Overview of Forward, Soft and Small-x QCD Physics from CMS

Benoit Roland University of Antwerp CEA Saclay 25 November 2013





Universiteit Antwerpen



Open Questions in QCD

- All processes at the LHC are QCD ones, whether signal or background is considered
- Description of hadron scattering in the high energy limit Saturation?
- Nature of Multiple Parton Interactions?
- Hadron production Description of the Underlying Event?
- Search for Double Parton Scattering?
- Description of the Rapidity Gap Survival Probability in Diffraction?
- ▶ MPI, Saturation and Diffraction are related by the AGK cutting rules



6

Description of hadron scattering in QCD

- Collinear Factorization and fixed-order QCD calculation
 - ► Factorization of short and long distance dynamics:
 - $\sigma_{pp} = f_i(x_1, \mu^2) \otimes \hat{\sigma}_{ij}(x_1, x_2, Q^2) \otimes f_j(x_2, \mu^2)$

 $\hat{\sigma}_{ij}(x, Q^2)$: Matrix Element (ME) at LO,NLO,...

 $f_i(x, \mu^2)$: parton density functions (pdfs)

pdf evolution driven by DGLAP equations:

 $f(x, Q^2)$ from $f(x_0 > x, Q_0^2 < Q^2)$

- ▶ pdfs do not depend on parton transverse momentum k_T: initial state collinear with the incoming hadrons at LO final state p_T can only be produced from ME
- Leading Twist: single parton scattering
- Validity: presence of hard scale dilute system of partons





Implementation in Monte Carlo Models

- Fixed-order Matrix Elements matched with Parton Showers Initial state shower:
 - high-x parton at the starting scale radiates secondary partons
 - ▶ loss of longitudinal momentum and gain of k_T
 - parton with $k_T \neq 0$ enters hard scattering
- Evolution according to DGLAP
 - Validity: $\sqrt{s} > p_T \gg \Lambda_{QCD}$
 - Resum leading contributions in log(Q²)
 - Strong ordering of the parton shower in k_T
- Evolution according to BFKL (k_T factorization)
 - Validity: $\sqrt{s} \gg p_T \gg \Lambda_{QCD}$
 - Resum leading contributions in log(1/x)
 - Strong ordering in x random walk in k_T
 - \blacktriangleright Number of emitted gluons increases with available phase space in y





Alternative approach

High Energy Factorization

 $\sigma_{pp} = \mathcal{A}_i(x_1, k_{T1}, \mu^2) \otimes \hat{\sigma}_{ij}(x_1, x_2, k_{T1}, k_{T2}) \otimes \mathcal{A}_j(x_2, k_{T2}, \mu^2)$

 $\hat{\sigma}_{ij}(x, k_T)$: off-shell Matrix Element

 $\mathcal{A}_i(x, k_T, \mu^2)$: unintegrated parton density functions (updfs)

- Evolution according to CCFM
 - Resum leading contributions in both $log(Q^2)$ and log(1/x)
 - ▶ unintegrated (k_T dependent) pdfs contain already at leading order some effects which are only achieved at higher order in the collinear factorization scheme



 CASCADE: predictions from CCFM and k_T factorization

► DISENT: NLO calculations in collinear approximation

AIP Conf. Proc. **1056** (2008) 79 F. Hautmann and H. Jung

Forward jets - jets at large $\Delta\eta$



- Difference more prominent in the forward region
- ▶ DGLAP: *k*_T ordering: softest emissions are forward
- ▶ BFKL: no k_T ordering: forward emissions "arbitrarily" large



- ▶ Wider separation $\Delta \eta \rightarrow$ larger azimuthal decorrelation $\Delta \varphi$
- ▶ DGLAP: jets more balanced in p_T , more correlation
- BFKL: higher order emissions, flatter $\Delta \varphi$







Forward & low p_T jets at 8 TeV



Events with at least one jet with |y| < 4.7and $21 < p_T < 74$ GeV

CMS-PAS-FSQ-12-031

► NLO predictions too high especially in the forward region 2.5 < |y| < 4.7 Global agreement with the data within the experimental and theoretical uncertainties



Forward & low p_T jets at 8 TeV CMS-PAS-FSQ-12-031



- ▶ NLO predictions using different PDF sets (ratios to CT10) describe data within uncertainties
- Use these jet cross sections to further constrain the proton pdfs ?



$\label{eq:Forward} Forward + Central Jets at 7 TeV_{JHEP \ 1206 \ (2012) \ 036}$

Events with at least one forward jet with 3.5 $<|\eta|<$ 4.7 and $p_T>$ 35 GeV one central jet with $|\eta|<$ 2.8 and $p_T>$ 35 GeV

Central jet p_T spectrum
 Pythia6, Pythia8 & CASCADE
 miss the normalization
 Herwig6, Herwig++ and HEJ
 give the best description

► Forward jet p_T spectrum Pythia6, Pythia8 & CASCADE miss the shape Herwig6, Herwig++ and HEJ give the best description



MN to exclusive dijet cross section ratio at 7 TeV

Events with at least 2 jets with $|\eta| <$ 4.7 and $p_T >$ 35 GeV

Observable: Rapidity separation Δy between the pairs of jets

Exclusive sample: exactly 2 jets in the event

MN sample: at least 2 jets in the event, Δy between the most forward and most backward jets



• Increasing $\Delta y \rightarrow$ larger phase space for extra radiation \rightarrow increasing ratio

- Pythia6 Z2 and Pythia8 4C describe the data
- Herwig++ and HEJ+Ariadne are too high at high Δy
- ▶ No visible effects beyond collinear factorization + LL parton shower



Dijet azimuthal decorrelations at 7 TeV

Events with at least two jets with $|\eta| <$ 4.7 and $p_T >$ 35 GeV

Observables:

- \blacktriangleright azimuthal decorrelation $\Delta \varphi$ between the most forward and the most backward jets
- Fourier coefficients C_n of the Fourier expansion of the differential cross section in $\Delta \varphi$

 $\frac{1}{\sigma} \frac{d\sigma}{d\Delta\varphi} \sim \sum_{n} C_{n} \cos\left(n\left(\pi - \Delta\varphi\right)\right)$ $C_{n} = \left\langle \cos\left(n\left(\pi - \Delta\varphi\right)\right) \right\rangle$

• Measure $C_1 = \langle \cos(\pi - \Delta \varphi) \rangle$, $C_2 = \langle \cos(2(\pi - \Delta \varphi)) \rangle$ and $C_3 = \langle \cos(3(\pi - \Delta \varphi)) \rangle$

- ▶ Ratios of coefficients: DGLAP suppressed → more sensitivity to BFKL effects
- Measure ratios C_2/C_1 and C_3/C_2

• Measurement for increasing rapidity separation between jets: $0 < \Delta y < 3$ \rightarrow open phase-space for extra radiations $3 < \Delta y < 6$

 \rightarrow more decorrelation expected $6 < \Delta y < 9.4$

Azimuthal decorrelation in bins of Δy



- azimuthal decorrelation Δφ
 in 3 bins of rapidity separation Δy
- Increasing azimuthal decorrelation with increasing rapidity separation Δy
- ▶ Herwig++: best description of the data
- PYTHIA6/PYTHIA8: too large decorrelation

 \rightarrow in contrast to dijet ratio measurement, where Pythia6/Pythia8 provide better description of data than Herwig++

- Sherpa with 4 final state partons: too much correlation
- CASCADE (CCFM): too much decorrelation



Ratios C_2/C_1 and C_3/C_2

Ratios $C_{n+1}/C_n \rightarrow \text{DGLAP}$ contributions suppressed \rightarrow more sensitivity to BFKL effects



- ► At low ∆y, C_{n+1}/C_n are described by LL DGLAP based generators
- ► At larger ∆y, LL DGLAP fails (PYTHIA6/8 and Herwig++)
- Sherpa overestimates both ratios
- CASCADE predicts too small ratios
- ► At ∆y > 4, BFKL NLL prediction describes the ratios within uncertainties (in particular C₂/C₁)

Multiple Parton Interactions - Motivation

- ▶ MPI introduced to describe large multiplicity tails and KNO scaling violation
- MPI has become a key ingredient to describe Underlying Event measurements
- Underlying Event: activity not attributed to the hard scattering between partons Initial State Radiation and Final State Radiation Beam Remnants

Multiple Parton Interactions (with its own ISR and FSR)



The Underlying Event is characterized by a smaller scale than the hard scattering

Increase of MPI activity happens at the transition between soft and hard collision

 \blacktriangleright Some MPI can be harder \rightarrow Double Parton Scattering



Measurement of the Underlying Event



Divide phase space in φ to separate the UE from the hard scatter 3 regions in φ with respect to the leading object direction Transverse region most sensitive to the UE activity

Look at particle and energy densities in the transverse region





UE activity in hadronic events as a function of the leading jet p_T : two different regimes

At low p_T : fast rise due to the increase of MPI activity

At higher p_T : constant particle density, slow radiative increase of the energy density (ISR/FSR)

UE activity in DY events as a function of $p_T^{\mu\mu}$: one regime

At low p_T : no fast rise , MPI activity saturated (hard scale $81 < M_{\mu\mu} < 101$ GeV)

Slow radiative increase of the UE activity with p_T (ISR)





PYTHIA underestimates data Deficit similar to inclusive strange particle production

Strange to charged particle activity ratios flat with $p_T \rightarrow$ MPI decoupled from hadronization

Direction defined by the leading charged-particle jet, with $p_T > 1$ GeV and $|\eta| < 2$ Production of primary K_S^0 and Λ in transverse region Behaviour similar to inclusive charged particles \rightarrow universal impact parameter picture of MPI At low p_T : fast rise of the densities - At higher p_T : saturation

Pseudorapidity distribution of particles at 8 TeV



Events triggered by the TOTEM T2 telescopes (5.3 $<|\eta|<$ 6.5)

Pseudorapidity distribution of charged particles in $|\eta| < 2.3$ with $p_T > 100$ MeV (CMS) in $5.3 < |\eta| < 6.4$ with $p_T > 40$ MeV (TOTEM)

Inclusive: at least one charged particle with $p_T > 40$ MeV in either T2 telescope

NSD-enhanced: at least one charged particle with $p_T > 40$ MeV in both T2 telescopes

No consistent description of the distributions by MC predictions



Leading track p_T distribution at 8 TeV



Events triggered by the TOTEM T2 telescopes - Inclusive selection Leading track p_T distribution for tracks in $|\eta| < 2.4$ with $p_T > 0.8$ GeV Integrated leading track p_T distribution above $p_{Tmin} : D(p_{Tmin}) = \frac{1}{N} \int_{p_{Tmin}} dp_{T,leading} \frac{dn}{dp_{T,leading}}$ Sensitivity to p_{T0} - probes transition from perturbative to non-perturbative region Tamed behaviour of the cross section not well described by models - Epos LHC closest to data Switching on/off MPI does not improve agreement



Double Parton Scattering - Motivation

General expression for DPS cross section leading to A and B plus anything:

$$\sigma(A+B) \propto \int d^2 \mathbf{b} \, dx_1 \, dx_2 \, d\bar{x}_1 \, d\bar{x}_2 \, D_{ik}(x_1, \bar{x}_1, \mathbf{b}) \, D_{jl}(x_2, \bar{x}_2, \mathbf{b}) \, \hat{\sigma}_{ij} \, \hat{\sigma}_{kl}$$

Assume $D_{ik}(x_1, \bar{x}_1, \mathbf{b}) = f_i(x_1) f_k(\bar{x}_1) G(\mathbf{b})$, DPS cross section given by:

$$\sigma(A+B) = m \frac{\sigma(A) \sigma(B)}{\sigma_{eff}} \text{ with } \sigma_{eff} = \left[\int d^2 \mathbf{b} \ G^2(\mathbf{b}) \right]^{-1}$$
(m = 1/2 for identical interactions, m = 1 otherwise)
Using conditional probability and $\sigma(A) = P(A) \sigma_{ND}$, one can write:

$$P(B|A) = P(B) \frac{\sigma_{ND}}{\sigma_{eff}}$$

 σ_{eff} mostly depends on geometry - supposed to be process, scale and \sqrt{s} independent $\sigma_{eff} \sim 10 - 15$ mb from CDF and D0 γ + 3 jets, confirmed by ATLAS W + 2 jets Experimental picture: measure DPS for different processes, at different scales and \sqrt{s}



DPS via W + 2 jets CMS-PAS-FSQ-12-028

DPS Signal: W from first hard parton scattering, at least two jets from a second one SPS Background: W + at least 2 jets from a single parton scattering



Discriminating variables:

Azimuthal separation between 2 jets:

$$\Delta \varphi = |\varphi^{j1} - \varphi^{j2}|$$

Relative p_T balance between 2 jets :

$$\Delta_{p_{T}}^{rel} = \frac{|\mathbf{p}_{\mathsf{T}}^{j1} + \mathbf{p}_{\mathsf{T}}^{j2}|}{|\mathbf{p}_{\mathsf{T}}^{j1}| + |\mathbf{p}_{\mathsf{T}}^{j2}|}$$

Transverse plane view



Angle ΔS between $W(\mu\nu)$ and dijet vector:



```
DPS via W + 2 jets
```

Inclusive sample: W + at least 2 jets

Leading two jets considered for calculation of DPS observables

$\Delta \varphi$, $\Delta_{p_T}^{rel}$ and ΔS cross sections



MadGraph with MPI on in good agreement with data MadGraph with MPI off underestimates data by 20% Pythia8 underestimates data by a factor \sim 2 in the DPS sensitive regions Mostly due to missing higher order processes faking DPS



DPS via 4 jets

Four jets final state can arise from one or two chains

The two additional jets can be produced via parton shower or a second hard scattering



Selection: exactly 4 jets in $|\eta| < 2.5 - 2$ jets with $p_T > 50$ GeV - 2 jets with $p_T > 20$ GeV Jets associated in pairs: hard-jet pair: the two leading jets above 50 GeV soft-jet pair: the two other jets above 20 GeV

Discriminate the two processes via $\Delta \varphi$ and $\Delta_{p_T}^{rel}$ for each pair



DPS via 4 jets - $\Delta \varphi$ and $\Delta_{p_T}^{rel}$ soft-jet pair



POWHEG overshoots the data PYTHIA6 and HERWIG++ describe the data quite well

Normalized to unit area Herwig++ and POWHEG describe well the data

 $\Delta_{p_T}^{rel}$ more suited to distinguish MPI on versus MPI off in the normalized distributions

Diffraction and exclusive processes - Motivation

- Diffraction represents a sizeable fraction of σ_{tot} of the order of 25-35 % Important ingredient for the description of the Underlying Event
- Rapidity gap survival probability S² poorly known theoretically Absence of description in the MPI framework
 Not a simple number - dependence on the diffractive kinematic variables
- Central Exclusive Production
 CEP WW: precise test of the SM Search for aQGCs



Rapidity Gap cross section CMS-PAS-FSQ-12-005

Forward rapidity gap $\Delta \eta^F$: largest empty η region, starting at the edge of the detector Inclusive measurement - no separation of diffraction

Hadron level definition: gap defined by absence of particle with $p_T > 200 \text{ MeV}$ CMS Comparison



Evidence for diffraction at high $\Delta \eta^F$: ND exponentially suppressed - plateau from SD and DD Diffractive plateau ~ 1 mb / unit of gap size Sensitivity to diffractive models: PYTHIA8-MBR with $\alpha_P(0) = 1.08$ gives the best description Phojet in good agreement at high $\Delta \eta^F$ but overestimates data at low $\Delta \eta^F$ Agreement ATLAS-CMS within uncertainties - CMS extends ATLAS by 0.4 unit of gap size



Soft diffractive cross sections CMS-PAS-FSQ-12-005

SD and DD cross sections as a function of $\boldsymbol{\xi}$



MBR model presented for 2 values of the Pomeron intercept $\alpha_P(0) = 1.08 \& 1.104$ Both describe well the SD cross section - DD better described with smaller $\alpha_P(0)$ value

Schuler & Sjostrand model implemented in PYTHIA8-4C and PYTHIA6 Can describe the DD cross section - But not the SD falling behavior

SD cross section integrated over $-5.5 < \log \xi < -2.5$: $\sigma_{vis}^{SD} = 4.27 \pm 0.04$ (stat.) +0.65/ - 0.58 (syst.) mb for $1.1 \lesssim \log(M_X/\text{GeV}) \lesssim 2.6$



At least 2 jets with $p_T >$ 20 GeV and $|\eta| <$ 4.4

Most forward particle should have $\eta_{max} < 3$ or $\eta_{min} > -3$

LRG data described by a combination of ND (PYTHIA6 Z2) and SD (POMPYT) predictions Relative fraction of SD obtained from a fit to the data

Excess of events at low ξ wrt ND predictions (PYTHIA6 and PYTHIA8)

SD predictions overestimate data by a factor \sim 5 in the lowest ξ bin

Estimate of the rapidity gap survival probability: 0.12 \pm 0.05 from LO POMPYT and POMWIG 0.08 \pm 0.04 from NLO POWHEG

6

Exclusive W W production

Search for exclusive $\gamma\gamma \rightarrow W^+W^-$ and anomalous quartic gauge couplings

In the unlike-flavor dilepton decay channel: $\gamma\gamma o W^+W^- o \mu^\pm e^\mp
u ar
u$

Exclusivity: No extra tracks associated to the dilepton vertex

SM signal region: $p_T(\mu^{\pm}e^{\mp}) > 30 \text{ GeV}$

aQGCs search: $p_T(\mu^{\pm}e^{\mp}) > 100 \text{ GeV}$







Forward and low p_T jets carry information about parton radiation and pdfs at low x Simultaneous forward and central production increases the sensitivity

Dijet production widely separated in η Increase sensitivity even more - Probe BFKL-like effects

None of the MCs can reproduce consistently all the measured observables Constraints limited by the uncertainty on the jet energy scale

Understanding of UE needed for a full understanding of (semi-hard) QCD dynamics, for precision measurements of SM processes, search for new physics

Improvement of our knowledge: UE in DY and hadronic events Strangeness in UE \sim inclusive production

- ▶ DPS: Look for dsicriminating variables More to come
- Diffraction & Exclusive processes: New constraints from soft & hard diffractive measurements Exclusive measurements give precise test of SM and constraints on its extensions (e.g. aQGC)



Backup



Central jet p_T spectrum

miss the normalization

give the best description

Forward jet p_T spectrum

Herwig6, Herwig++ and HEJ give the best description

miss the shape

Herwig6, Herwig++ and HEJ

Forward + Central Jets at 7 TeV



Different level of agreement between data and MC for the central jet and the forward jet

Largest shape difference for the forward jet

Effect of Angular Ordering & MPI



PYTHIA6 Z2 with and without angular ordering or MPI

• Angular ordering and MPI improve the description of the data, in particular at high Δy

Jets and UE properties versus particle multiplicity CMS-PAS-FSQ-12-022



Charged particles with $p_T > 0.25$ GeV and $|\eta| < 2.4$

Charged-particle jets with $p_{T}>5$ GeV and $|\eta|<1.9$

Charged particles divided into 2 classes: those belonging to jets and those belonging to the UE UE and jet properties as a function of charged-particle multiplicity

PYTHIA predicts harder p_T spectra than seen in data

Herwig++ shows the opposite behaviour

Predictions without MPI fail to describe the data



DPS via W + exactly 2 jets

Exclusive sample: W + exactly 2 jets

Integrated cross section = 60.6 \pm 8.7 pb - consistent with NLO scaled MADGRAPH prediction

Distributions $\Delta \varphi$, $\Delta_{p_T}^{rel}$ and ΔS normalized to unit area



MadGraph with and without MPI in good agreement with data except for ΔS

 ΔS is the only observable able to distinguish MPI on versus MPI off for the normalized distributions



Bibliography Forward jets

1. Forward and low p_T jets (FSQ-12-031)

Measurement of jet cross sections at low transverse momentum in pp collisions at 8 TeV

2. Forward + Central Jets (FWD-11-002)

Measurement of the inclusive production cross sections for forward jets and for dijet events with one forward and one central jet in pp collisions at 7 TeV $\,$

3. Dijet K-factor (FWD-10-014)

Measurement of inclusive and exclusive dijet production ratio at large rapidity intervals at 7 TeV

4. Azimuthal decorrelation (FSQ-12-002)

Azimuthal angle decorrelations of jets widely separated in rapidity in pp collisions at 7 TeV



Bibliography MPI

1. UE DY (QCD-11-012)

Measurement of the UE in the Drell-Yan process in pp collisions at 7 TeV

2. UE Strangeness (QCD-11-010)

Measurement of neutral strange particle production in the UE in pp collisions at 7 TeV

3. Jets and UE properties versus particle multiplicity (FSQ-12-022)

Jet and UE properties as a function of particle multiplicity in pp collisions at 7 TeV

4. dN/deta - Leading tracks p_T CMS-TOTEM (FSQ-12-026)

Pseudorapidity and leading transverse momentum distributions of charged particles in pp collisions at 8 ${\rm TeV}$



Bibliography DPS

1. DPS via W + 2 jets (FSQ-12-028)

Study of observables sensitive to double parton scattering in W + 2 jets process in pp collisions at 7 \mbox{TeV}

2. DPS via 4 jets (FSQ-12-013)

Studies of 4-jet production in pp collisions at 7 TeV

Bibliography Diffraction and exclusive processes

1. Rapidity Gap cross section (FSQ-12-006)

Measurement of pp diffractive dissociation cross sections at 7 TeV at the LHC

2. Soft diffractive cross sections (FSQ-12-005)

Measurement of pp diffractive dissociation cross sections at 7 TeV at the LHC

3. Diffractive dijet production at 7 TeV (FWD-10-004)

Observation of a diffractive contribution to dijet production in pp collisions at 7 TeV

4. Exclusive W W production at 7 TeV (FSQ-12-010)

Study of exclusive two-photon production of W^+W^- in pp collisions at 7 TeV and constraints on anomalous quartic gauge couplings