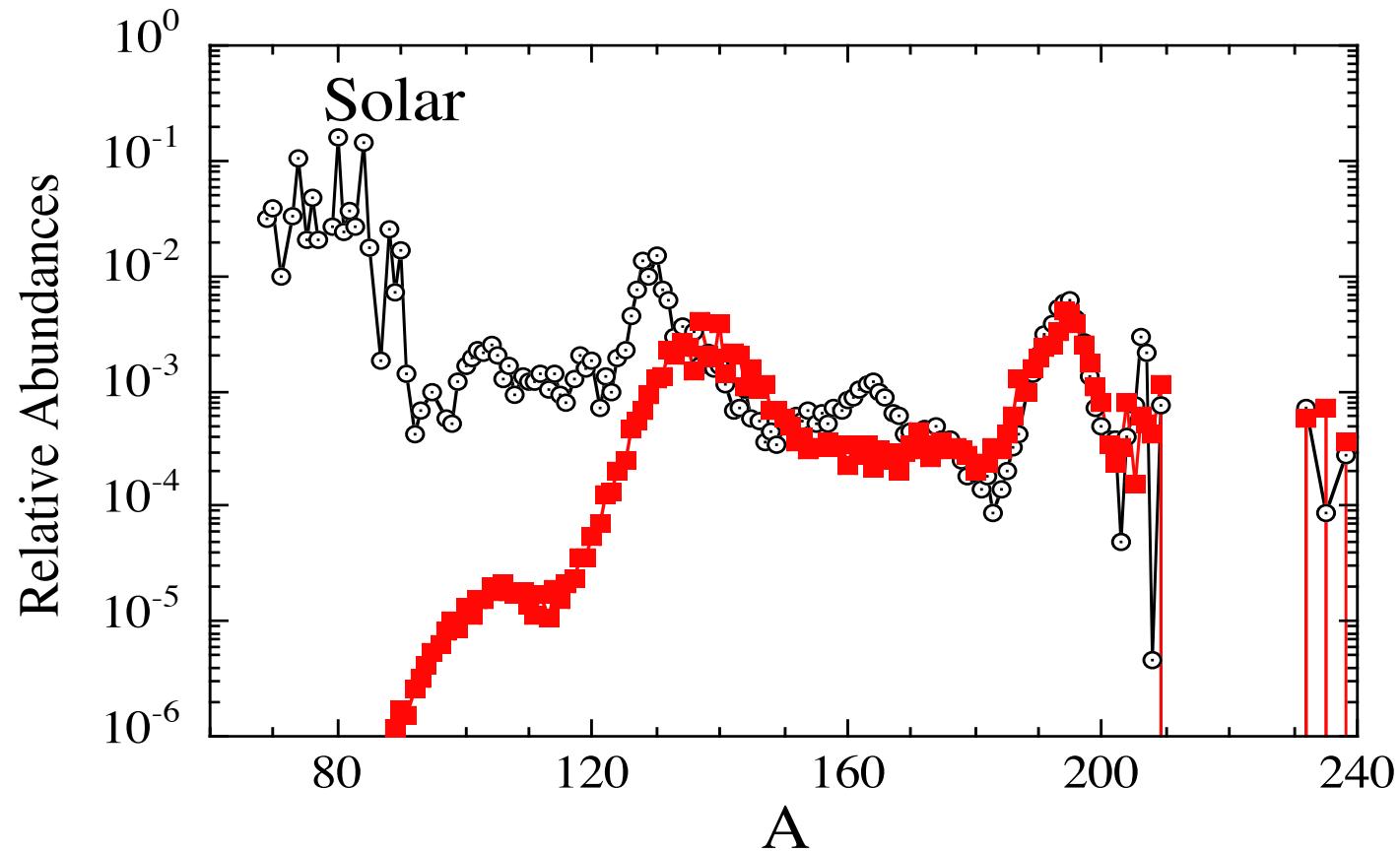
$$\text{maximum } Y_e = 0.051$$

$$\rightarrow Y_n/Y_{\text{seed}} \sim 1000$$

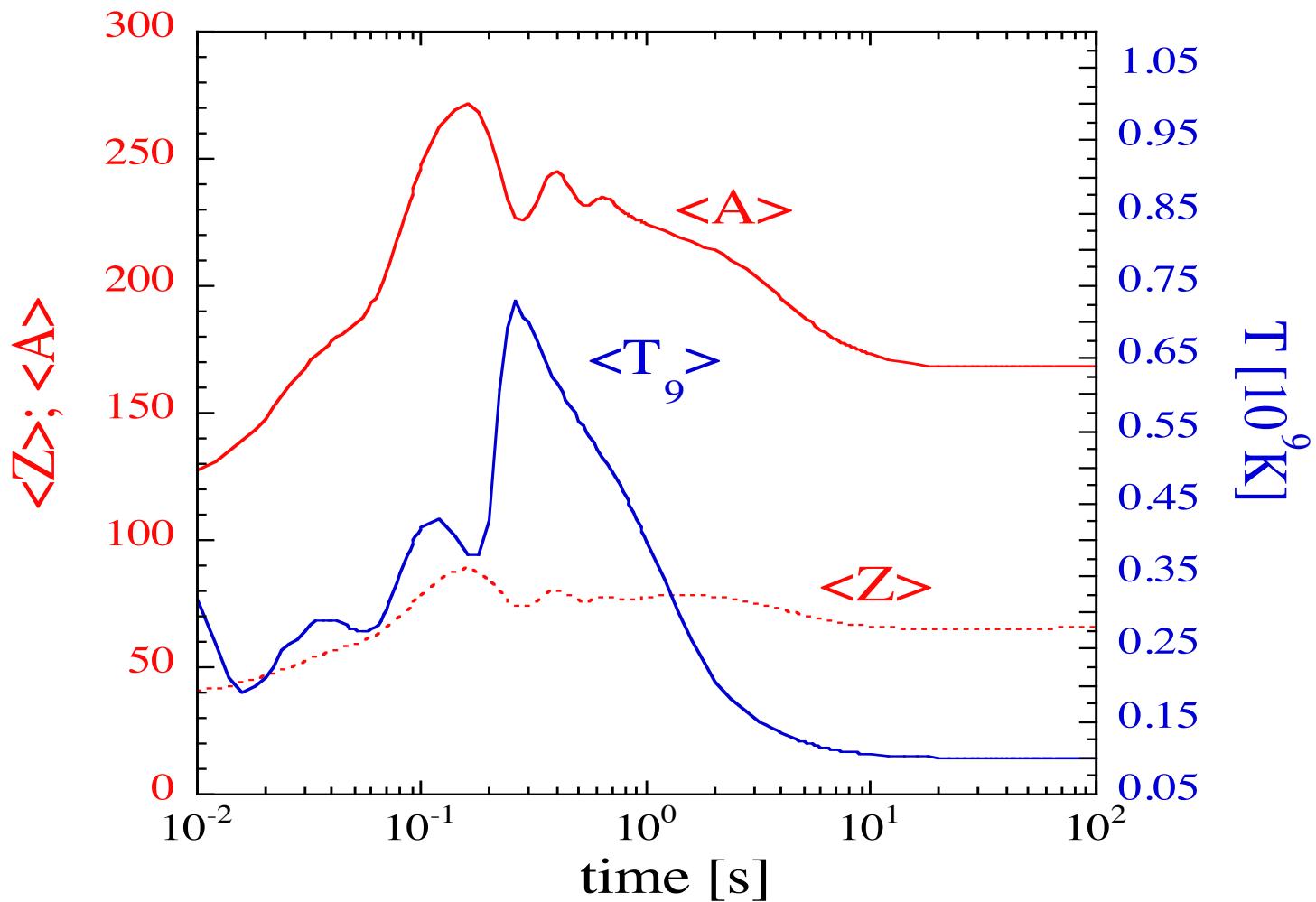


Final ejected r-abundance distribution

~1000 trajectories

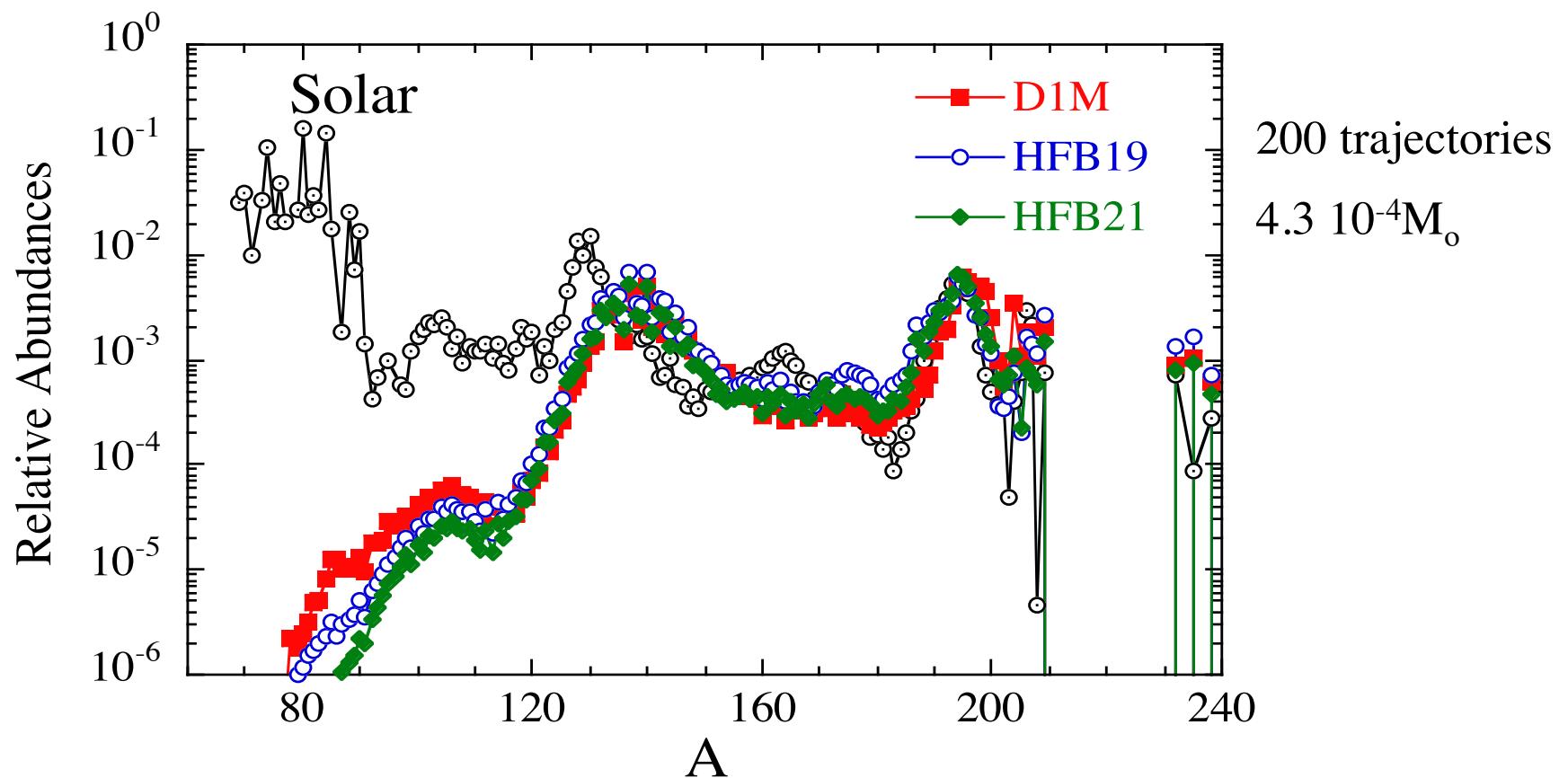


Average species and temperature



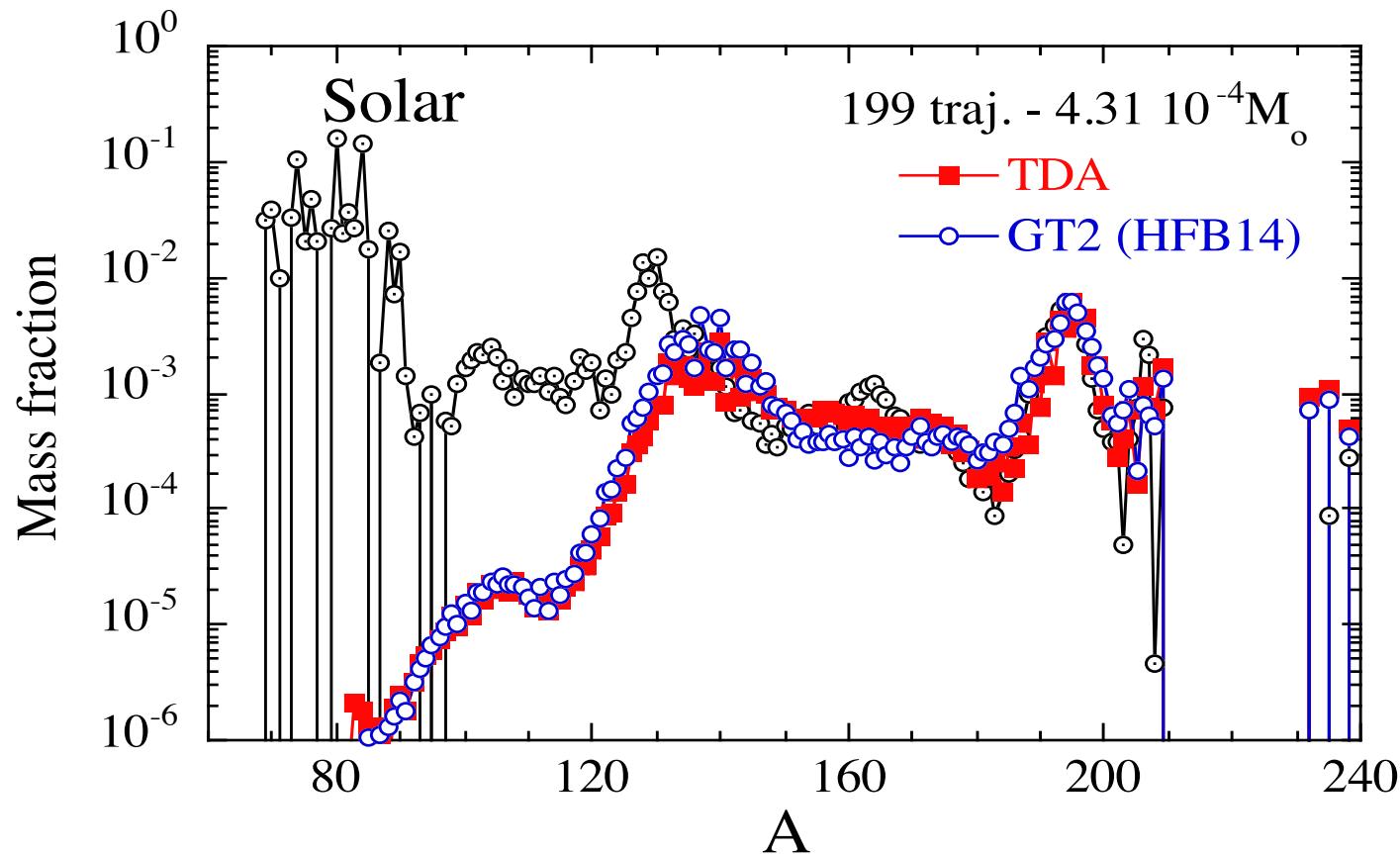
Sensitivity to the masses and corresponding reaction rates

- HFB-19 & HFB-21: Skyrme HFB mass tables (S.G. et al 2010)
- D1M: Gogny HFB mass tables (S.G et al 2009)



Sensitivity to the β -decay rate

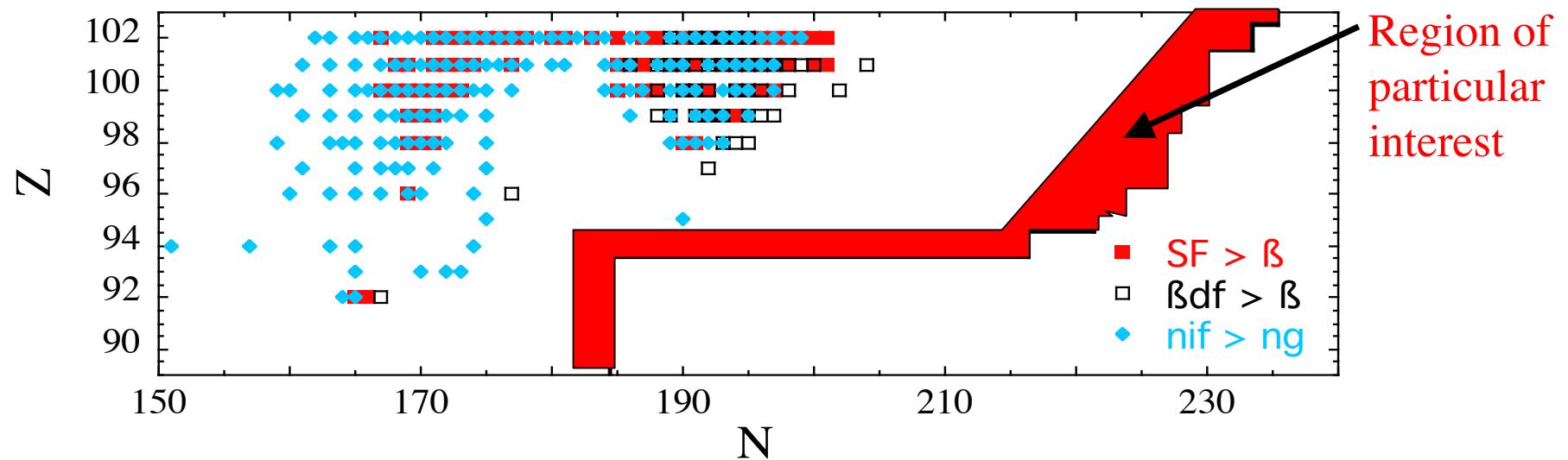
- Tamm-Dancoff Approximation (Klapdor et al. 1984)
- Gross Theory Version 2 (Tachibana et al. 1990) with HFB14 Q_β



Sensitivity to the fission scheme

Need for detailed fission probabilities (sf, nif, β df):

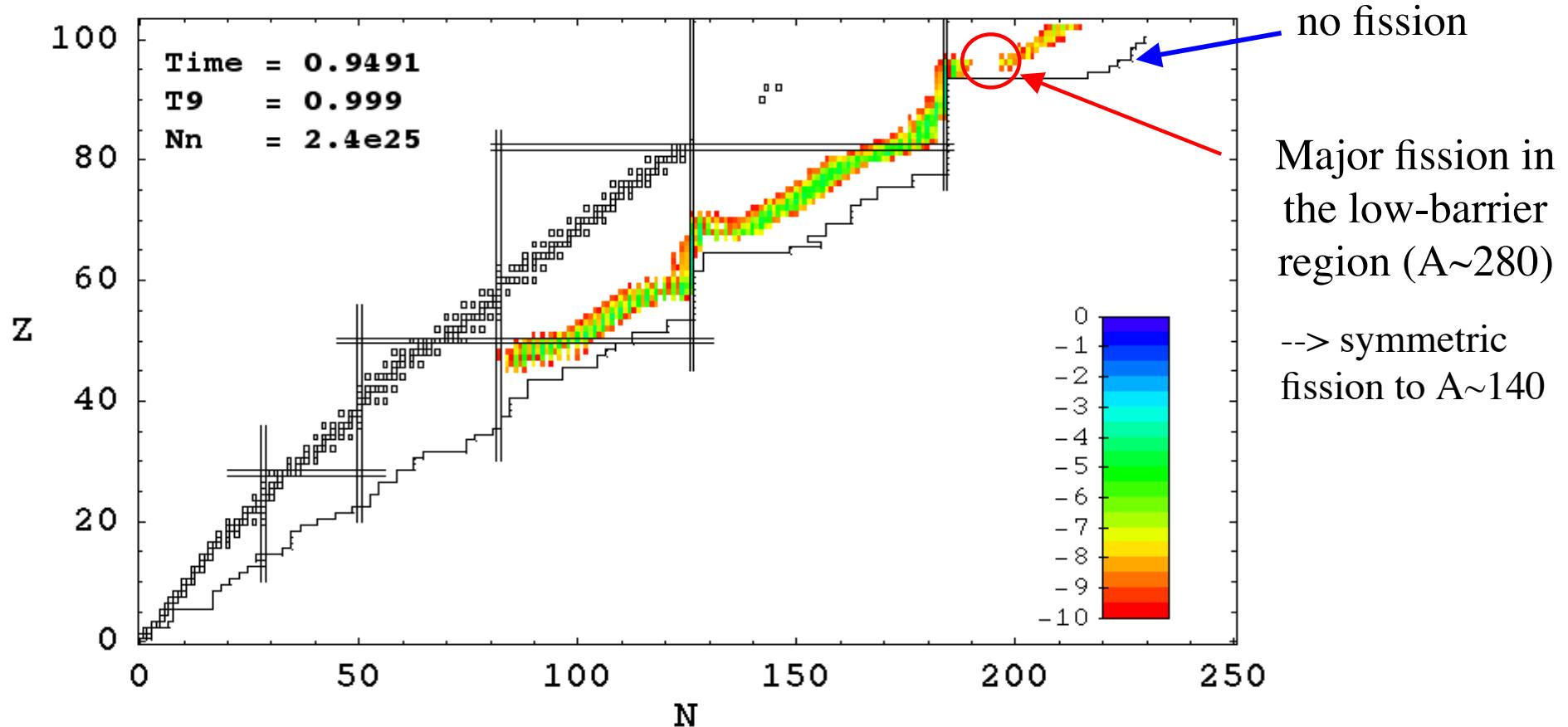
- sf: HFB-14 fission path and barrier penetration (updated TALYS T_f)
- nif: HFB-14 fission path and NLD included in TALYS
- β df: HFB-14 fission path and GT β -strength function (updated TALYS T_f)



Need for fission fragment distribution:

- HFB-14 mass asymmetry
- Z- and A-distribution function ?

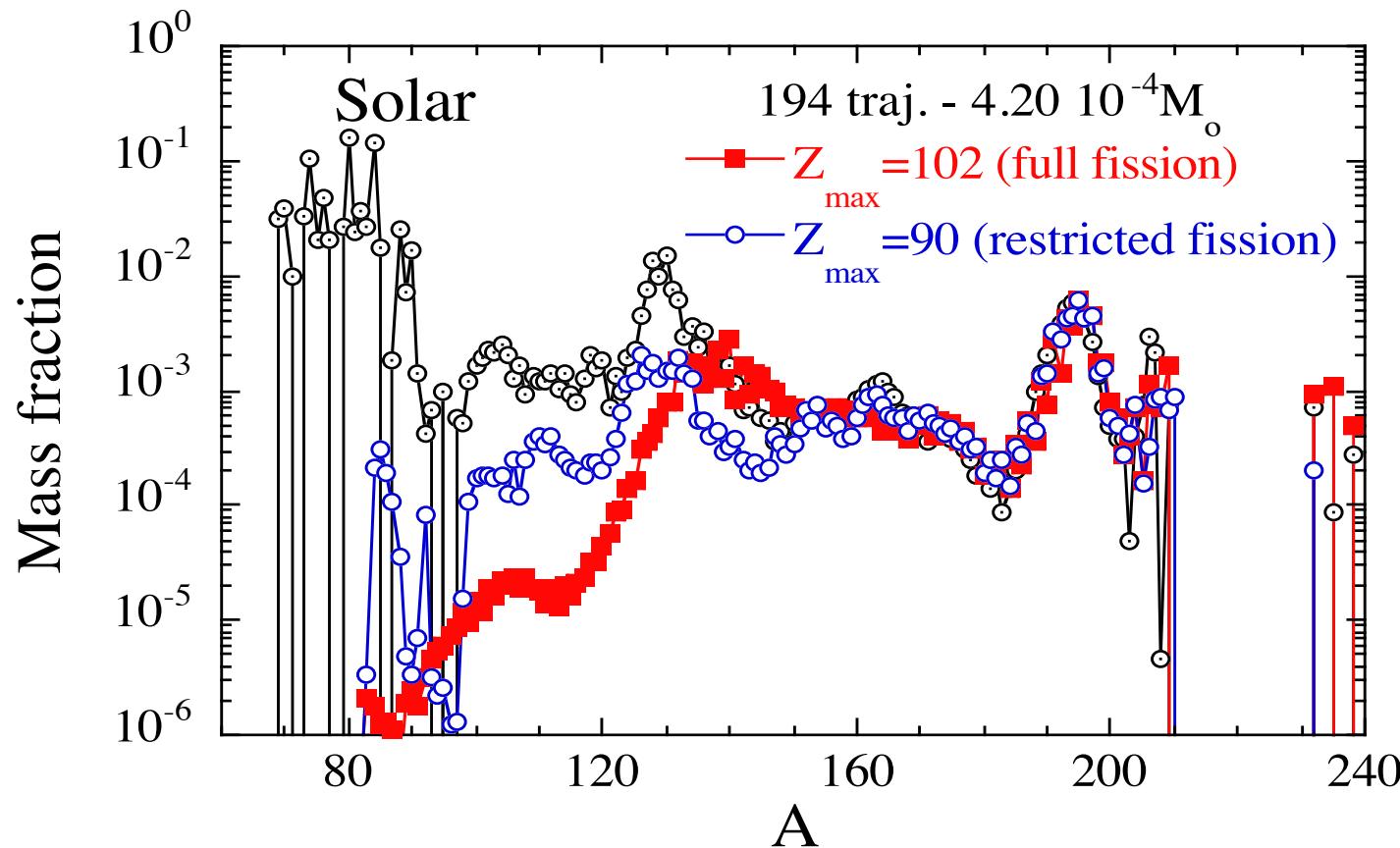
Sensitivity to the fission scheme



Fission plays a significant role in the decompression of the inner crust:

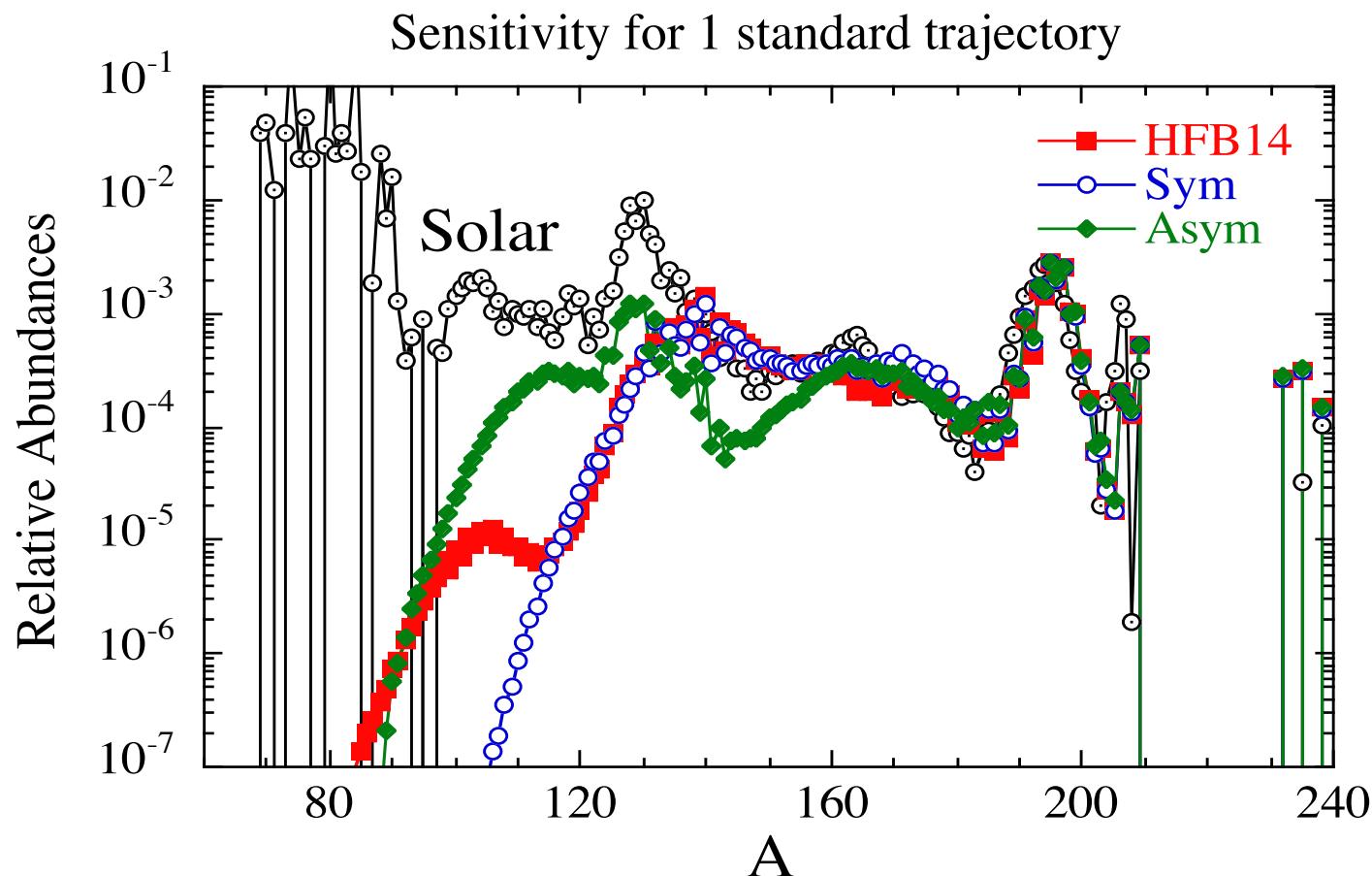
- where does the r-process stop (fission properties at the drip line) ?
- what is the dominant fission mode ?
- what is the impact of the recycling on the light ($120 \leq A \leq 160$) element production ?

- Restricted scheme: $Z_{\max}=90$, essentially asymmetric spontaneous fission
- Full fission scheme: $Z_{\max}=102$ with sf, β df and nif coherently treated



Sensitivity to fission fragment distribution

- Fission with HFB14 mass asymmetry at saddle point
- Fission with symmetric or asymmetric distributions



Nuclear needs for r-process nucleosynthesis

“hot” ν -driven wind

(n, γ)–(γ ,n) equilibrium

β -decays and “masses”
+ ν -nucleus interaction
+ (n, γ) rates (?)
+ Fission (nif, sf, β df) rates (?)

Inner crust of NS
& “cold” ν -driven wind

(n, γ) $\leftrightarrow \beta$ competition
& Fission recycling

β -decay & (n, γ) rates
+ Fission (nif, sf, β df) rates
+ Fission Product distribution
+ EoS of asymmetric NM

Outer crust of NS

nuclear and β -equil.
at non-zero T

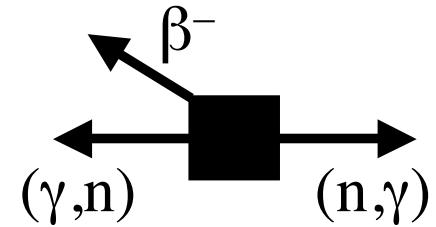
Masses, EoS, Coulomb correction
 β -decay & EC rates

Nuclear Physics associated with the r-process

(Still large uncertainties in astrophysics modelling, hence nuclear needs !)

Competition between

- radiative neutron capture (n,γ)
- photo-neutron emission (γ,n)
- β -decay
- fission (n -induced, β -delayed, spont.) for the heaviest species
- ν -nucleus interaction properties (?)



FOR POTENTIALLY ALL NUCLEI (~ 5000) FROM THE VALLEY OF STABILITY TO THE NEUTRON DRIP LINE

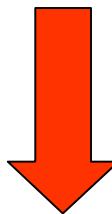
(not only for the so-called “waiting points”)

We know these quantities will enter the problem but as long as the r-process site will remain unknown, we cannot judge

- *quantitatively about the importance* of a given ingredient, hence
- even less about the *quality* of the nuclear input (from astro simulations)

Challenge in theoretical nuclear physics (essential for r-process applications)

PHENOMENOLOGICAL DESCRIPTION



UNIVERSAL GLOBAL MICROSCOPIC DESCRIPTION

UNIVERSAL: capable of predicting *all properties* of relevance

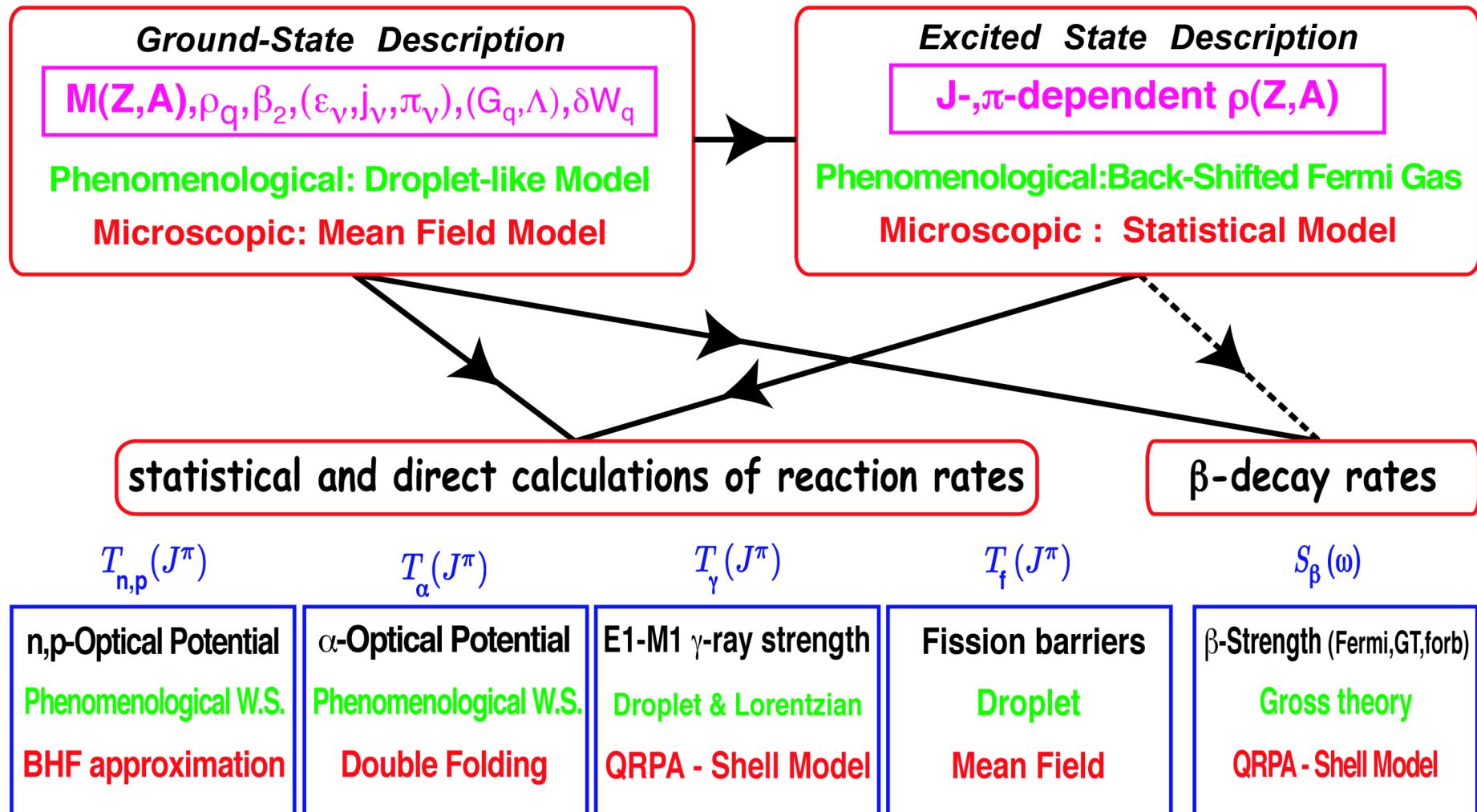
GLOBAL: capable of predicting the properties of *all nuclei*

MICROSCOPIC: for more *reliable extrapolations* from valley of stability to drip lines

A necessary condition for a true predictive power

A challenge that will require a continued effort...

Global Approaches to Strong, Weak and Electromagnetic Interactions



--> Still large uncertainties for exotic nuclei: (n,γ) rates: factor $\sim 10^2 - 10^6$
 β -decay rates: factor ~ 10 (?)
fission ??????

Nuclear mass models

Nuclear mass models provide all basic nuclear ingredients:

Mass excess (Q-values), deformation, GS spin and parity

but also

single-particle levels, pairing strength, density distributions, ... in
the GS as well as non-equilibrium (e.g fission path) configuration

Building blocks for the prediction of ingredients of relevance in the determination of nuclear reaction rates and β -decay rates, such as

- nuclear level densities
- γ -ray strengths
- fission probabilities
- etc ...

as well as for the nuclear/neutron matter Equation of State (NEUTRON STARS)

The criteria to qualify a mass model should NOT be restricted to the rms deviation wrt to exp. masses, but also include (in particular when universality is aimed at)

- the quality of the underlying physics (sound, coherent, “microscopic”, ...)
- all the observables of relevance in the specific (astrophysics) applications

Observables considered

- 2149 experimental masses from Audi et al. (2003)
- 782 exp. charge radii from Angeli et al (2004)
- Symmetric nuclear matter properties

- $m^* \sim 0.6 - 0.8$ (BHF, GQR) & $m_n^*(\beta) > m_p^*(\beta)$
- $K \sim 230 - 240$ MeV (breathing mode)
- E_{pot} from BHF calc. & in 4 (S, T) channels
- Landau parameters $F_0(S, T), F_1(S, T)$
 - stability condition: $F_l^{ST} > -(2l+1)$
 - empirical $g_0 \sim 0$; $g_0' \sim 0.9 - 1.2$
 - sum rules $S_1 \sim 0$; $S_2 \sim 0$
- Pairing gap (with/out medium effects)

-Neutron matter properties

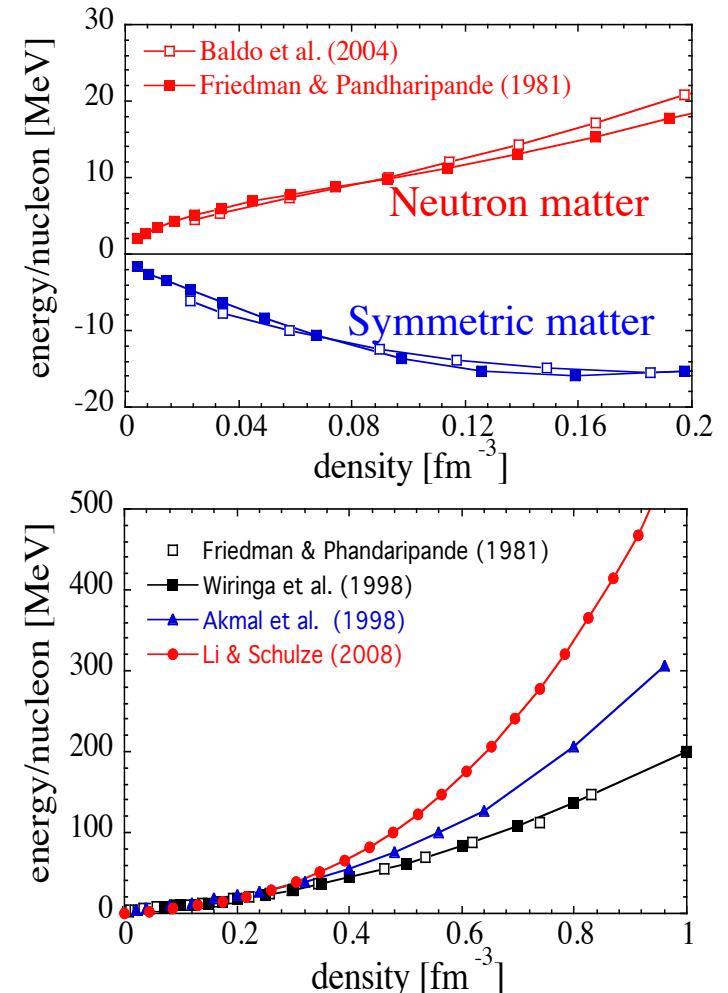
- $J \sim 29 - 32$ MeV
- E_n/A from realistic BHF-like calculations
- Pairing gap
- Stability of neutron matter at all polarizations

-Giant resonances

- ISGMR, IVGDR, ISGQR

-Additional model-dependent properties

- Nuclear Level Density (pairing-sensitive)
- Isomers & Fission barriers (scan large deformations)
- Properties of the lowest 2+ levels (519 e-e nuclei)
- Moment of inertia in superfluid nuclei (backbending)



Hartree-Fock-Bogolyubov model predictions

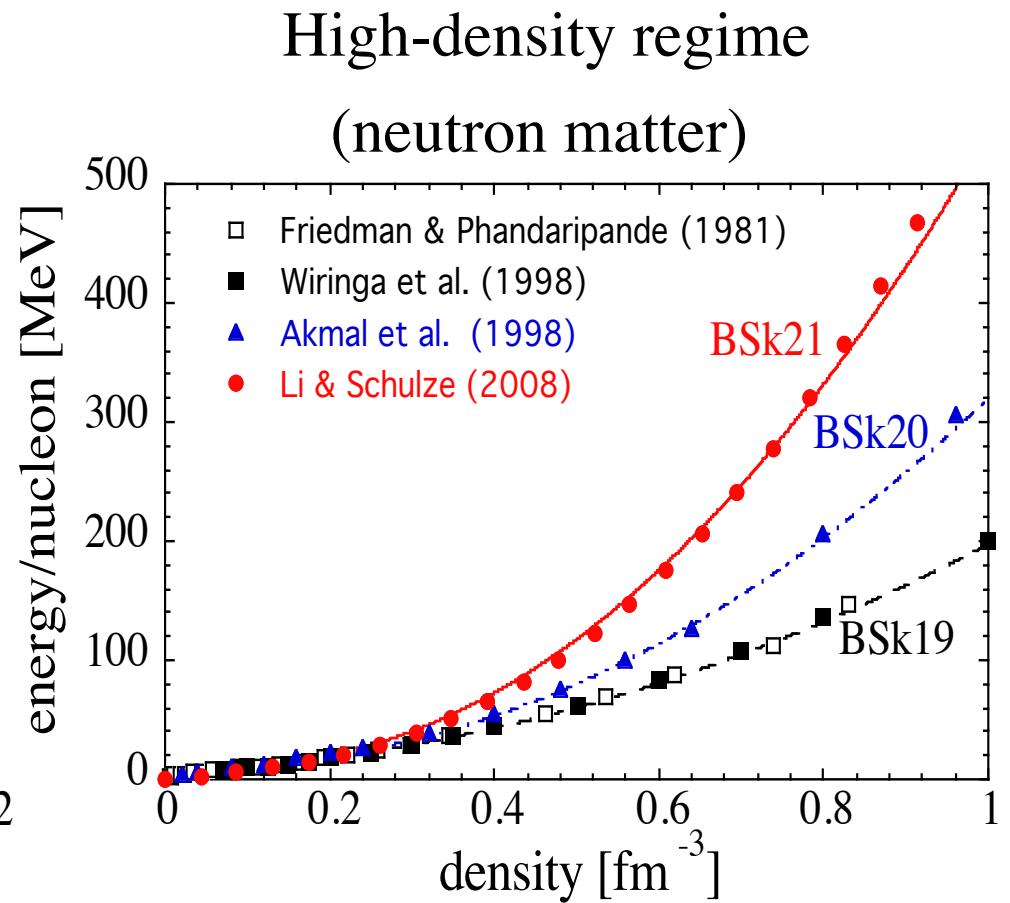
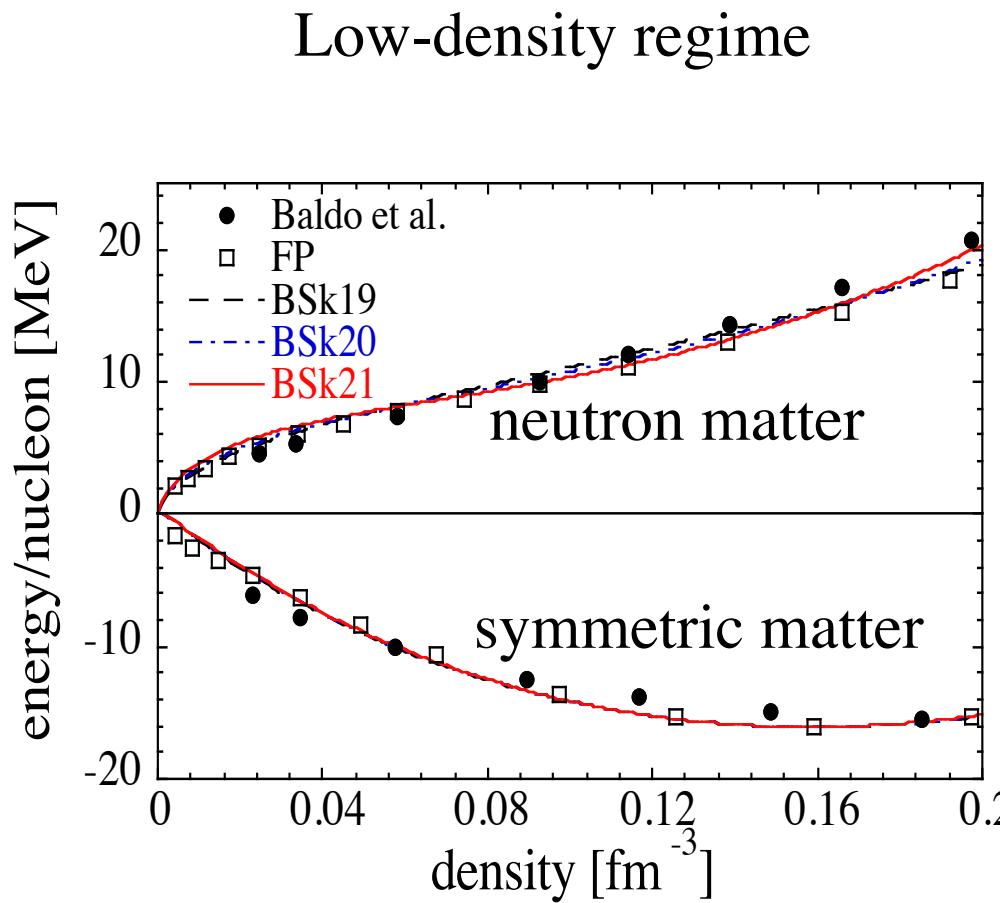
The long road in the HFB mass model development

		σ_{rms} (2149 nuc)
HFB-1-2:	Possible to fit all 2149 exp masses $Z \geq 8$	659 keV
HFB-3:	Volume versus surface pairing	635 keV
HFB-4-5:	Nuclear matter EoS: $M^* = 0.92$	660 keV
HFB-6-7:	Nuclear matter EoS: $M^* = 0.80$	657 keV
HFB-8:	Introduction of number projection	635 keV
HFB-9:	Neutron matter EoS - $J=30$ MeV	733 keV
HFB-10-13:	Low pairing & NLD	717 keV
HFB-14:	Collective correction and Fission B_f	729 keV
HFB-15:	Including Coulomb Correlations	678 keV
HFB-16:	with Neutron Matter pairing	632 keV
HFB-17:	with Neutron & Nuclear Matter pairing	581 keV
HFB-18-21:	Non-Std Skyrme (t_4-t_5 terms) - Fully stable	577 keV

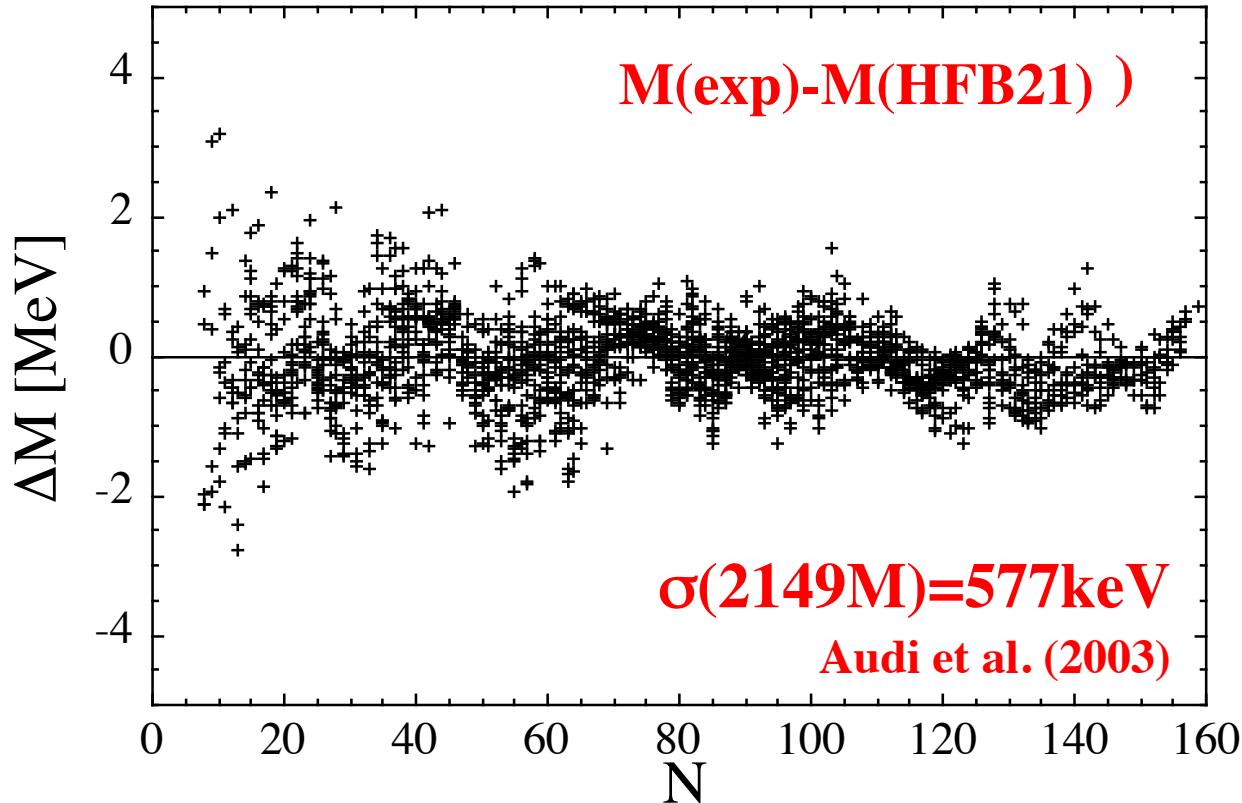


Maximum Constraints on both Nuclei and Infinite Nuclear Matter
 But also **fission barriers, shape isomers, NLD, GR**
 (in the spirit of practical applications for astrophysics)

HFB19-21: Stiffness of the neutron matter energy density



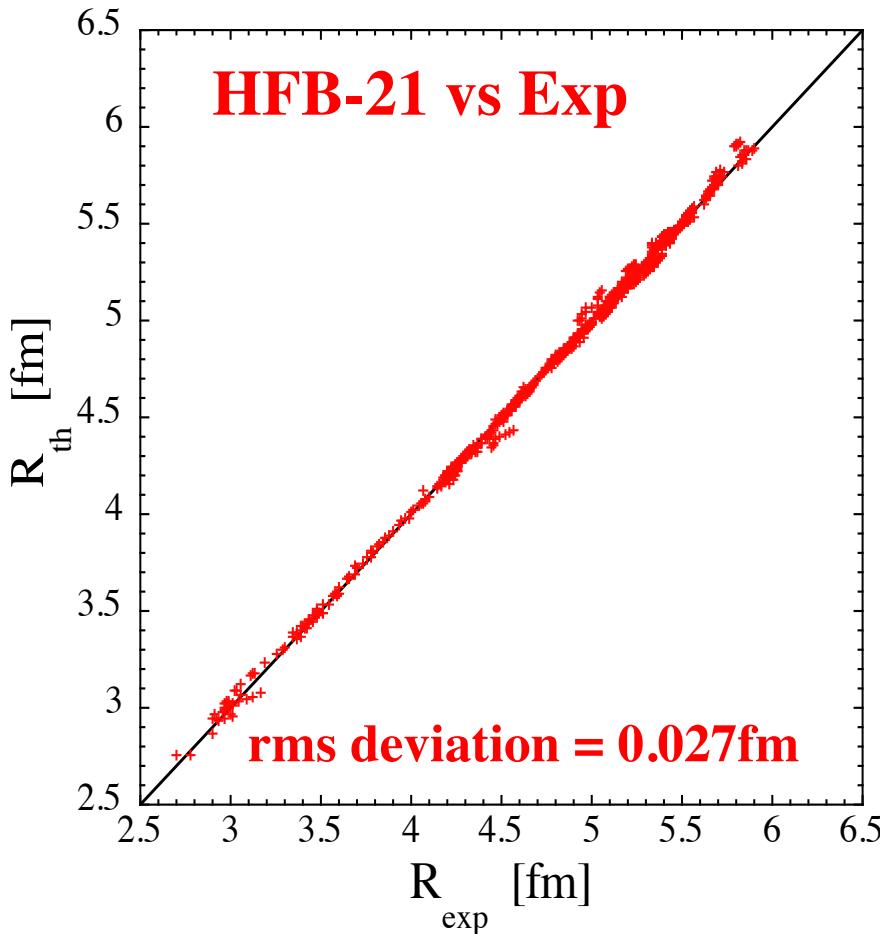
Comparison with experimental masses



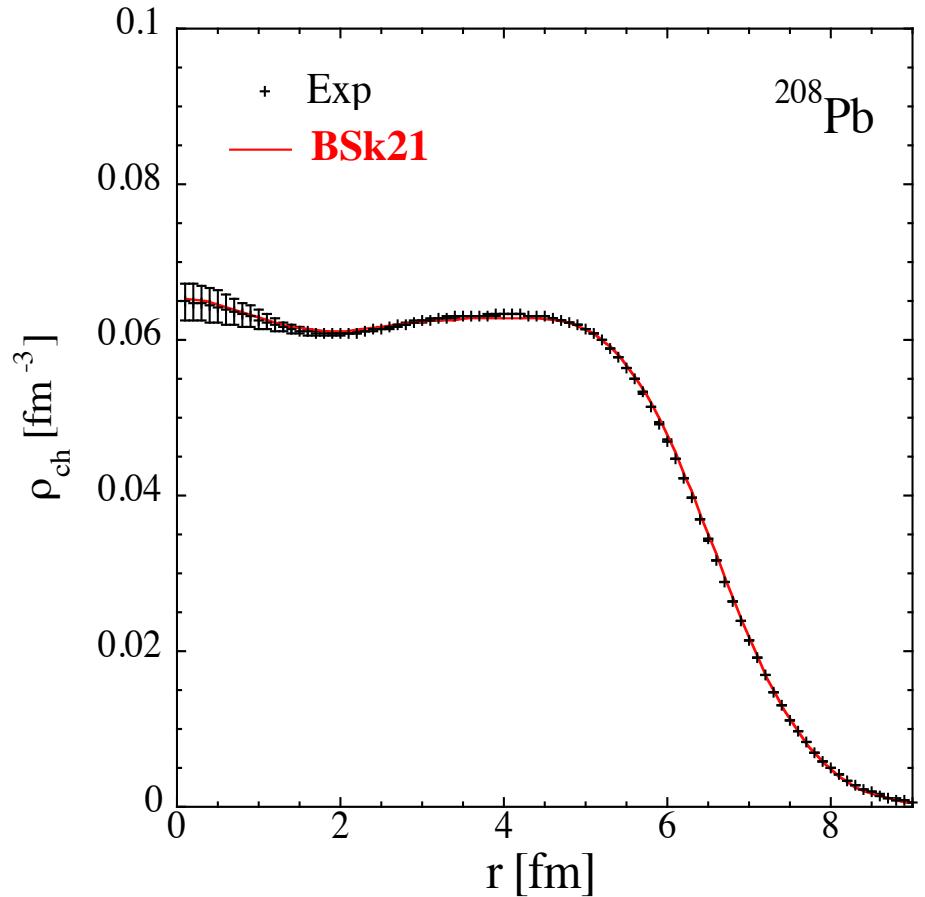
	$\sigma(\text{HFB20})$	$\sigma(\text{HFB21})$	$\sigma(\text{FRDM})$
434 masses ($36 \leq Z \leq 85$, p-rich) at GSI (2005)	397 keV	388 keV	429 keV
119 masses ($28 \leq Z \leq 46$, n-rich) at JYFLTRAP (2009)	453 keV	625 keV	694 keV

Some examples for nuclear structure properties of interest for applications

Charge radii for 782 nuclei



Charge distribution of ^{208}Pb



Skyrme-HFB mass models: a first step towards “microscopic” models for practical applications

... but there is obviously still room for many improvements:

- Pairing interaction (contact force, cut-off dependence)
- Improved treatment of odd nuclei
- Phenomenological Wigner correction
- Finite-range forces of Gogny-type
- Correlation effects beyond mean field
- Etc...

A new generation of mass models

Gogny-HFB mass table
beyond mean field !

close collaboration with Bruyères-le-Châtel
(S. Hilaire & M. Girod)

Beyond the mean field, the total binding energy is estimated from

$$E_{tot} = E_{HFB} - E_{Quad}$$

where • E_{HFB} : deformed HFB binding energy obtained with a *finite range* standard **Gogny-type** force

$$\begin{aligned} V(1,2) = & \sum_{j=1,2} e^{-\frac{(\vec{r}_1 - \vec{r}_2)^2}{\mu_j^2}} (W_j + B_j P_\sigma - H_j P_\tau - M_j P_\sigma P_\tau) \\ & + t_0 (1 + x_0 P_\sigma) \delta(\vec{r}_1 - \vec{r}_2) \left[\rho \left(\frac{\vec{r}_1 + \vec{r}_2}{2} \right) \right]^\alpha \\ & + i W_{LS} \overleftarrow{\nabla}_{12} \delta(\vec{r}_1 - \vec{r}_2) \times \overrightarrow{\nabla}_{12} \cdot (\vec{\sigma}_1 + \vec{\sigma}_2). \end{aligned}$$

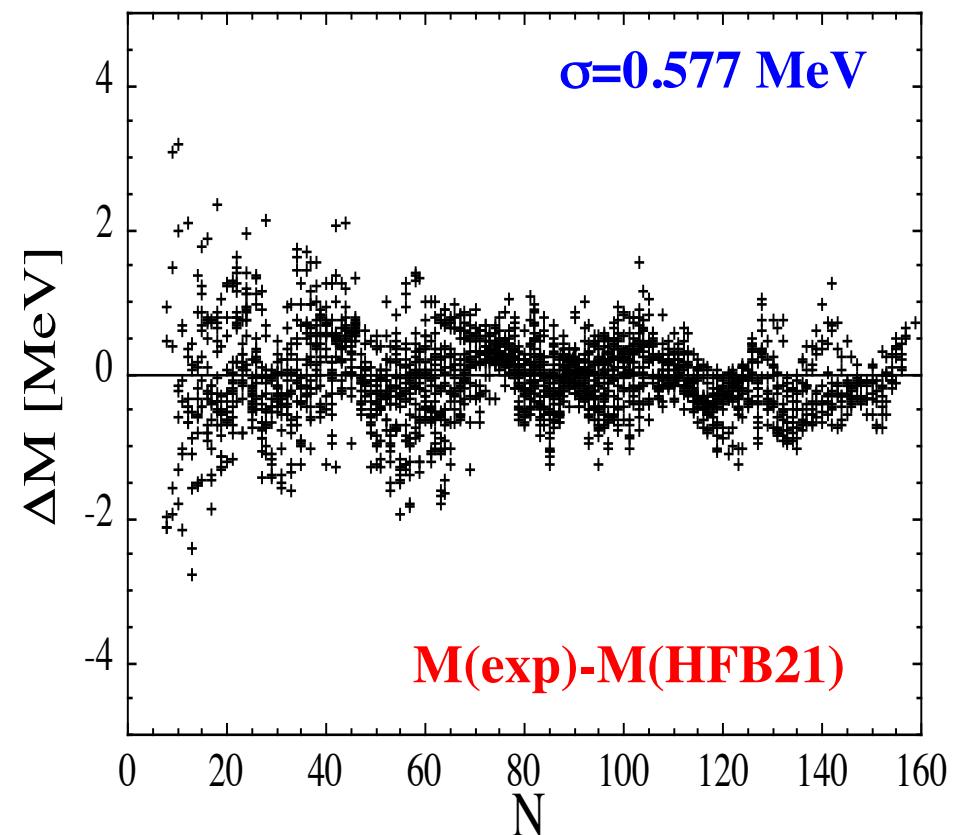
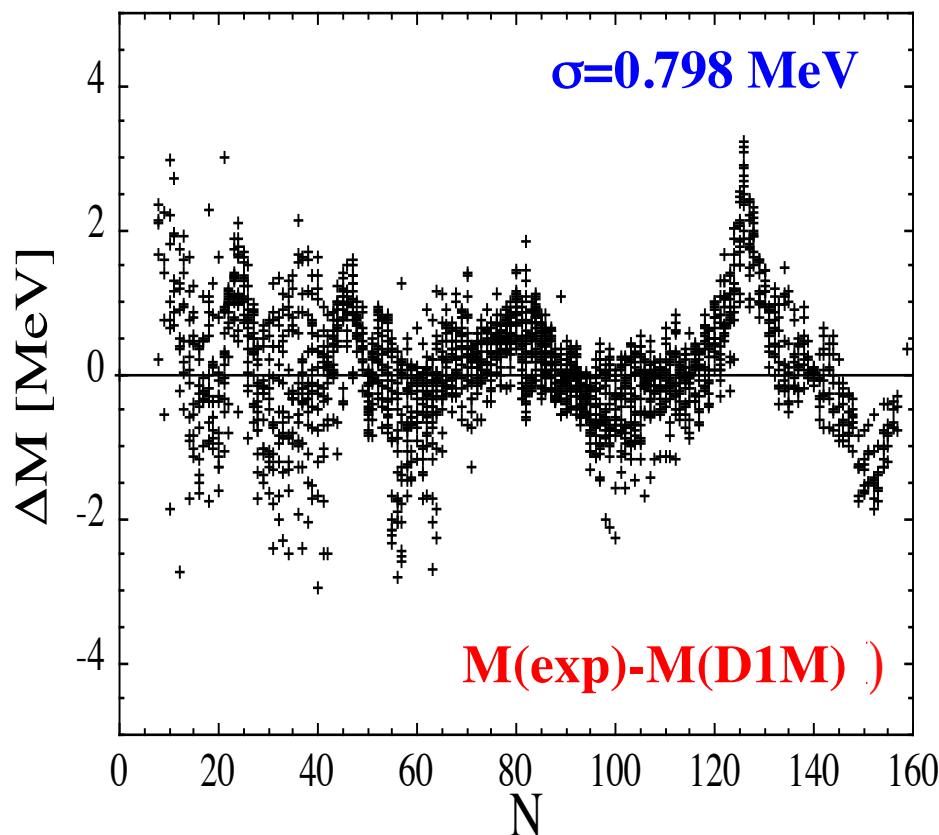
- E_{Quad} : quadrupolar correction energy determined with the *same* Gogny force (no “double counting”) in the framework of the **5D-Bohr Hamiltonian model** for the five collective quadrupole coordinates, i.e. rotation, quadrupole vibration and coupling between these collective modes (axial and triaxial quadrupole deformations included)

First Gogny-HFB mass formula (D1M force)

2149 Masses: $\epsilon=0.126$ MeV $\sigma=0.798$ MeV

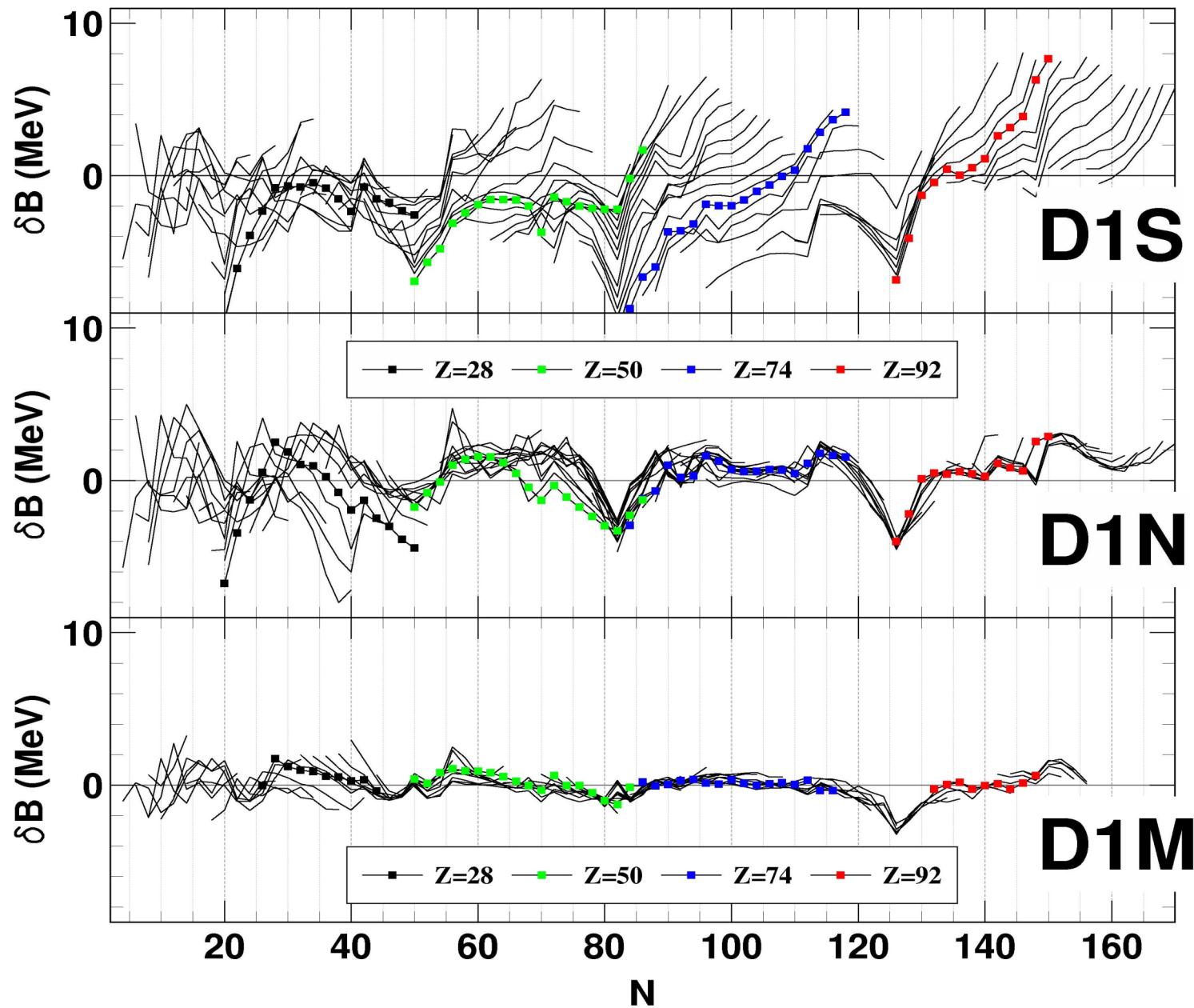
with coherent E_{Quad} & E_{HFB} !

707 Radii: $\epsilon=-0.008$ fm $\sigma=0.031$ fm (with Q corrections)

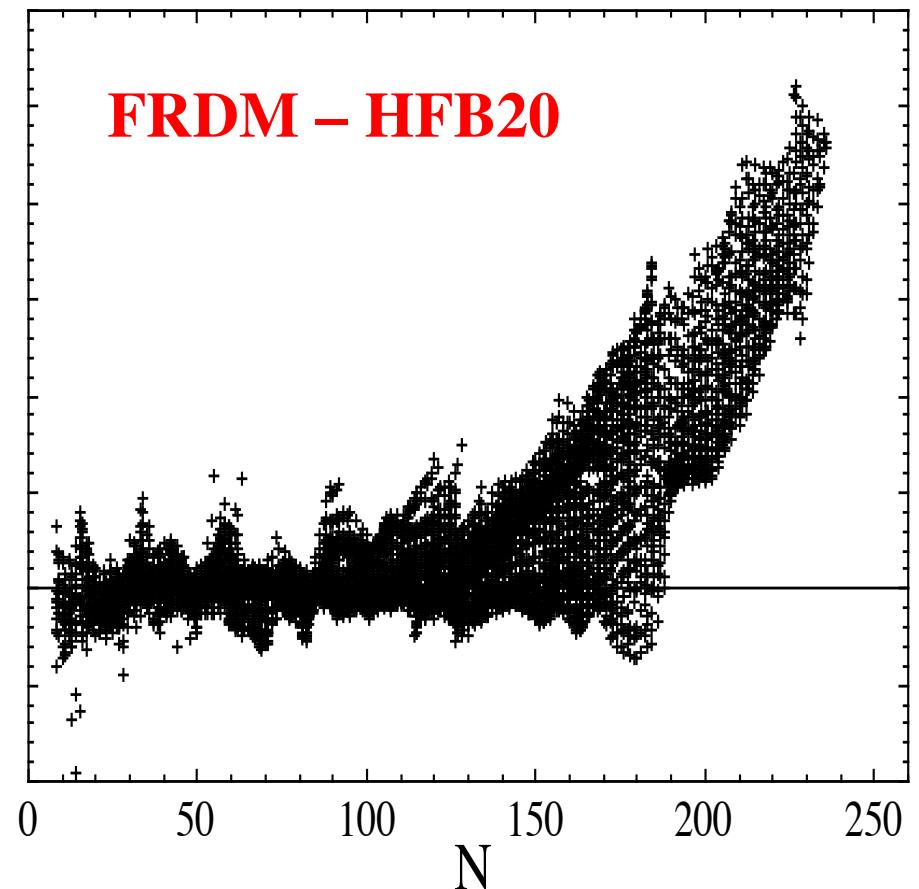
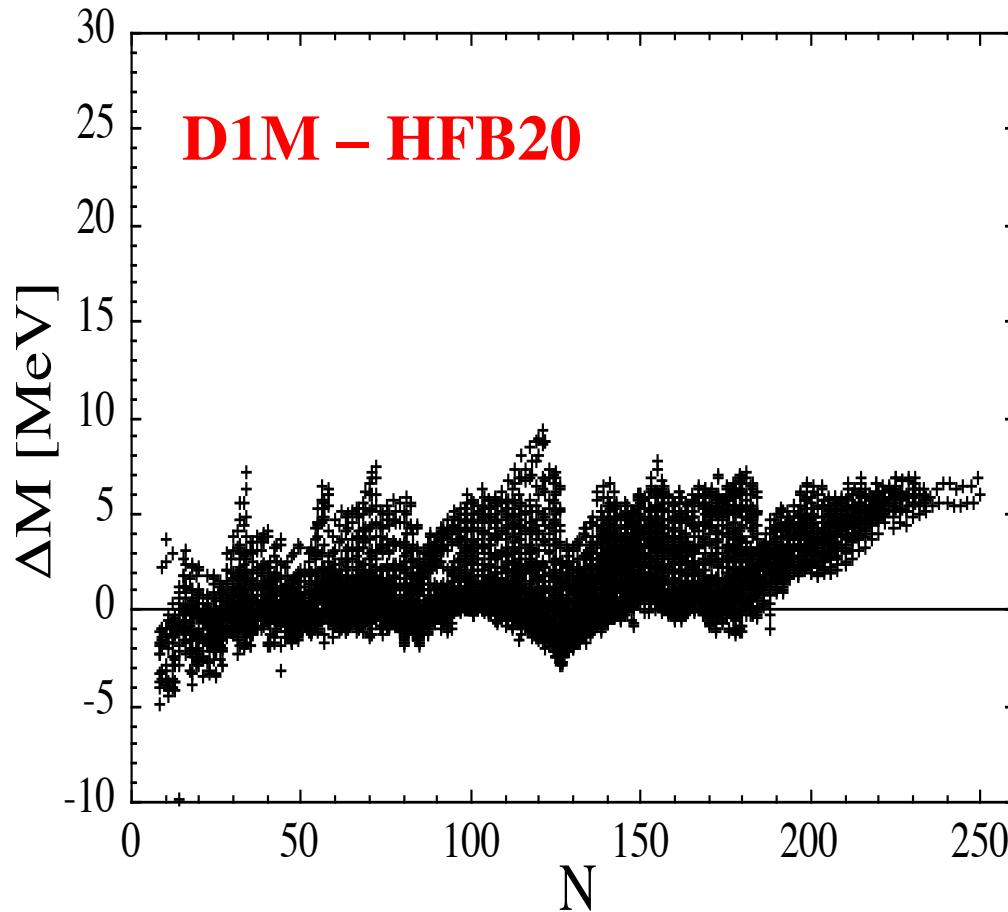


--> It is possible to adjust a Gogny force to reproduce all exp masses accurately

$$\delta B = M(\text{th}) - M(\text{exp})$$

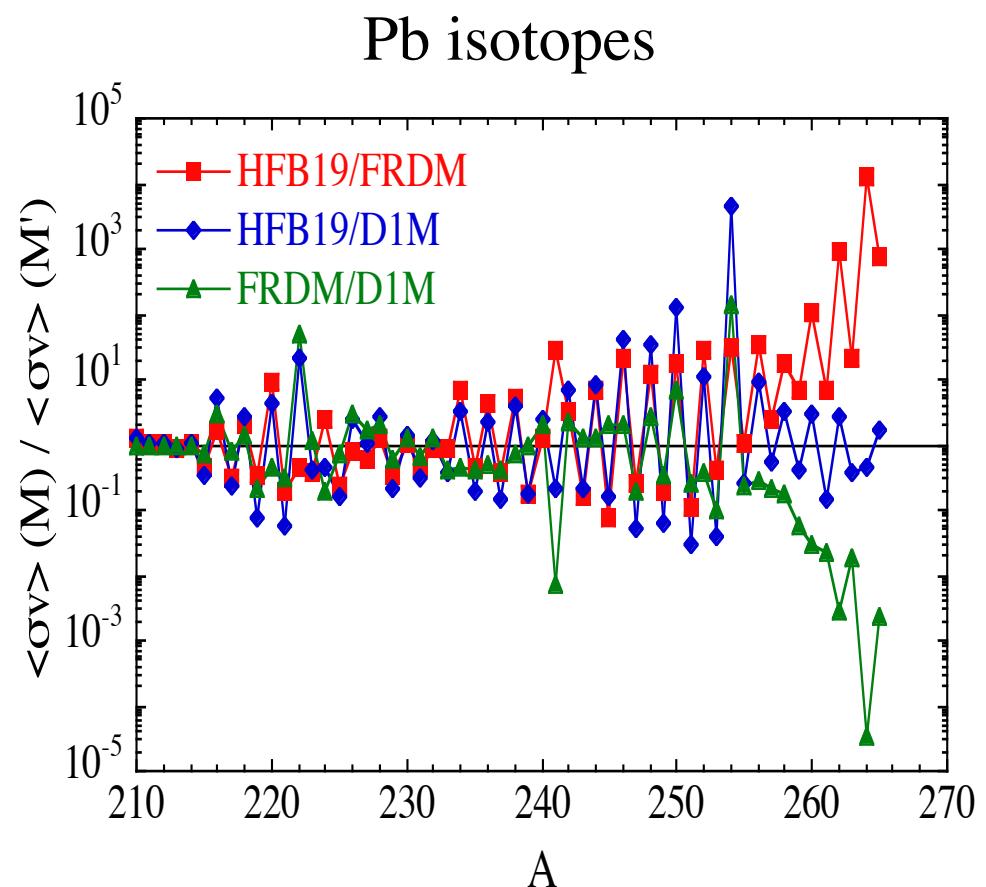
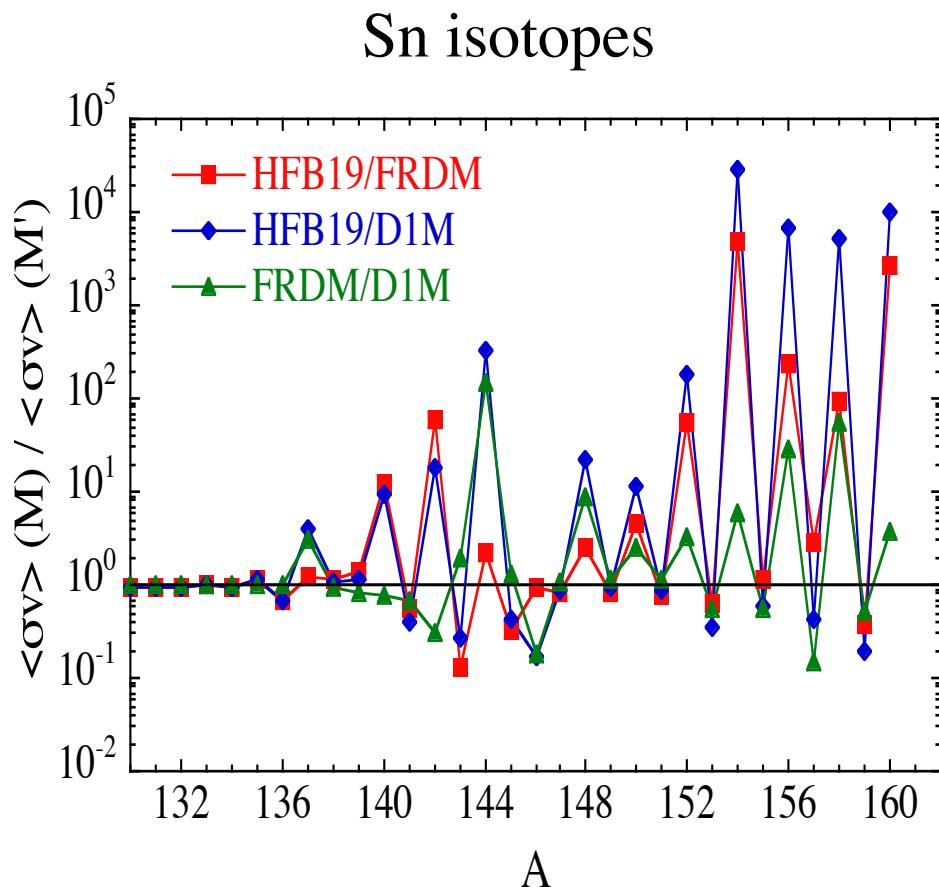


Comparison between Skyrme-HFB, Gogny-HFB and FRDM masses



Impact of nuclear masses on the (n,γ) reaction rate at $T=10^9$ K

(~ cross section around 100keV - Calculation within the HF reaction model)



Extension to large deformations Comparison of HFB fission barriers with « experimental » data

$B_{1st}(\text{Exp}) - B_i(\text{HFB})$

52 nuclei with $Z \geq 88$

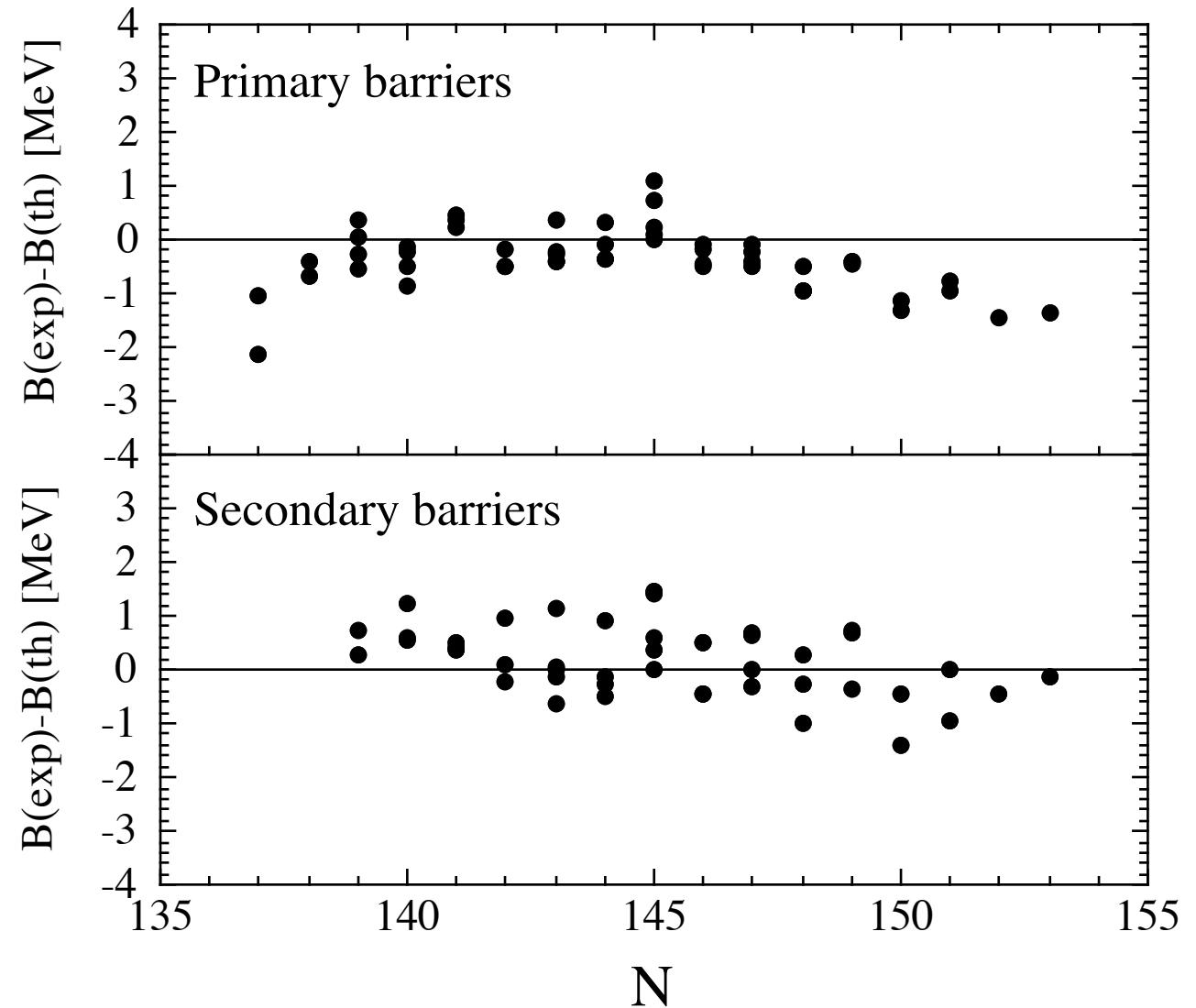
rms = 0.67 MeV

$B_{2nd}(\text{Exp}) - B_i(\text{HFB})$

45 nuclei

rms = 0.65 MeV

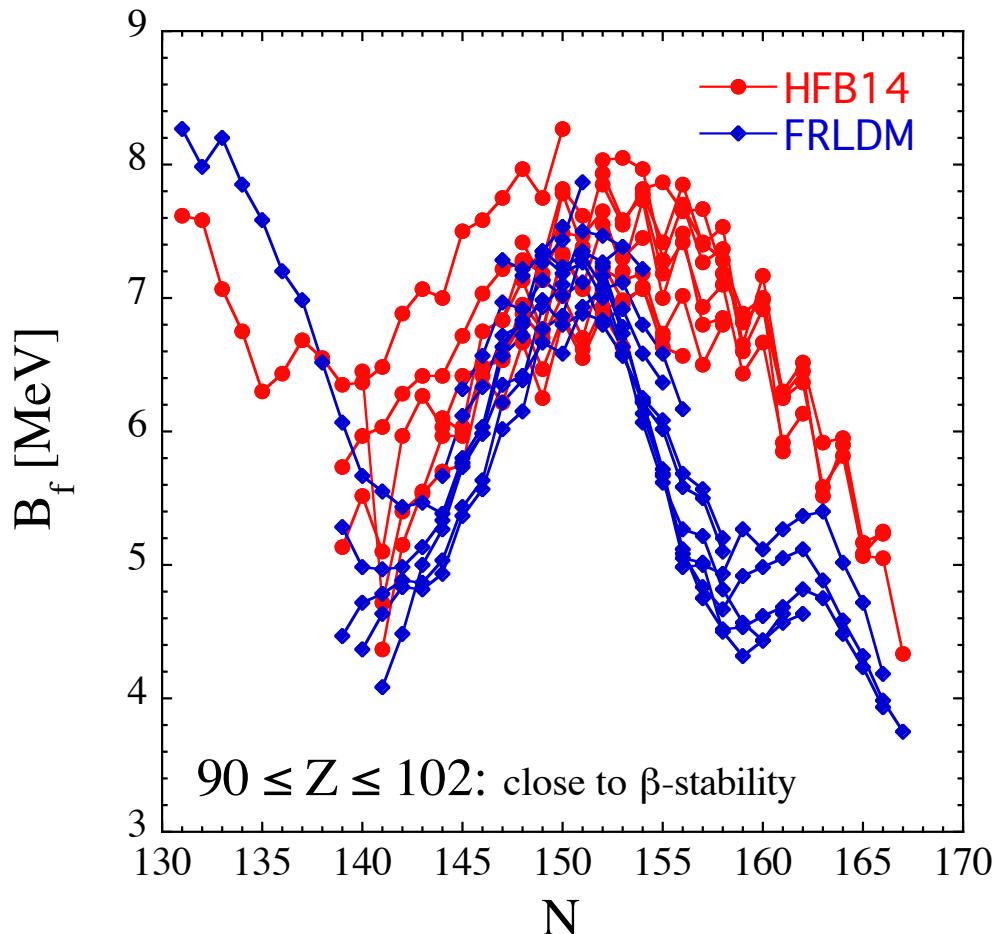
HFB-14 mass model



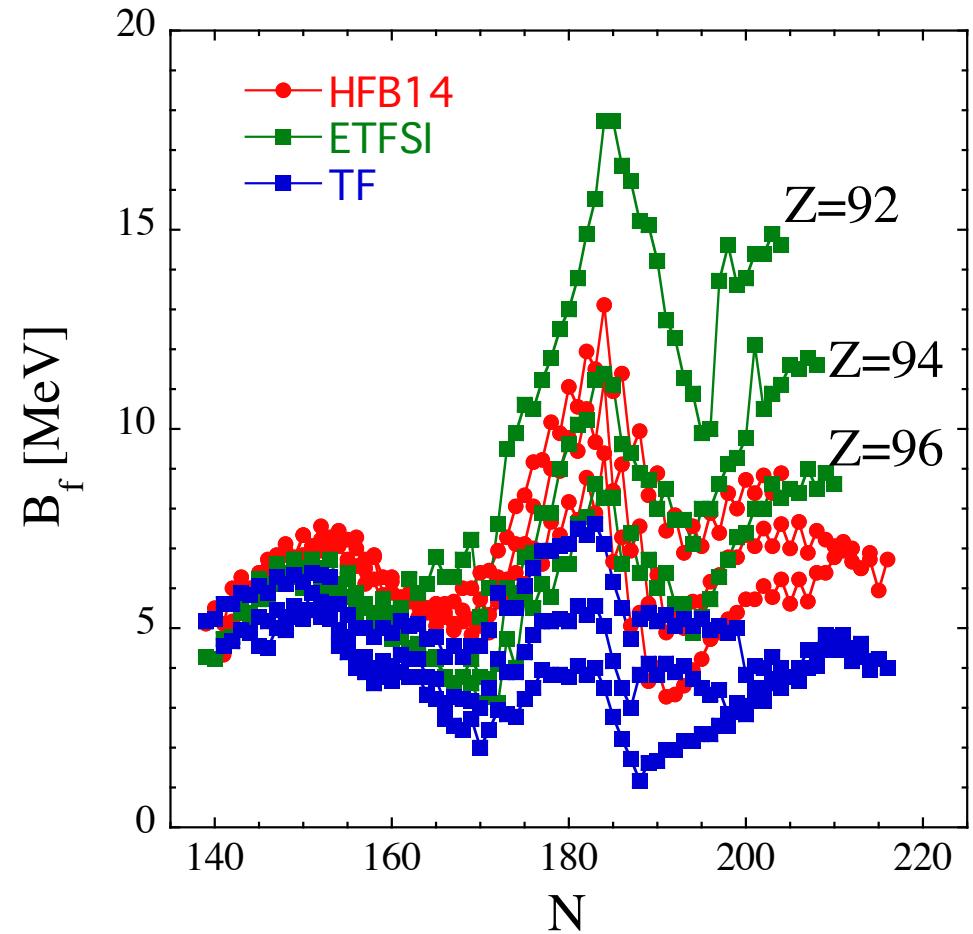
Global predictions of fission barriers

1000 HFB fission paths ($90 \leq Z \leq 102$) (available at www-astro.ulb.ac.be)
(as well as coherently determined combinatorial NLD at saddle points)

Close to β -stability



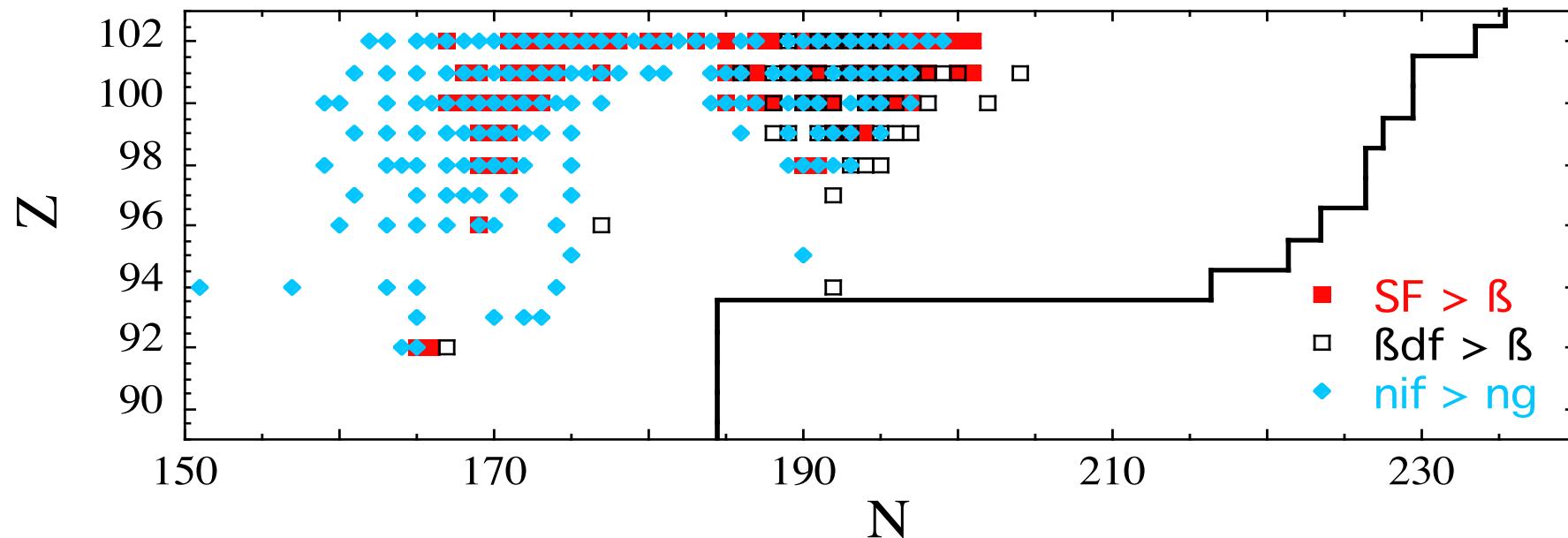
Far away from β -stability



Fission probabilities

Determination of fission probabilities (sf, nif, β df): updated calculation of

- sf: HFB-14 fission path and barrier penetration (updated TALYS T_f)
- nif: HFB-14 fission path and corresponding NLD included in TALYS
- β df: HFB-14 fission path and GT β -strength function (updated TALYS T_f)



But is the fission symmetric or asymmetric ?

What is the Z - and A -distribution of the fission fragments ?

Conclusions

The r-process puzzle remains, first of all, an *astrophysics* problem

?? what is the astrophysics site hosting the r-process ??

- Decompression of initially hot material (SN/GRB v-driven wind)
appealing but ...where, how? high-S, low-Ye, Fast expansion, ...?
- Decompression of initially cold material (NS mergers, NS outflows ?)
appealing but ...contribution to GCE, details of mass ejection,...

... or maybe some very different sites with other types of nuclear mechanisms ...???

Solution will come from *hydrodynamic simulations*
(r-process conditions, amount of matter ejected, event rate)
plus some hints from *observations*, eventually

Conclusions

A continued effort is required

- to improve the predictions of nuclear structure properties within “*microscopic*” models (masses, deformations, ...)
- to improve the coherent calculations of reaction & β -decay rates for experimentally unknown nuclei
 - Reaction model: equilibrium, pre-equilibrium, DC
 - β -decay model: allowed, forbidden transitions, ...
 - Nuclear ingredients:
 - GROUND-STATE properties
 - nuclear level densities
 - optical potentials
 - γ -ray strength functions
 - β -strength functions
 - FISSION properties

We are still far from being capable of estimating *reliably* the radiative neutron capture and β -decay of exotic n-rich nuclei
(and fission properties even of known nuclei)

Theoretical as well as Experimental works are definitely needed